

MatSci 571: Molecules on Surfaces

Prof. K. W. Hipps
N116B Fulmer Hall
5-3033

hipps@wsu.edu

Spring 2026

<https://chem.wsu.edu/hipps/matsci-571-471/>

Molecules on Surfaces

- How we get them there.

Deposition methods for films

Vapor Deposition

Deposition methods for ordered molecular films

Langmuir-Blodgett films

Self-Assembly from Solution and Vapor

- Scanning Probe Microscopy and Spectroscopy

Vapor Deposition

- Basic Vacuum Technology
 - Viscous versus ballistic (molecular) flow.
 - When is the pressure low enough?
 - Deposition methods (vapor sources).
- Types of Adsorption
 - Physisorption and chemisorption
- Nucleation and Growth of Thin Films
 - Frank-van der Merwe (layer-by-layer)
 - Vollmer-Weber (island)
 - Stranski-Krastanov (layer + island)

Viscous versus ballistic flow.

Assume ideal gas: $PV = nRT = NkT$

$P(\text{atm}), V(\text{liter}), n(\text{moles}), R(\text{L-atm/mole-K}), T(\text{K})$

$P(\text{Pa}), V(\text{m}^3), N(\text{molecules}), k(\text{J/molecule-K}), T(\text{K})$

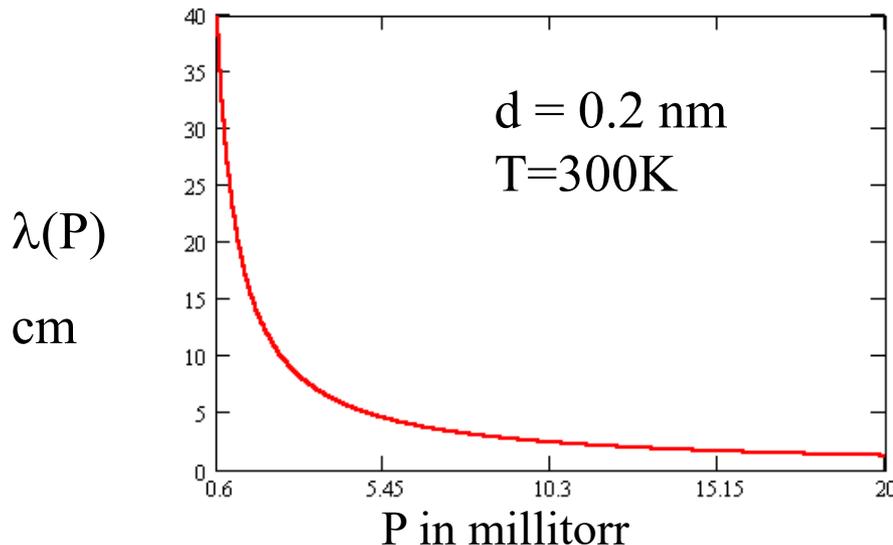
$1 \text{ atm} = 1,013 \text{ mbar} = 1.013 \times 10^5 \text{ Pa} = 760 \text{ torr}$

$k = 1.38 \times 10^{-23} \text{ J/K}$ and d is in meters

The 'Mean Free Path' (λ) is given by:

$\lambda(P) = kT/[P\pi d^2]$ where all quantities are in mks units

$\lambda_c(p) = 100kT/[0.133 p\pi d^2]$: p in millitorr and λ_c in cm



$$\lambda(760000) = 326 \text{ nm}$$

$$\lambda(1000) = 0.025 \text{ cm}$$

$$\lambda(10) = 2.5 \text{ cm}$$

$$\lambda(10^{-6} \text{ torr}) = 250 \text{ meters}$$

$$\lambda(10^{-10} \text{ torr}) = 25 \text{ Million m}$$

Viscous, Knudsen, and Ballistic Flow

If D is the diameter of a pipe:

- Viscous flow: $\lambda \ll D$

Water in a pipe. The intermolecular interactions are much more important than the interactions with the container.

$$P \geq 100 \text{ milliTorr} = 13 \text{ Pa} = 0.1 \text{ Torr}$$

- Knudsen Flow $\lambda \approx D$

Intermediate state. About as many intermolecular collisions as collisions with the walls

- Ballistic Flow $\lambda \gg D$

Bullets bouncing off walls. Negligible intermolecular interactions. Primary interactions are with the container.

$$P \leq 0.05 \text{ milliTorr} = 0.007 \text{ Pa} = 5 \times 10^{-5} \text{ Torr}$$

$$[\lambda(0.5 \text{ mT}, \text{O}_2) = 2.2 \text{ meters}]$$

Impingement Rate

- The number of molecules per second striking a unit area is given by:

$$J = \frac{N_A P}{\sqrt{2\pi MRT}} \quad \text{MKS units} \qquad J_c = \frac{N_A P 10^{-4}}{\sqrt{2\pi MRT}}$$

where J_c is molecules/cm²-s

Since there are roughly 10^{15} atoms/cm² on a typical metal surface, $J_c/10^{15}$ is the frequency with which the entire surface experiences collisions from the gas phase. $10^{15}/J_c$ is the time required for one complete surface encounter. For O₂:

P (torr)	10¹⁵/J_c(P) seconds	10¹⁵/J_c(P) hours
10 ⁻³ (1 millitorr)	3x10 ⁻³	---
10 ⁻⁵	0.3	---
10 ⁻⁷	28.	0.008
10 ⁻⁹	2,800	0.78
10 ⁻¹⁰	28,000	7.8

When is the pressure low enough?

- The number of molecules adsorbing on 1cm^2 solid surface per second will be: $A = S J_c(P)$, where S is the 'sticking coefficient' and $S \leq 1.0$
- For a sticking coefficient of 0.2, we only have about 2 minutes before a surface is completely contaminated at 10^{-7} torr (HV = high vacuum). At 10^{-10} torr (UHV = ultrahigh vacuum), we have about 36 hours!
- In the 10^{-7} pressure range, most of the residual gas is water. In the 10^{-10} range, it is H_2 and CO . For an inert surface (like Au) $S_{\text{H}_2\text{O}/\text{Au}} \ll 1$. Thus, a freshly deposited Au surface might last for an hour or so at 10^{-7} Torr and days at 10^{-10} . For a catalytic surface like Pt, $S_{\text{CO}/\text{Pt}} \approx 0.7$ and we must have UHV **and** freshly cleaned surfaces.

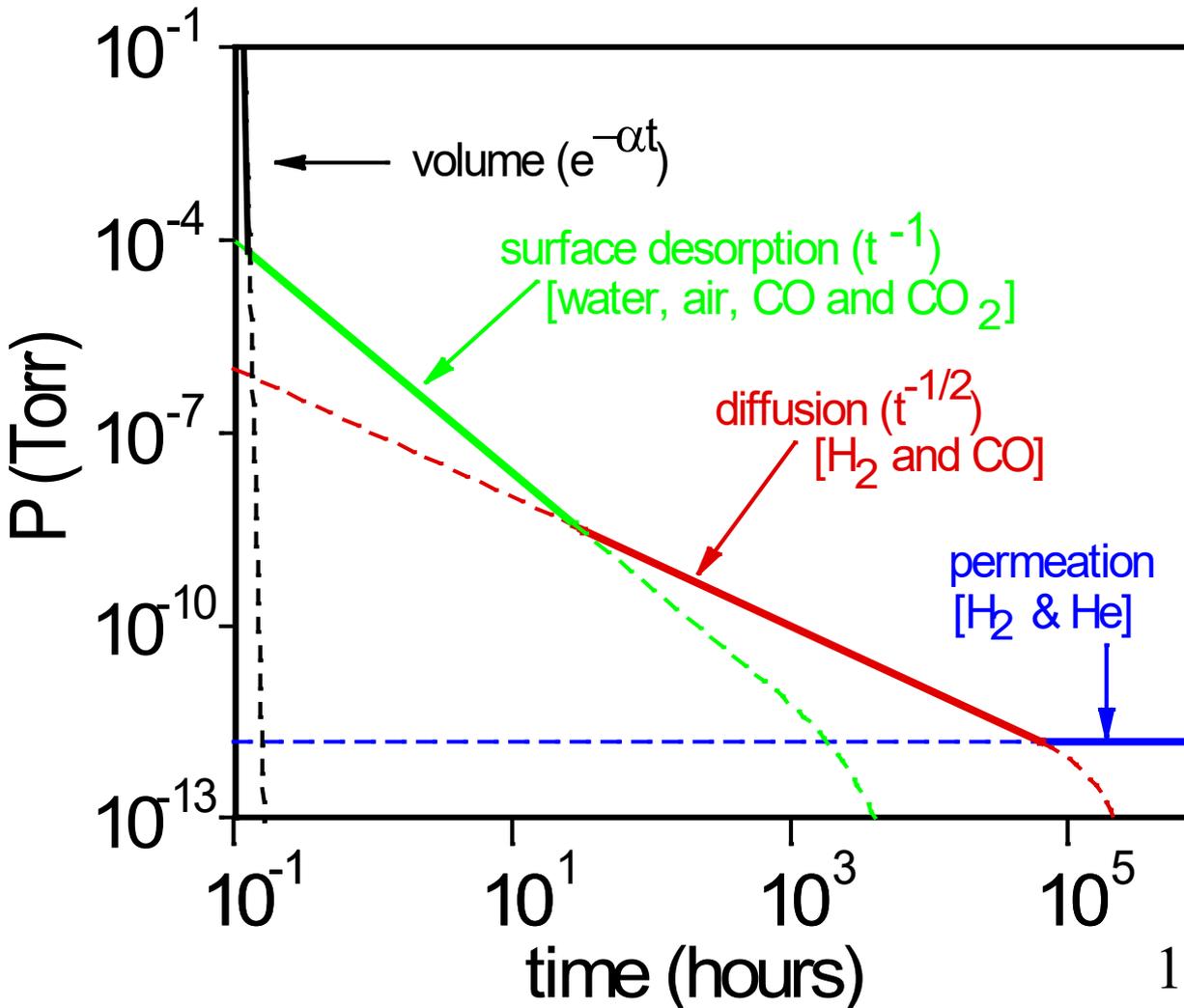
Vacuum Pumping: Viscous versus Ballistic Flow

In order to achieve high or ultra high vacuum requires a transition from pressures where flow is viscous to pressures where flow is ballistic. This requires very different approaches. In the viscous flow regime ($P \geq 0.05$ torr), a conventional pump is used. Once the pressure drops into the ballistic flow region, such pumps are ineffective and those designed for compression just up to Knudsen flow pressures are used in conjunction with a viscous flow pump.

One speaks of 'backing' or 'roughing' the high vacuum (HV), or ultra-high vacuum (UHV) pump.

In order to achieve UHV conditions, one must account for the porosity and permeability of metals.

Pump-down Pressure vs Time for a Typical Unbaked Stainless Steel Chamber



10^3 hrs is 42 days!! ¹⁰

- The ultimate pressure of a particular system is achieved when the pumping rate just equals the rate of gas evolution.
- A recently opened system requires a very long time (or a very large pump) to achieve reasonable vacuum levels.

What are the units for outgassing?

- q = total flux of gas molecules
- $= nRT/s\text{-}m^2 = \text{energy flow per unit area}$
- $= \text{Pa}\text{-}m^3/(s\text{-}m^2)$
- $= \text{W}/m^2$

q is proportional to mass flow if the
temperature is constant

- $\text{moles}/(\text{sec}\text{-}\text{area}) = q/RT$

UHV? *Bake it out!!*

The net outgassing rate (Torr-L/cm²-s or W/m²) is given by q , where $q \approx q_0 e^{-E/2kT}$. Thus, q depends exponentially on temperature. If the chamber is first heated under vacuum and then cooled, we can “cook out and off” much of the gas load.

Outgassing rates of 316L Stainless Steel after different processing operations. (Nuvolene, <i>J. Vac. Sci. Technol.</i> , 1977, 14, 210.)				
Treatment	Outgassing rate (10 ⁻¹⁰ W/m ²)			
	H ₂	H ₂ O	CO	CO ₂
Pumped under vacuum for 75 hours	893	573	87	13
Baked for 50 h @ 150 C under vacuum	387	17	6	0.4
Baked for 40 h @ 300 C under vacuum	83	0.7	2.2	0.01
20 h in pure O ₂ @ 2 Torr and 400C followed by a 20 h vacuum bakeout at 150 C.	6	3.2	0.4	<0.01

Pumps for Vacuum Systems

- High Vacuum

2-stage pumping required

Generally no bake-out

Roughing pump backing:

- *diffusion pump*
- *turbo pump*
- *cryopump*

10^{-5} to 10^{-8} torr

- Ultra-High Vacuum

3-stage pumping required

Bake-out is essential

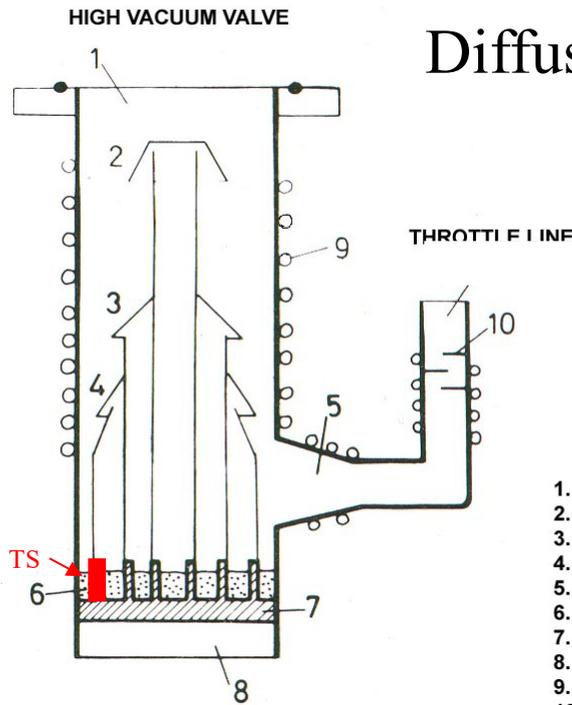
Roughing pump backing:

- *turbo pump*
- *cryopump*

*Ion pump operating alone
after bake-out*

10^{-9} to 10^{-11} torr

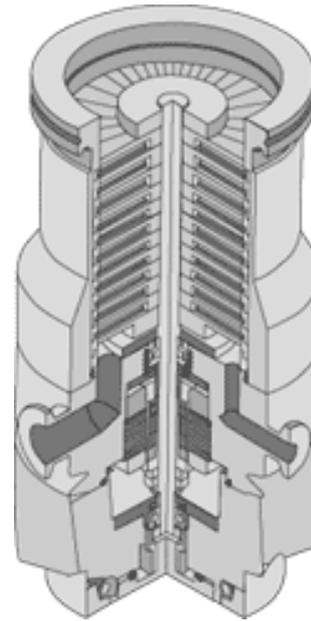
Diffusion Pump



1. PUMP INLET
2. FIRST STAGE
3. SECOND STAGE
4. THIRD STAGE
5. EJECTOR
6. PUMP FLUID (OIL)
7. FRACTIONATING BOILER
8. HEATER
9. WATER COOLING COIL
10. BAFFLE
11. BACKING LINE

- + Inexpensive and durable.
- Oil vapor contamination

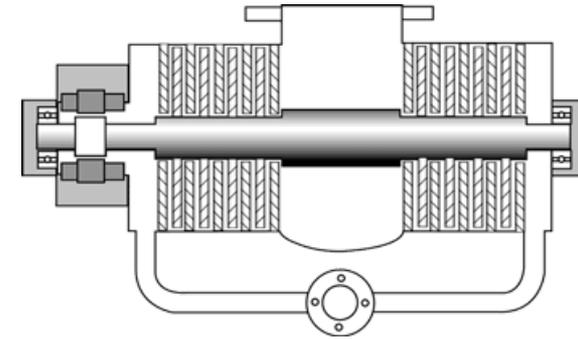
Turbomolecular Pump



SNECMA Type

+ Very clean.

- Expensive & poor durability



Pfeiffer Type

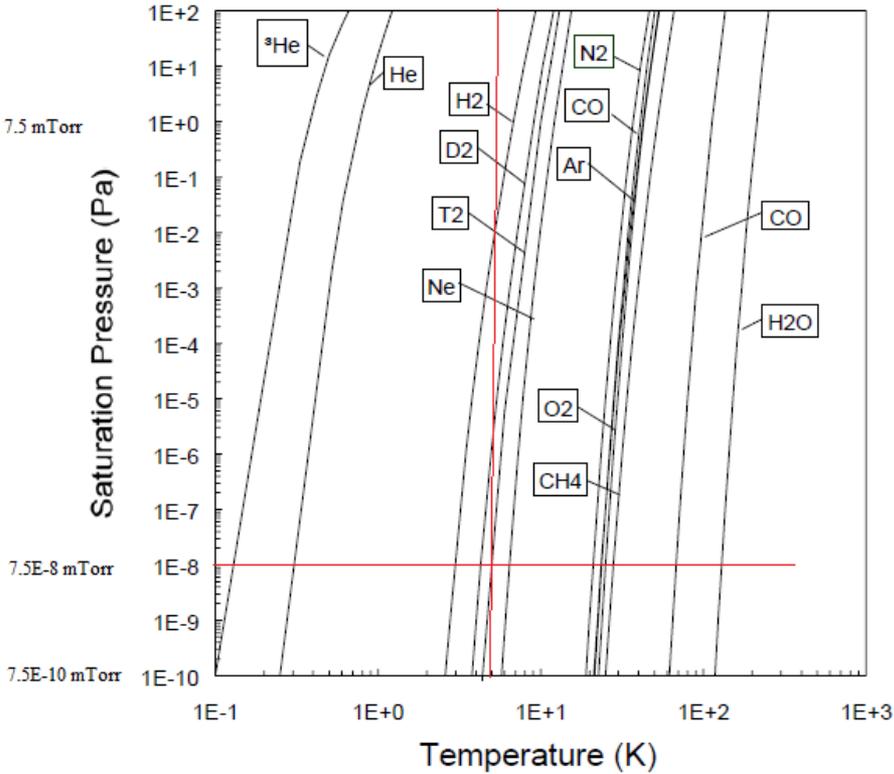


Fig. 1: Saturation curves of common gases

$$Kn = \frac{\Lambda}{d}$$

According to Fig. 4, there are four regimes of gaseous heat transfer.

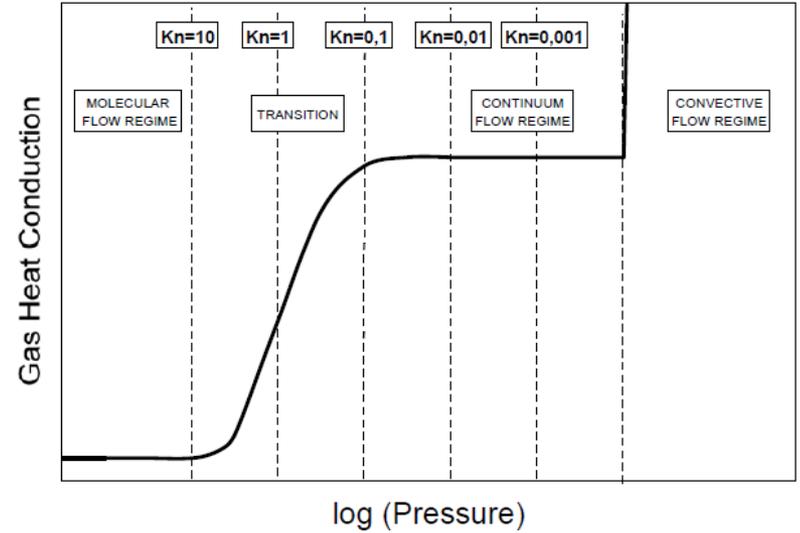
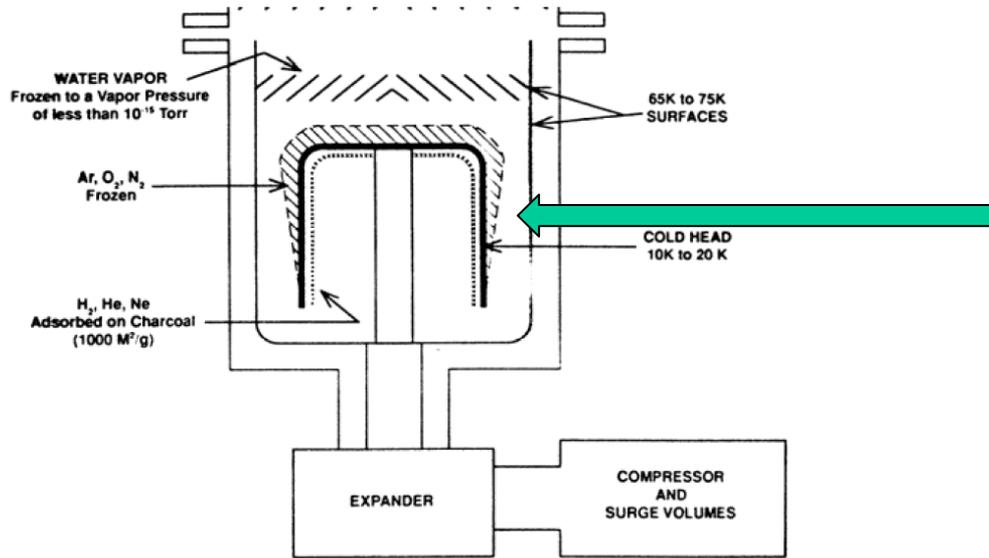


Fig. 4: Schematic gas heat conduction (arbitrary units) as a function of pressure at different Kn numbers

Two important physical phenomena relating to cryopumps:
 Saturation vapor pressure
 Thermal conductivity as a function of pressure



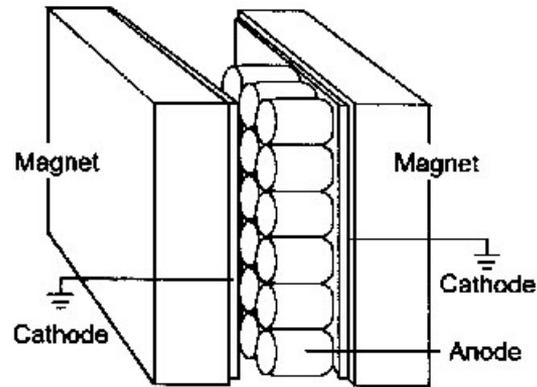
There are actually a series of these arrays, not just one.

Fig. 6: Typical set-up of a two-stage refrigerator-cooled cryopump

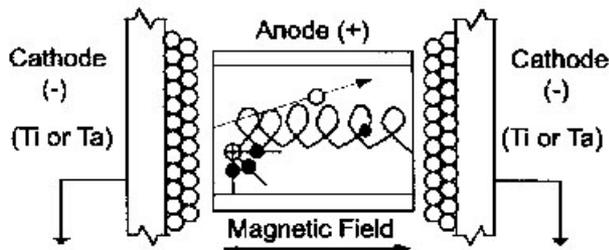


Compressor and Helium lines not shown

Ion Pump



The pump is started by applying high voltage between the tube shaped anode and the cathode of the ion pump. Electrons are accelerated toward the positive anode and are forced to follow a spiral path in the tube shaped anode because of the magnetic field. This has the effect of sweeping out more space and increasing the probability that an electron will collide with a gas molecule. The positive ions that are formed in the collisions strike the chemically active titanium cathode "getter" plate. The ions combine with the cathode material and eject more cathode material which ends up on the surface of the anode. This constantly replenished the film of chemically active cathode material on the anodes which combines with active gas molecules and effectively pumps them from the system. This process of removing chemically active gasses such as Nitrogen, Oxygen, and Hydrogen is called "gettering".



Inert gasses are handled a little differently. They are buried in the pump surfaces. This happens when they are ionized and hurled into the cathode. They penetrate a few layers and bury themselves in the cathode lattice structure. They can be re-emitted when other ions strike the surface so they tend to collect where there is little of this "sputtering" going on.

Pumps for Vacuum Systems

- High Vacuum

2-stage pumping required

Generally no bake-out

Roughing pump backing:

- *diffusion pump*
- *turbo pump*
- *cryopump*

10^{-5} to 10^{-8} torr

- Ultra-High Vacuum

3-stage pumping required

Bake-out is essential

Roughing pump backing:

- *turbo pump*
- *cryopump*

*Ion pump operating alone
after bake-out*

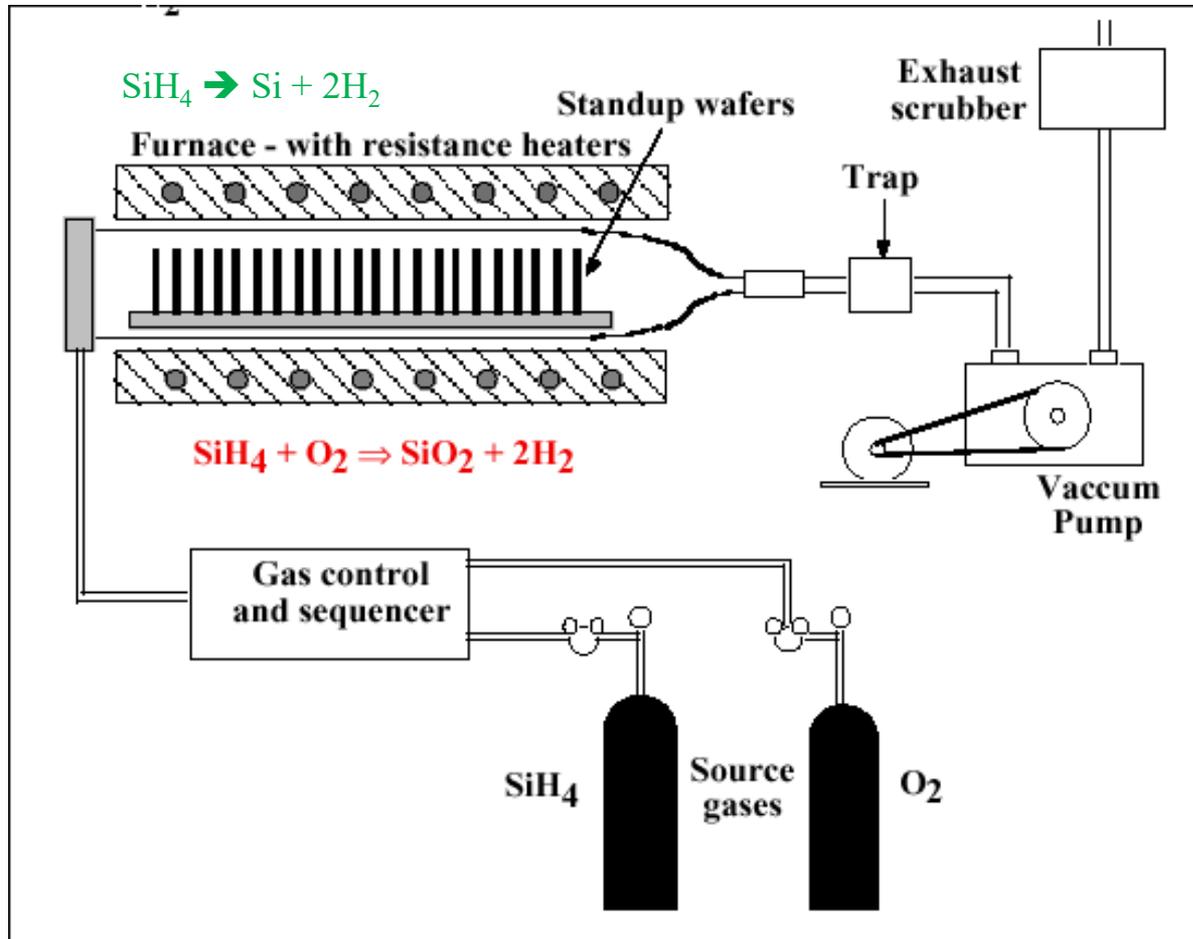
10^{-9} to 10^{-11} torr

Now that we can make an appropriate vacuum, what do we do with it?

Common Deposition methods

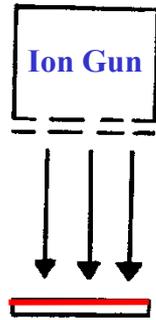
- Chemical Vapor Deposition (CVD)
- Ion beam and ion beam assisted deposition
- RF and DC sputtering
- Laser ablation deposition
- Electron beam induced deposition
- Thermal deposition
 - Knudsen cell
 - open boats and filaments
 - baffled sources

One form of CVD deposition system

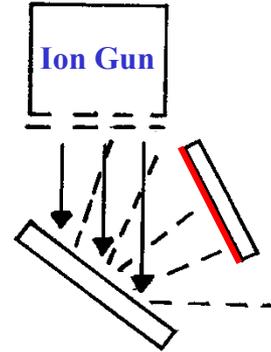




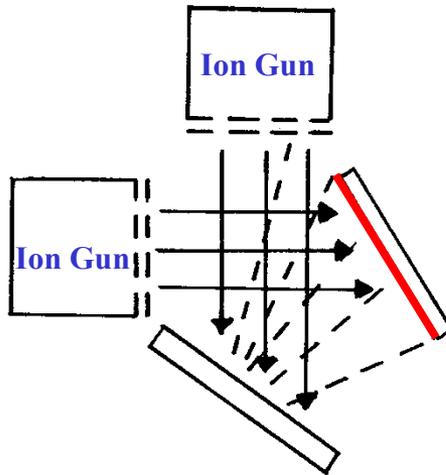
Ion beam deposition modes....



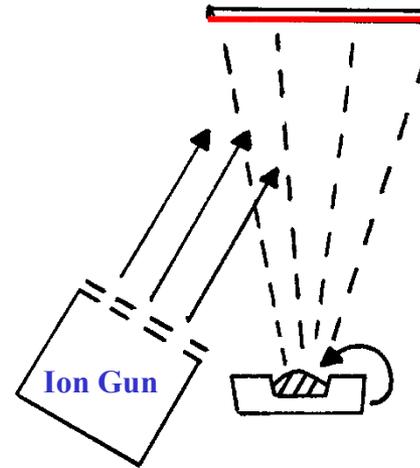
DIRECT ION BEAM DEPOSITION



ION BEAM SPUTTER DEPOSITION



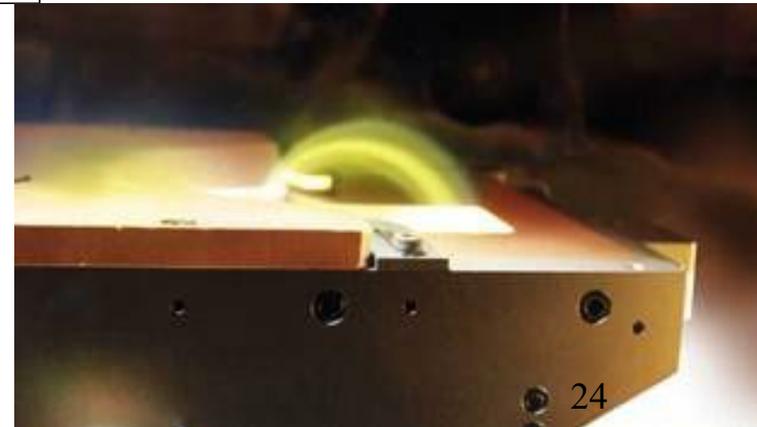
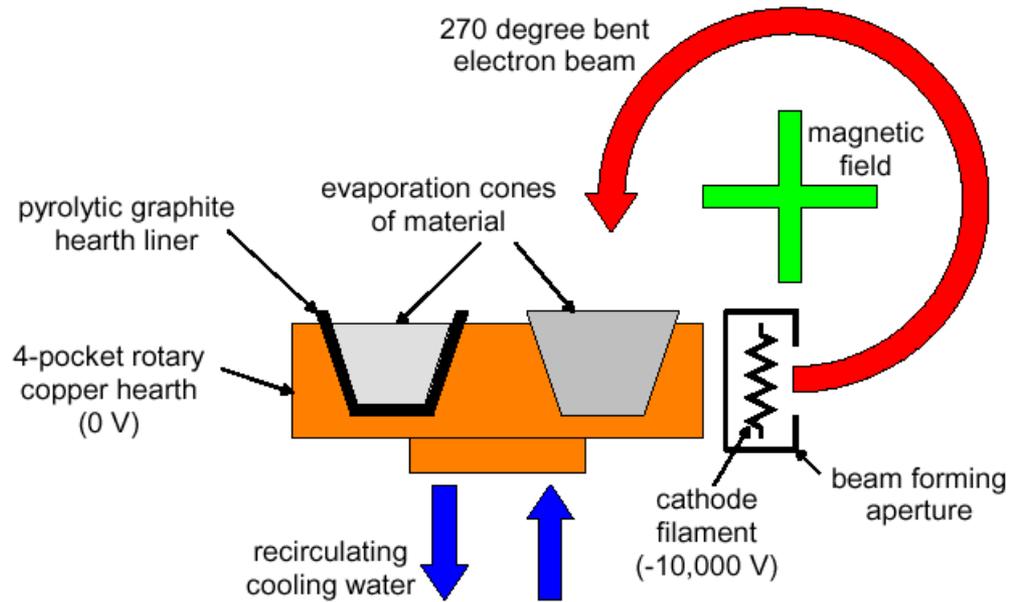
DUAL ION BEAM DEPOSITION

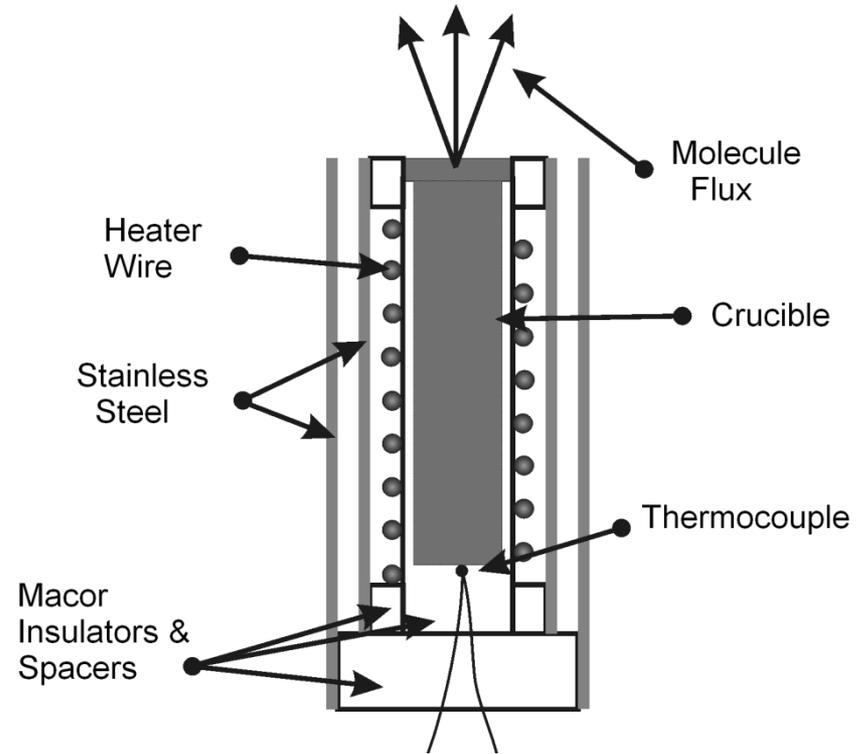
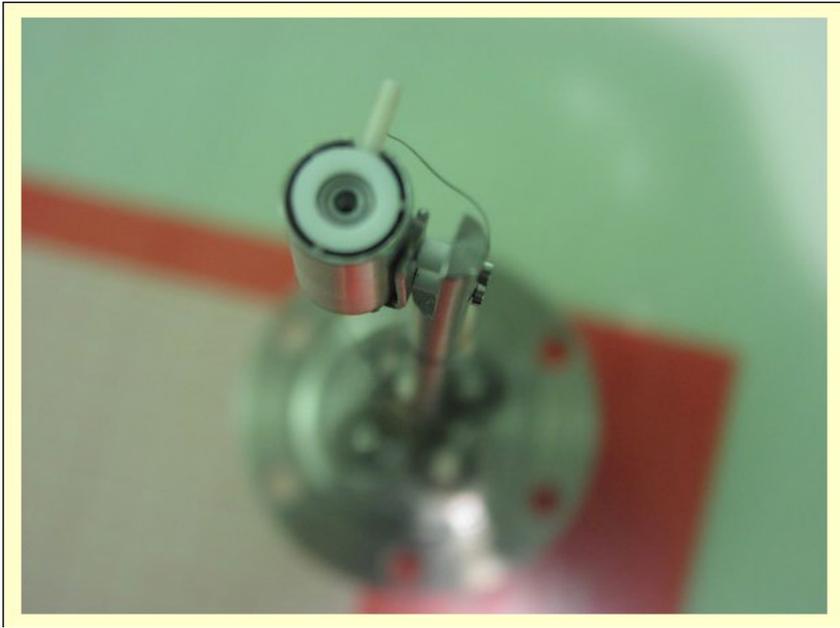


ION BEAM ASSISTED EVAPORATION

e-beam or thermal primary deposition

Electron Beam Heated Evaporation Source





A home made Knudsen Cell for depositing organic molecules designed and built by Marcus Lackinger. Working temperatures to about 800 C.

Resistance Heated Evaporation Sources



wire hairpin



foil dimple boat



wire helix



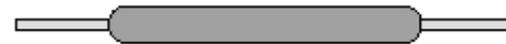
alumina coated foil dimple boat



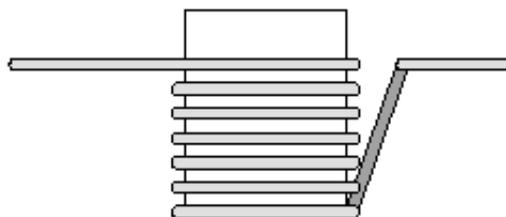
foil trough



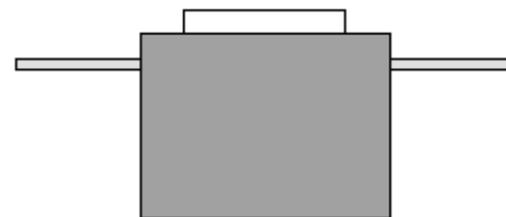
wire basket



chromium coated tungsten rod



alumina crucible with wire basket

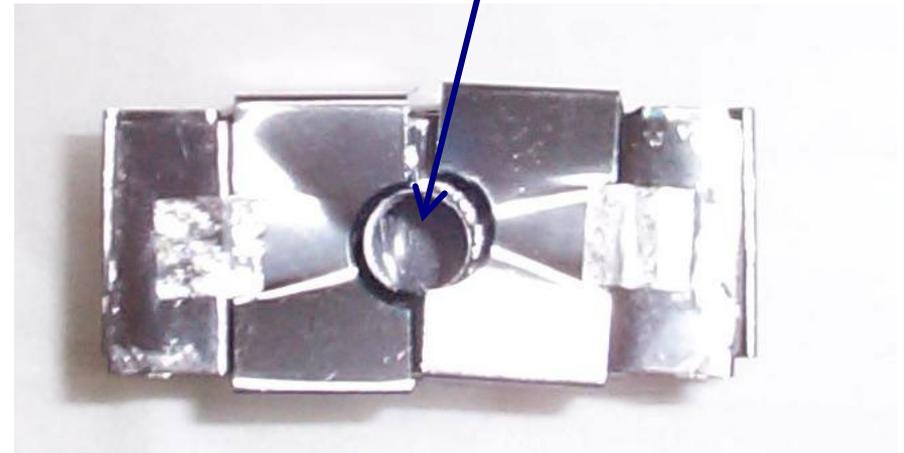
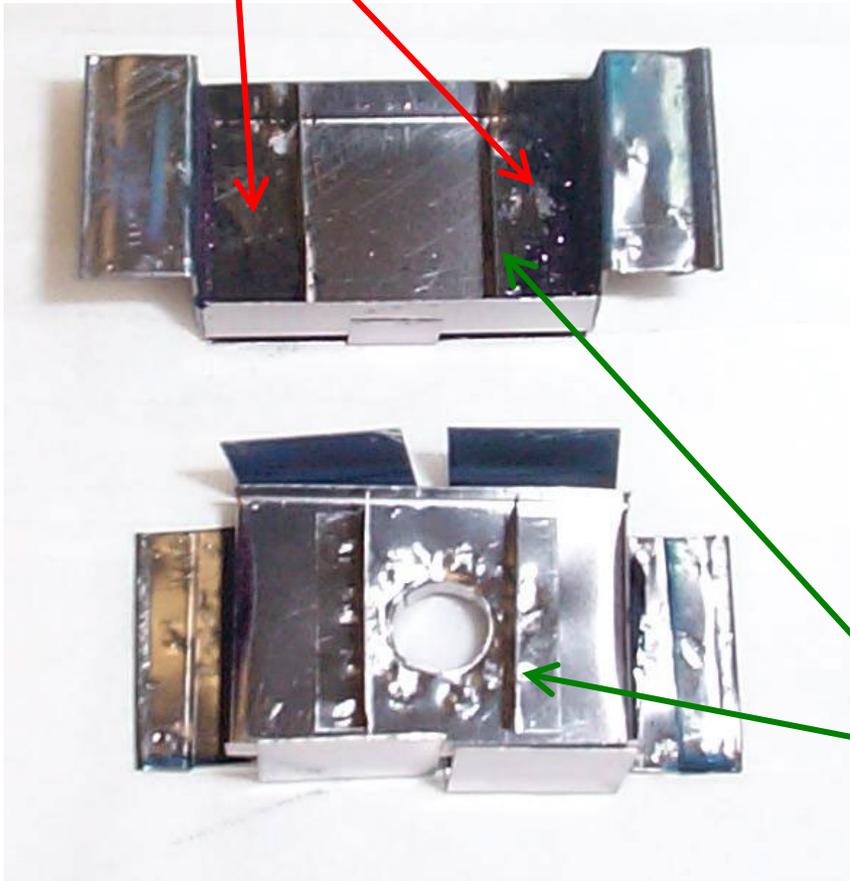


alumina crucible in tantalum box

Baffled Box Source

Compound goes here...

And out through the chimney.



Vapor travels over and around the double baffle...

R. D. Mathis Company
Source Selection Guide

Evaporation Source Selection Guide

Evaporation Source Type	Process Conditions								
	Low Power <100amps	Med. Power 100-250 amps	High Power >250 amps	Low temp Materials <1,000°C	High Temp Materials > 1,000°C	Corrosive Materials	Low Volume Coating <1,000A°	Medium Volume Coating 1,000A° - 5,000A°	High Volume Coating >5,000
Tungsten Filament	■			■	■		■		
Tungsten Point Source	■			■	■		■		
Tungsten Basket	■			■	■		■		
Alumina Coated Basket	■			■	■		■		
Tungsten Basket Heater	■			■	■	■	■	■	
Shielded Crucible Heater			■	■	■	■	■	■	■
Evaporation Boat		■	■	■	■	■	■	■	
Folded Boat		■	■	■	■	■	■	■	■
Alumina Coated Boat		■	■	■	■	■	■	■	
Baffled Box Sources			■	■	■		■	■	■
Shielded Baffled Box Sources			■	■	■		■	■	■
Micro-Electronic Sources	■			■	■		■	■	
Chrome Plated Tungsten Rods	■				■		■	■	

© Copyright R. D. Mathis Company

This source selection guide is provided to help you determine which evaporation source type might fit your needs the best.

How to use the Guide:

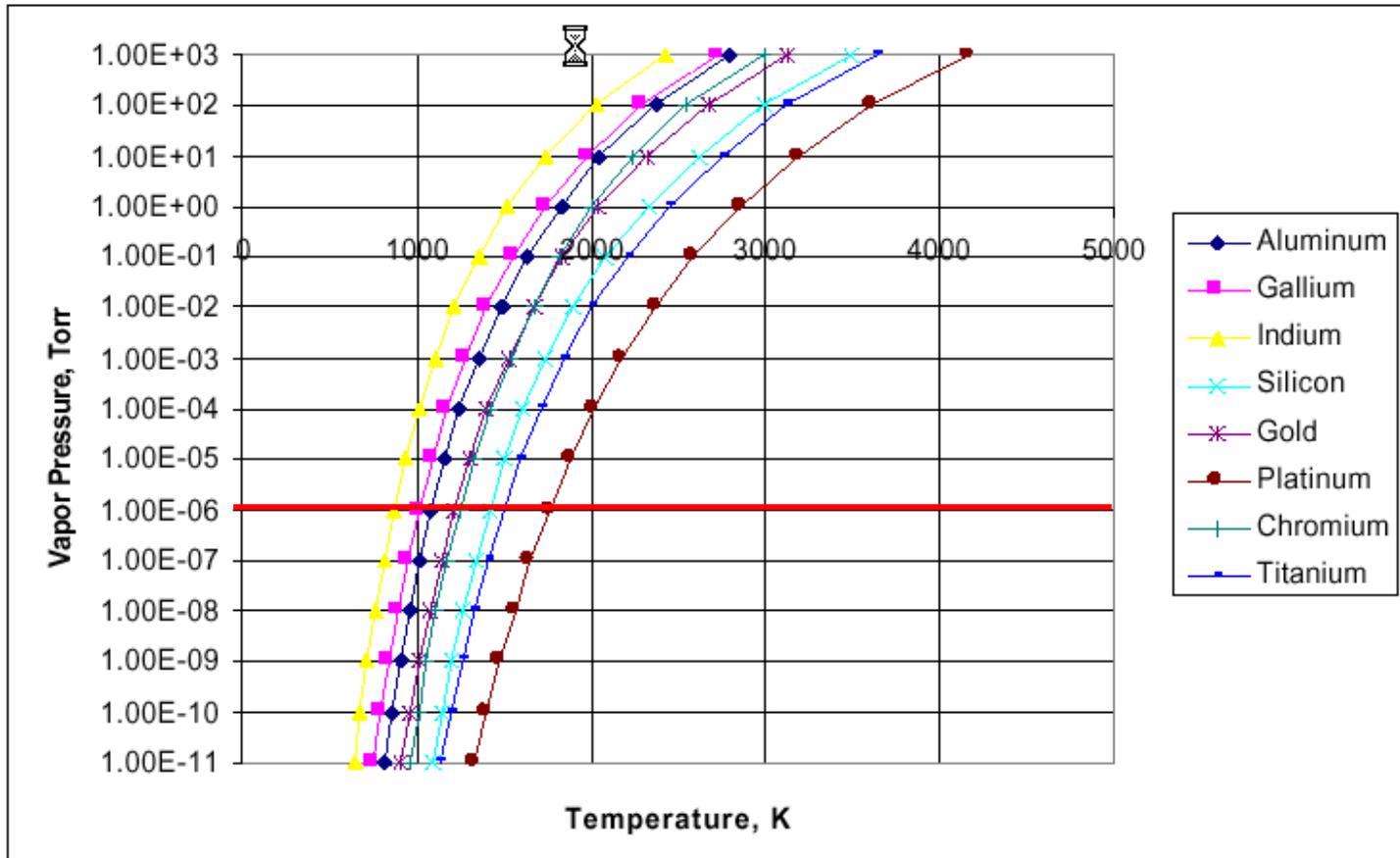
- 1) Determine how much current your system power supply is capable of safely producing.
- 2) Establish the vapor temperature of the material(s) you plan on evaporating.
- 3) Estimate the coating thickness you will be attempting.

With the information shown above, you can select which part types will best meet your needs.

The actual power required for each part number can be found on our website by clicking on the part number.

As always, the R. D. Mathis company offers no charge technical support if you need further assistance in choosing the right evaporation source to make your thin film coating process a success.

Equilibrium Vapor Pressure



10^{-6} torr of vapor pressure ≈ 0.1 nm/s deposition rate

Impingement Rate

- The number of molecules per second striking a unit area is given by:

$$J = \frac{N_A P}{\sqrt{2\pi MRT}} \qquad J_c = \frac{N_A P 10^{-4}}{\sqrt{2\pi MRT}}$$

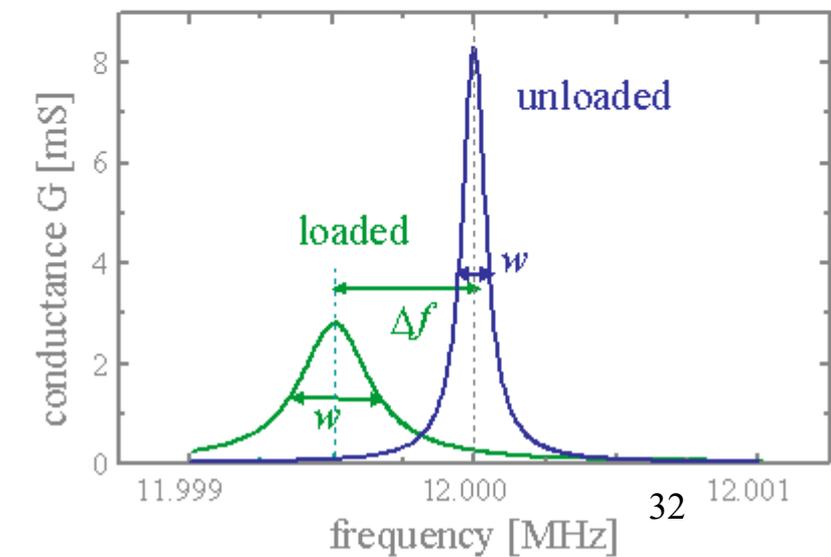
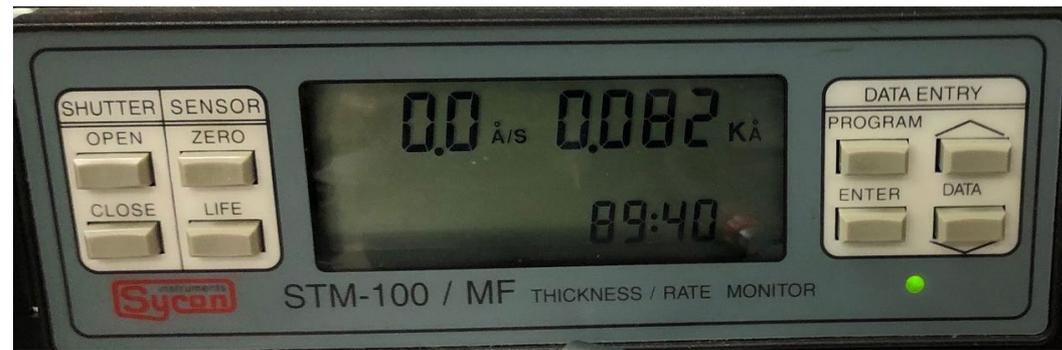
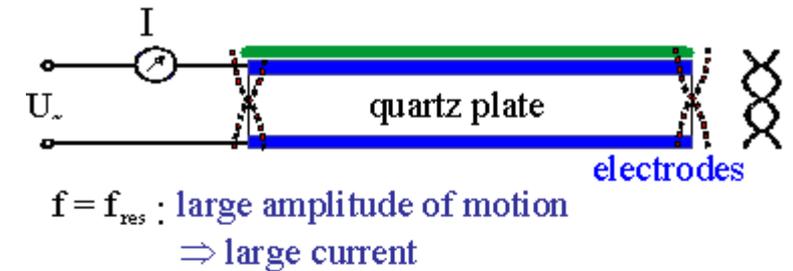
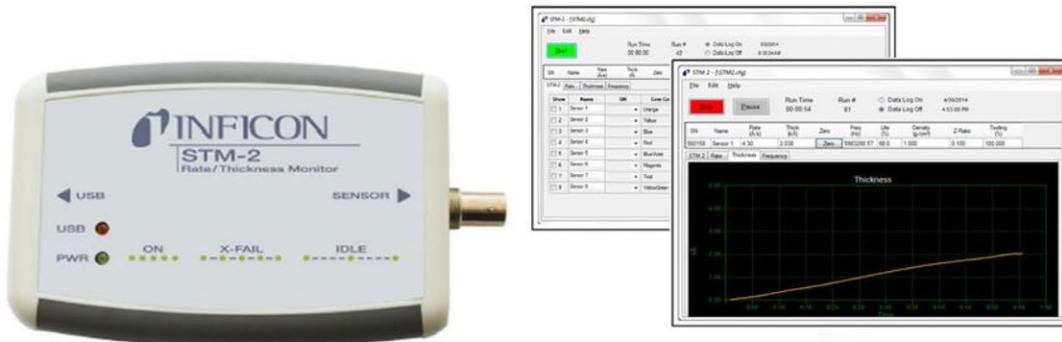
where J_c is molecules/cm²-s

Since there are roughly 10^{15} atoms/cm² on a typical metal surface, $J_c/10^{15}$ is the frequency with which the entire surface experiences collisions from the gas phase. $10^{15}/J_c$ is the time required for one complete surface encounter.

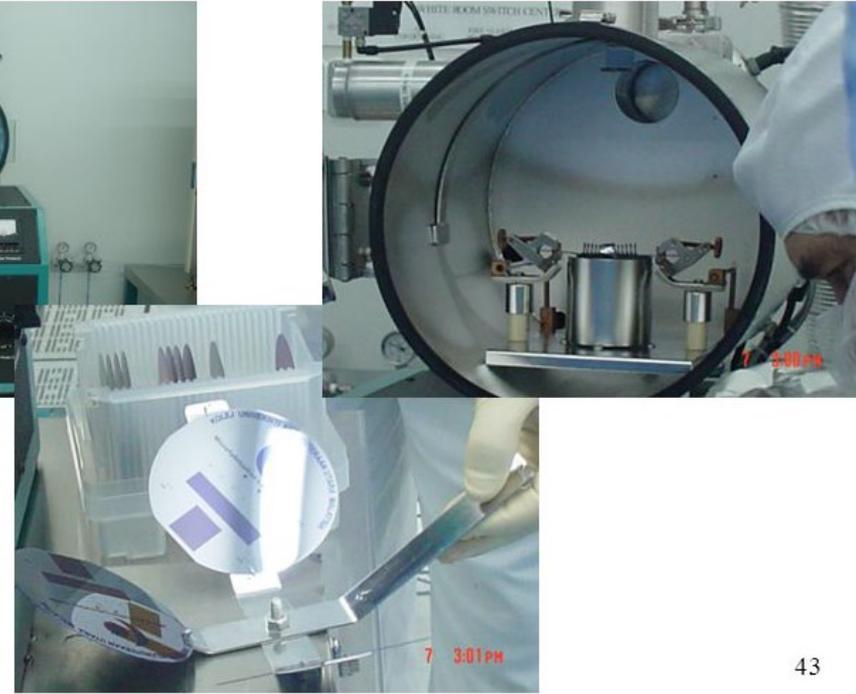
P (torr)	$10^{15}/J_c(P)$ seconds	$10^{15}/J_c(P)$ hours
10^{-3} (1 millitorr)	2×10^{-3}	---
10^{-5}	0.3	---
10^{-7}	26.	0.007
10^{-9}	2,600	0.73
10^{-10}	26,000	7.3

NAME	SYMBOL	MELTING POINT °C	DENSITY g/cm ³	TEMPERATURE (°C) @ VAP. PRESS			EVAPORATION TECHNIQUES				REMARKS n = Index of refraction
				10 ⁻⁹ TORR	10 ⁻⁶ TORR	10 ⁻⁴ TORR	ELECTRON BEAM	CRUCIBLE	COIL	BOAT	
Aluminum	Al	660	2.70	677	821	1010	XInt.	TiB ₂ -BN ZrB ₂ , BN	W	TiB ₂ , W	Alloys and wets; tungsten-stranded superior
Aluminum Antimonide	AlSb	1080	4.3	—	—	—	—	—	—	—	—
Aluminum Arsenide	AlAs	1600	3.7	—	—	~1300	—	—	—	—	—
Aluminum Bromide	AlBr ₃	97	3.01	—	—	~50	—	Graphite	—	Mo	—
Aluminum Carbide	Al ₄ C ₃	1400	2.36	—	—	~800	Fair	—	—	—	n = 2.7
Aluminum 2% Copper	Al2%Cu	640	2.82	—	—	—	—	—	—	—	Wire feed and flash. Difficult from dual sources.
Aluminum Fluoride	AlF ₃	1257 Subl.	3.07	410 Sublimes	490	700	Poor	Graphite	—	Mo, W	n = 1.38 @ .55μ
Aluminum Nitride	AlN	Subl.	3.26	—	—	~1750	Fair	—	—	—	Decomposes. Reactive evaporate in 1D ⁻³ N ₂ with glow
Aluminum Oxide (a) (alumina)	Al ₂ O ₃	2045	3.97	—	—	1550	XInt.	—	—	W	Sapphire xint in EB, forms smooth hard films. n = 1.66
Aluminum 2% Silicon	Al2%Si	640	2.69	—	—	1010	—	TiB ₂ -BN	—	—	Wire feed and flash. Difficult from dual sources.
Antimony	Sb	630	6.68	279 Sublimes	345	425	Poor	BN, C Al ₂ O ₃	Mo Ta	Mo, Ta Al ₂ O ₃ Coated	Toxic. Evaporates well. Film structure is rate-dependent.
Antimony Telluride	Sb ₂ Te ₃	619	6.50	—	—	600	—	Carbon	—	—	Decomposes over 750 °C
Antimony Trioxide	Sb ₂ O ₃	656	5.2 or 5.76	— Sublimes	—	~300	Good	BN, Al ₂ O ₃	—	Pt	Toxic. Decomposes on W. n = 2.05
Antimony Triselenide	Sb ₂ Se ₃	611	—	—	—	—	—	Carbon	—	Ta	Stoichiometry variable.
Antimony Trisulphide	Sb ₂ S ₃	550	4.64	—	—	~200	Good	Al ₂ O ₃	—	Mo Ta	n = 3.01 @ .55μ. No decomposition.
Arsenic	As	814	5.73	107 Sublimes	150	210	Poor	Al ₂ O ₃ BeO Vit. Carbon	—	C	Toxic. Sublimes rapidly at low temperat
Arsenic Selenide	As ₂ Se ₃	360	4.75	—	—	—	—	Al ₂ O ₃ Quartz	—	—	n = 2.41 @ 3.8μ JVST 10, 748 (1973)
Arsenic Trisulphide	As ₂ S ₃	300	3.43	—	—	~400	Fair	Al ₂ O ₃ Quartz	—	Mo	n = 2.8 JVST 10, 748 (1973)
Arsenic	As ₂ Te ₃	362	—	—	—	—	—	—	—	Flash	JVST 10, 748 (1975)

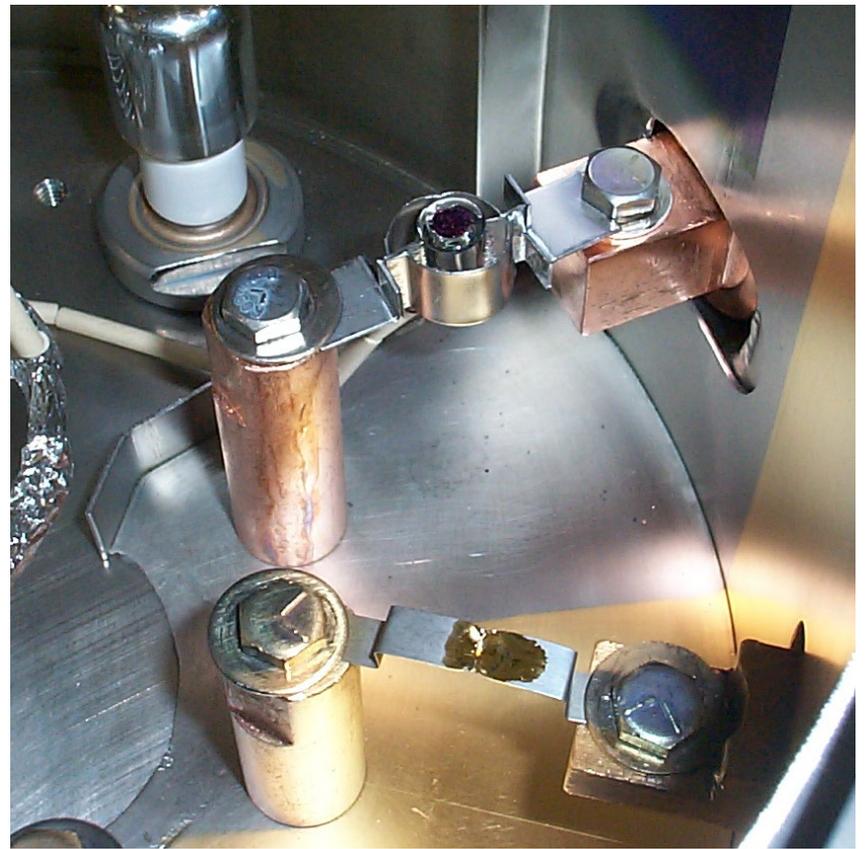
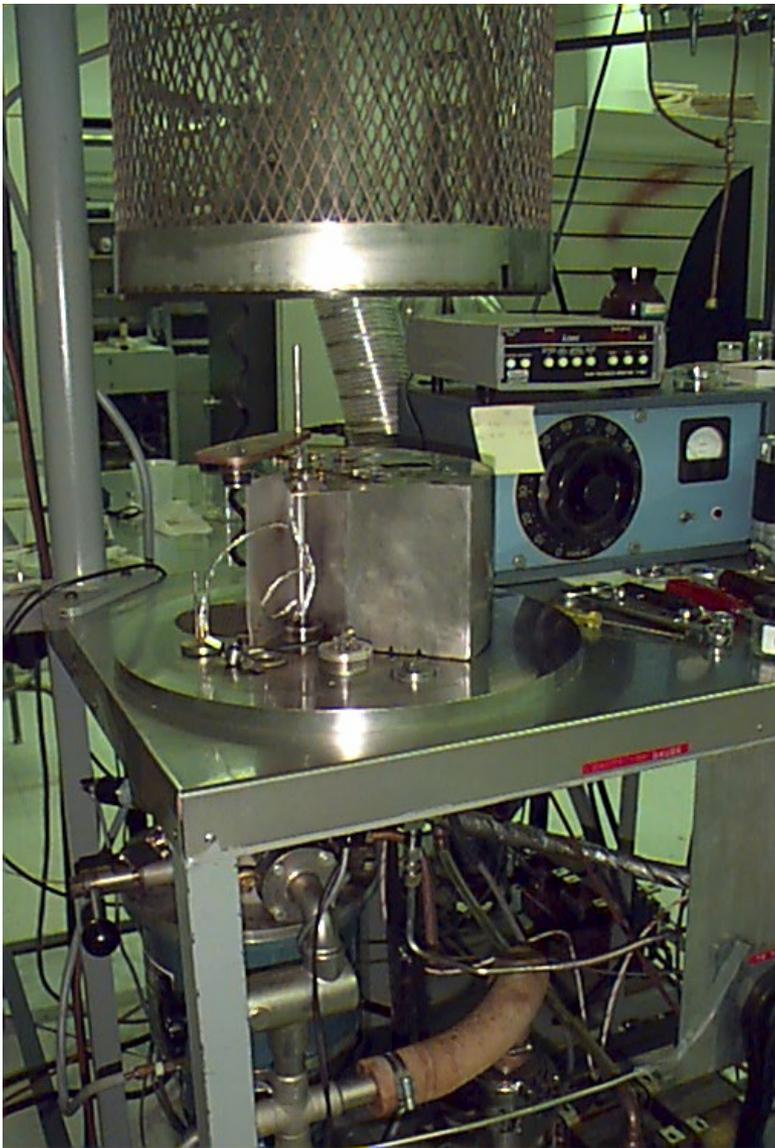
Quartz crystal film thickness monitor



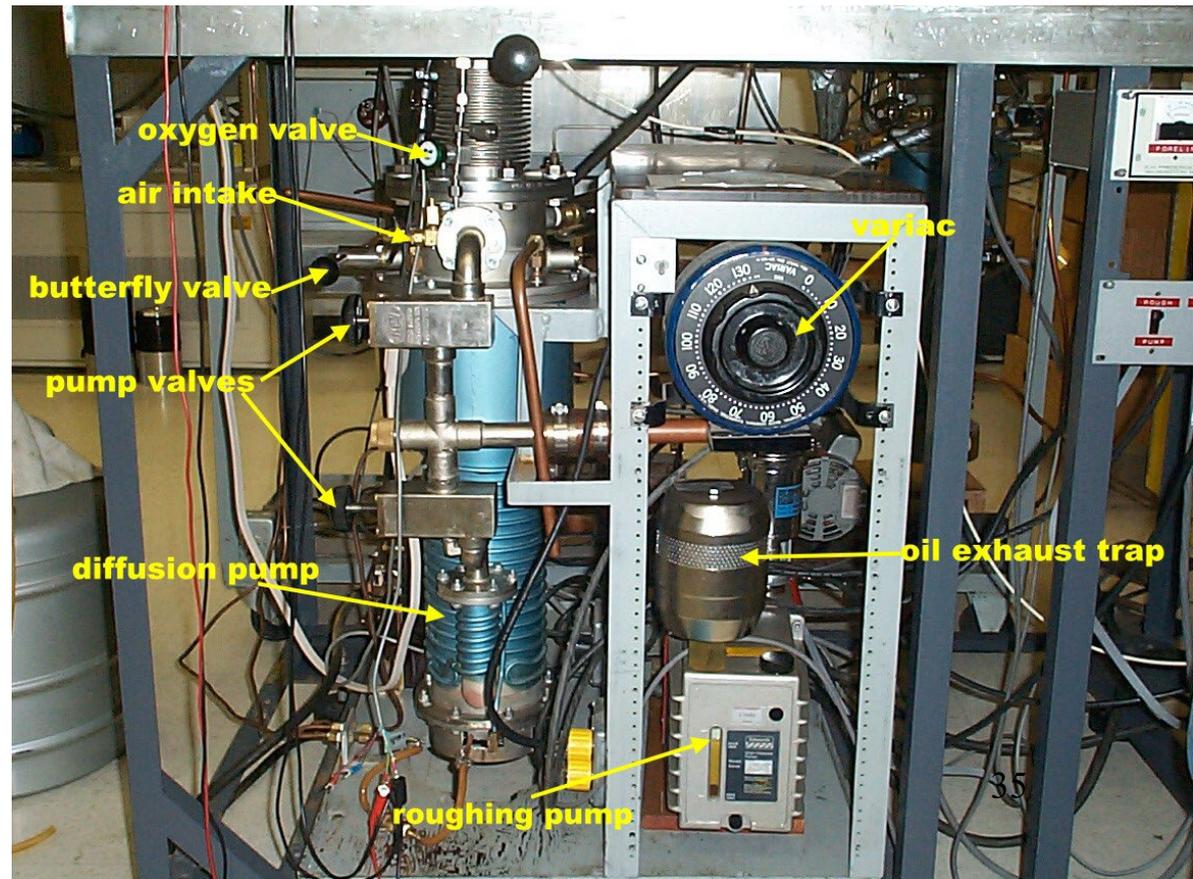
Physical Vapor Deposition system



43



Typical High Vacuum (HV) deposition system



Essential Accessories

- **RGA:** Residual gas analyzer: *How clean is your system and what are you depositing?*
- **Quartz crystal thin film monitor:** *How fast is your film growing and how thick is it?*
- **Shutters and shields:** *Control where the vaporized material goes.*
- **Gauging:** *Bayard-Alpert or modern cold-cathode for UHV, thermocouple for backing pressure, ...*
- **Sample heating, cooling, and temperature control:** *Its hard to in a vacuum!*

Vapor Deposition

- Basic Vacuum Technology
 - Viscous versus ballistic flow.
 - When is the pressure low enough?
 - Deposition methods (vapor sources).
- **Types of Adsorption**
 - Physisorption and chemisorption**
- **Nucleation and Growth of Thin Films**
 - Frank-van der Merwe (layer-by-layer)**
 - Vollmer-Weber (island)**
 - Stranski-Krastanov (layer + island)**

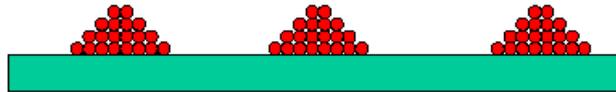
Physisorption: Electronic structure of adsorbate is only weakly perturbed by the surface. Think Van der Waals and dipole-dipole attractions.

Chemisorption: A bond is formed between adsorbate and surface. Significant charge transfer occurs between adsorbate and surface. Think covalent or ionic bond.

Parameter	Chemisorption	Physisorption
Adsorption Enthalpy	40 kJ to 800 kJ per mole	Depends on molecular mass and polarizability. 5-40 kJ/mole for <i>small</i> molecules.
Nature of Adsorption	Often dissociative and/or irreversible	Molecular and reversible
Adsorption Temperature Range	Unlimited. A given molecule may have a narrow range	At or below the sublimation temperature.
Saturation Uptake	\leq one monolayer	Multilayer adsorption
Dependence on crystal plane of a given solid.	Strong dependence	Little or no dependence

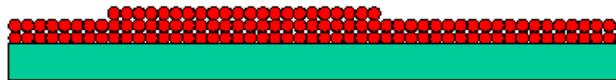
Modes of Thin Film Growth

(1) Volmer-Weber: (island growth):



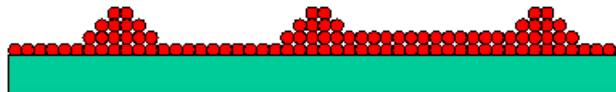
M. Volmer and A. Weber, *Z. Phys. Chem.* **119**, p. 277 (1926).

(2) Frank-Van der Merwe: (layer growth; ideal epitaxy):



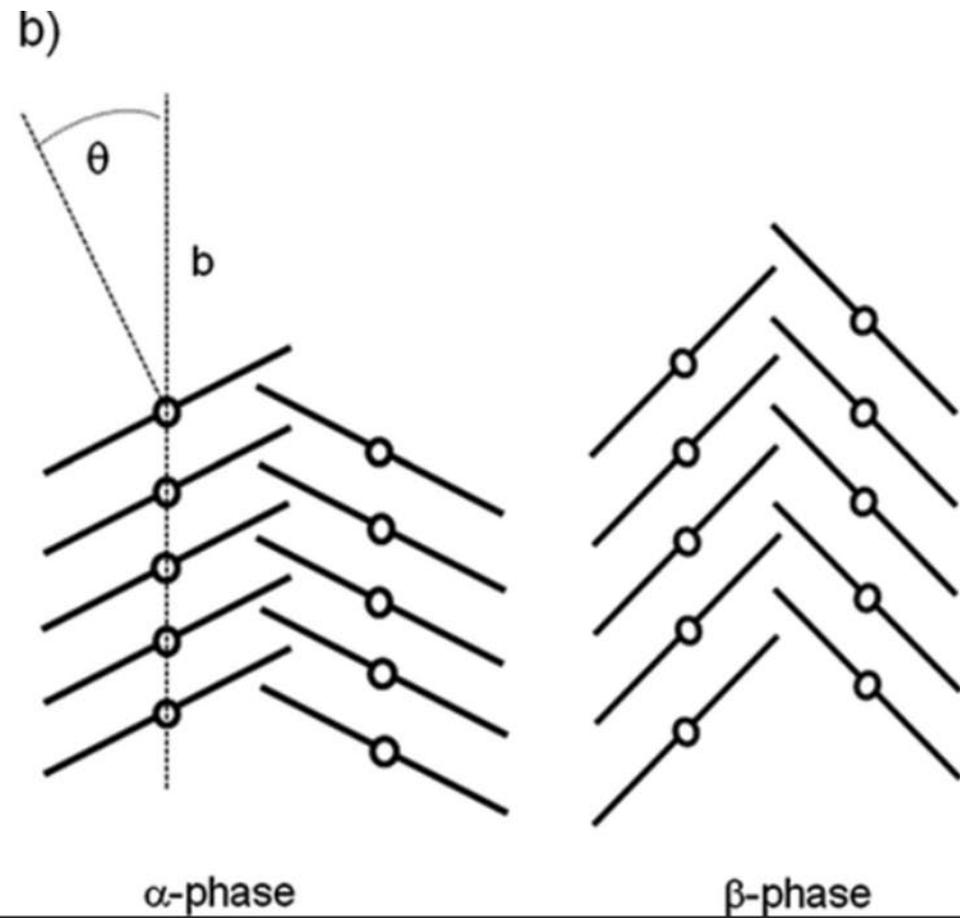
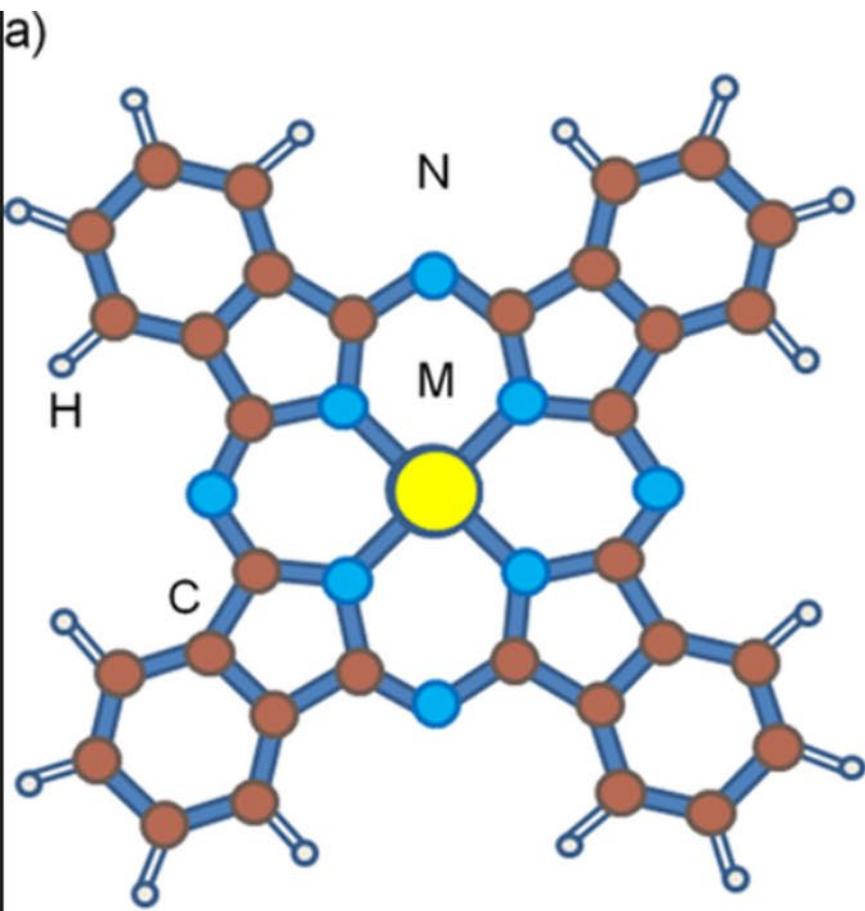
F. C. Frank and J. H. Van der Merwe, *Proc. R. Soc. London, Ser. A* **198**, p. 205 (1949).

(3) Stranski-Krastanov: (layers + islands):

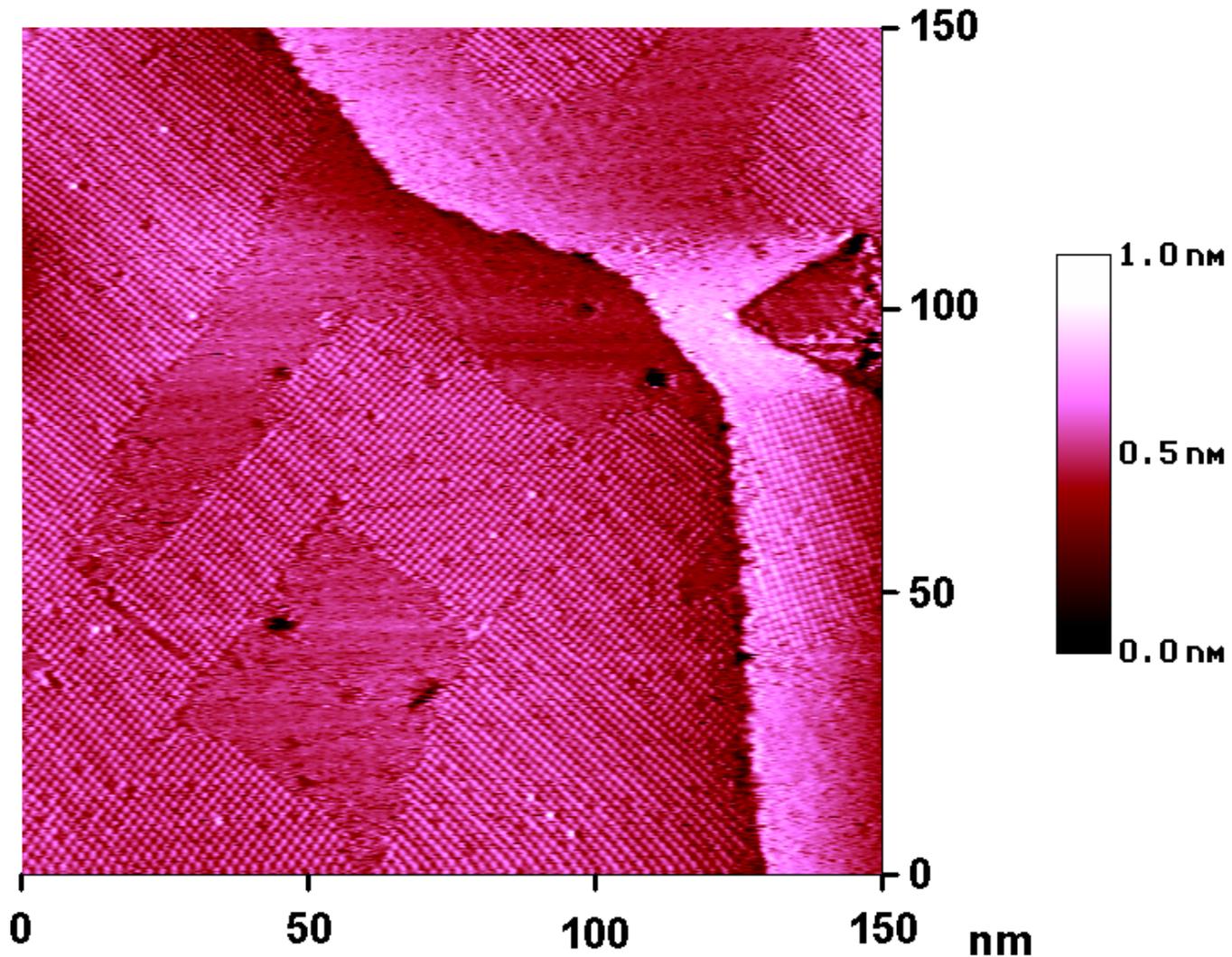


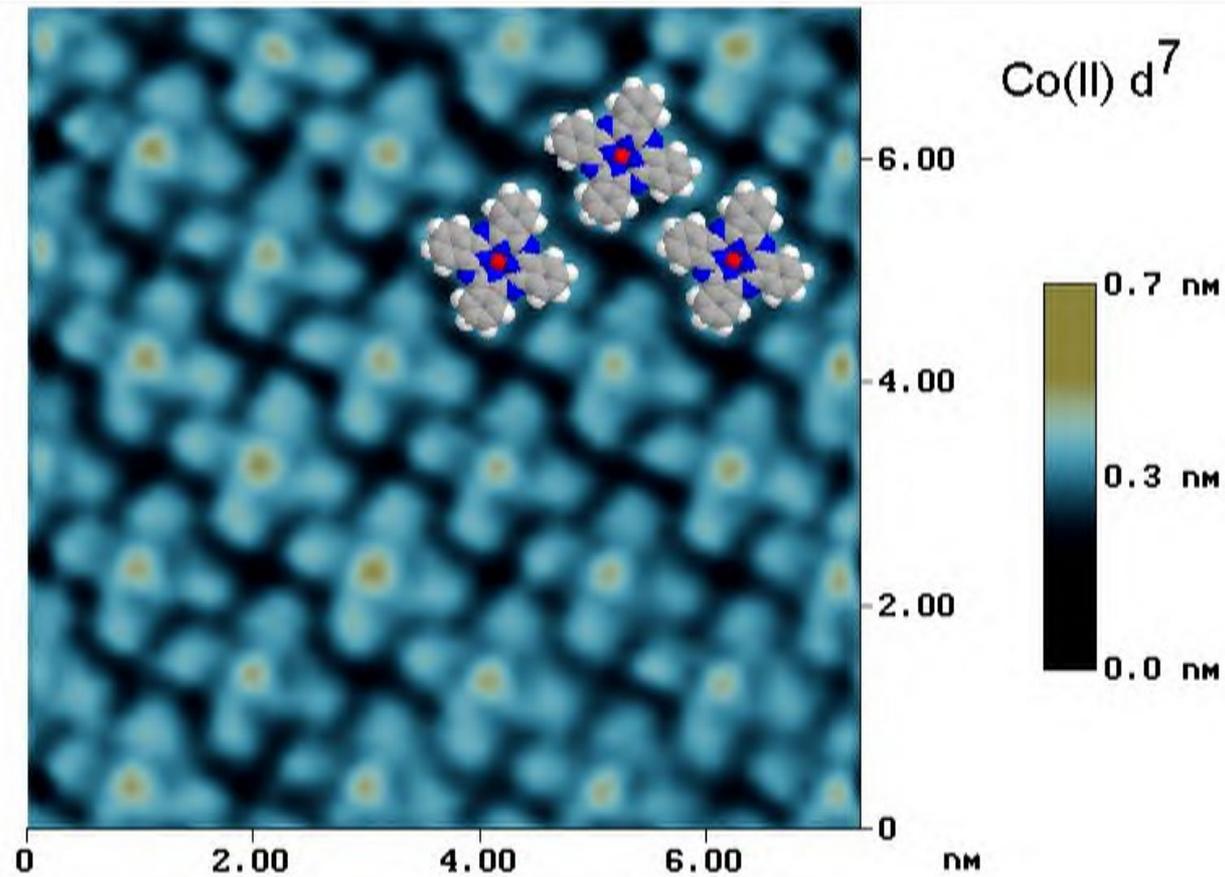
J. N. Stranski and L. Krastanov, *Ber. Akad. Wiss. Wien* **146**, p. 797 (1938).

R. B. Darling / EE-527

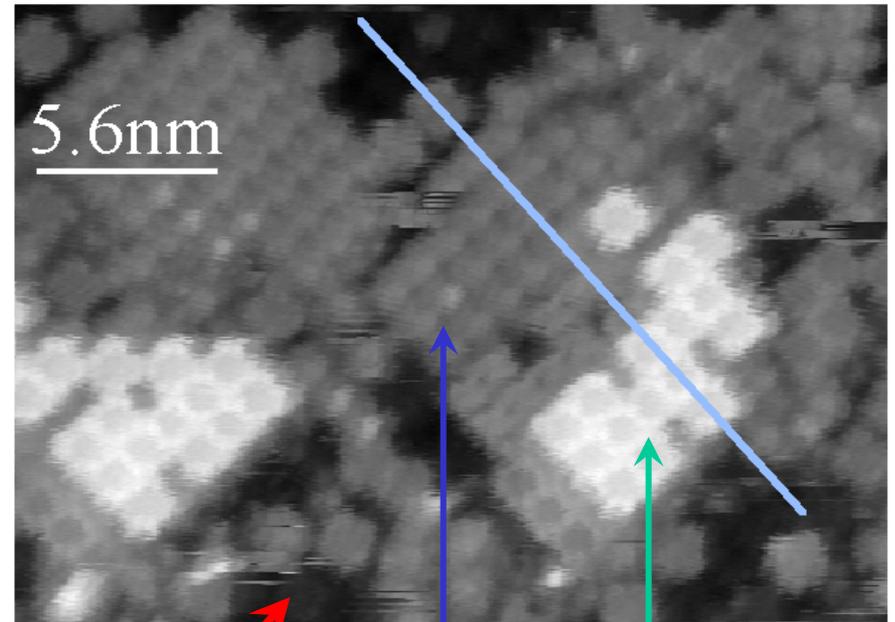
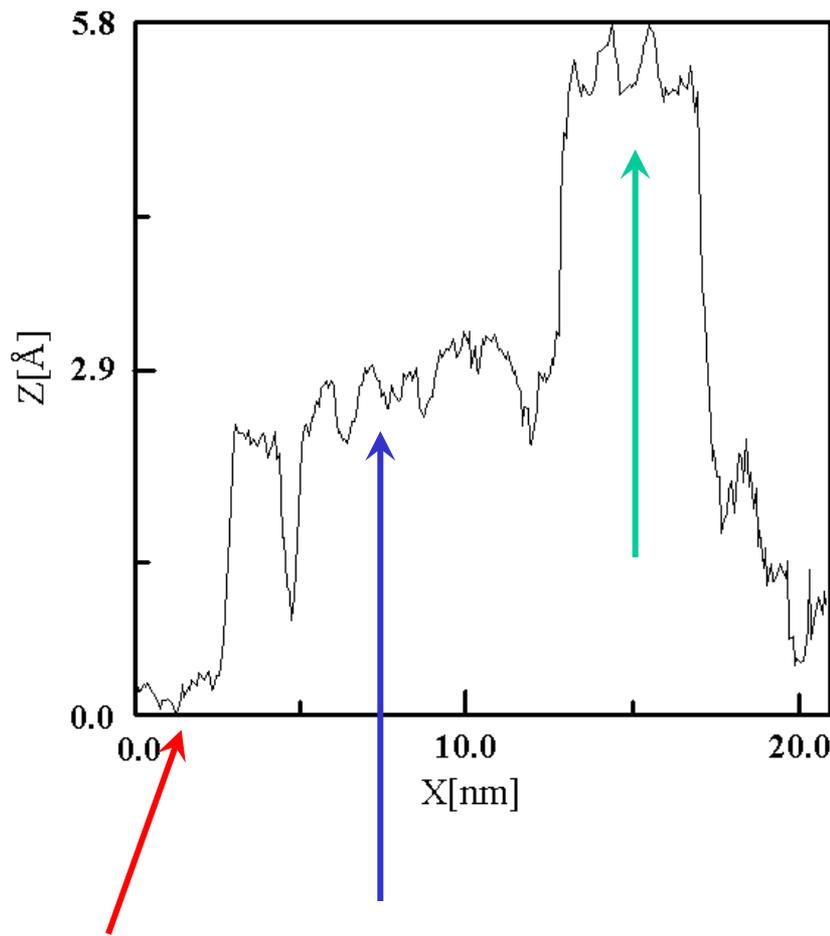


Typical low coverage image of an organometallic on Au(111)





A monolayer of cobalt(II)phthalocyanine on Au(111)

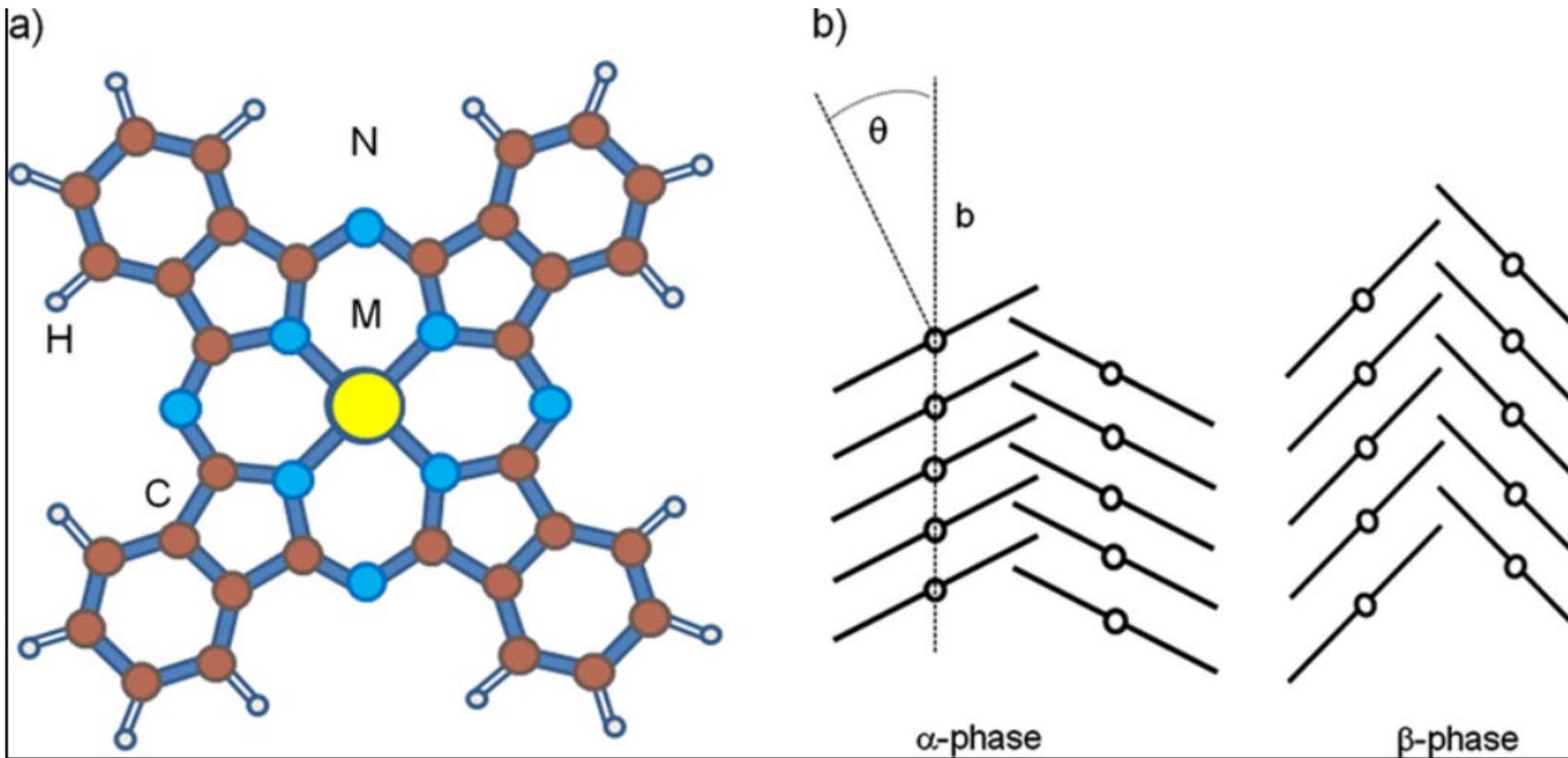


monolayer

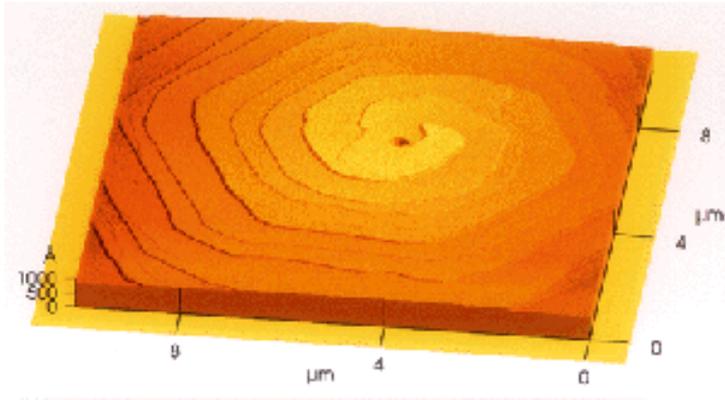
2nd layer

3rd layer

Multilayer coverage (~ 1.6 monolayer) of MPc on Au(111)

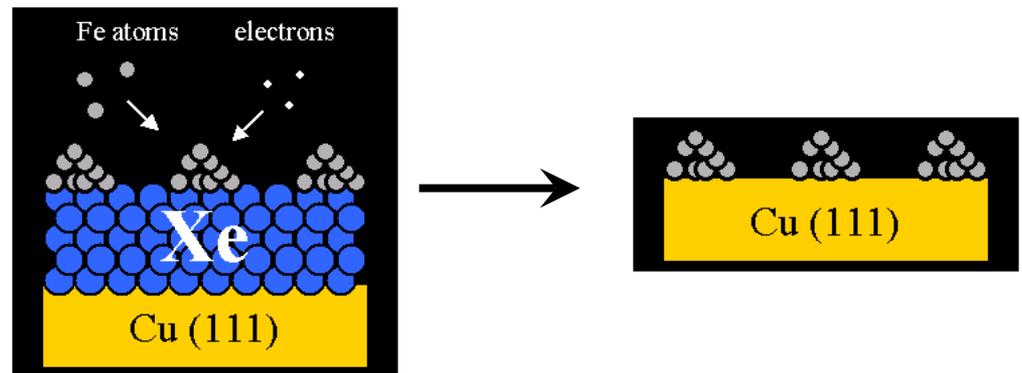


Stranski-Krastanov growth of this material is typical of many organic molecules where the substrate-adsorbate interactions overcome the intermolecular interactions for the first few layers, but then become so weak as to be ineffective.



Spiral growth step of SiC surface formed by layer growth (Frank-Van der Merwe)

Island growth of Fe clusters (Volmer-Weber)

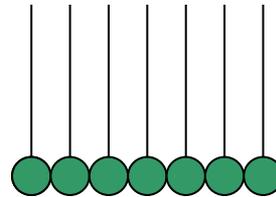


The buffer layer method, described by Weaver et al., is useful in growing quantum dots.

Self-Assembled Surface Structures

- Pressure Assisted Organized Films:
Langmuir-Blodgett films
- Self-Assembled Chemisorbed Monolayers
- Vertical Self-Assembly with Lateral Disorder
- Self-Assembled Physisorbed Monolayers
 - a) van der Waals (only) lateral interactions*
 - b) Supramolecular Structure - non-covalent interactions*
- Structure Identification through Scanning Probe Imaging and Spectroscopy.

Langmuir-Blodgett (LB) Films



Topics:

- **Introduction to LB films**
- **Making LB Films**
- **Selected Examples of LB Films**

Langmuir-Blodgett Film: a mechanically assembled monomolecular array of (usually amphiphilic) molecules on an aqueous subphase (typically). Once the molecules become compressed to the desired organization, the resulting monolayer film can be transferred onto a solid substrate.

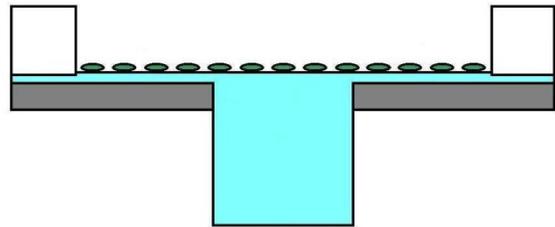
An amphiphilic molecule possesses one end that is hydrophilic and the other that is hydrophobic.

History of LB Films

- 1800 BC** Babylonians: foretell future by spreading oil on water.
- 1757 AD** Ben Franklin: oil on water dampens surface waves. Stirrs scientific interest in surface spreading on water.
- 1891 AD** Agnes Pockels: designs a trough with barriers for the manipulation of oil films on water; measures the first pressure - area isotherm.
- 1899 AD** Lord Rayleigh: uses Pockels' method to determine the size of one olive oil molecule (~ 1 nm).
- 1915 AD** Irving Langmuir: demonstrates that fatty acids form an ordered array on water.
- 1916 AD** Katharine Blodgett: deposits fatty acid films on solid supports; measures optical properties of films.
- 1938 AD** V. J. Schaefer: deposits LB films by horizontal lifting.

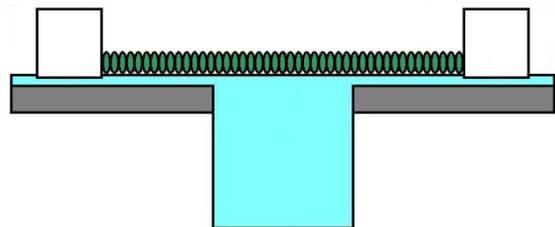
A low density layer of molecules is formed on water through solvent evaporation

1



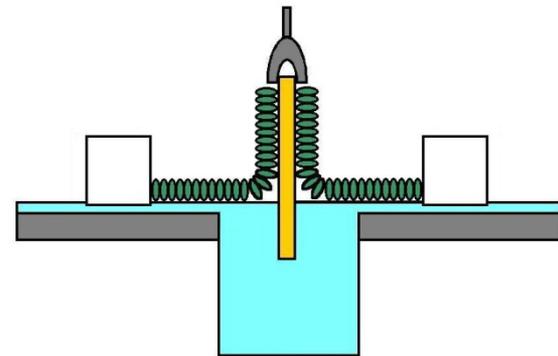
The movable barriers are brought together decreasing the area per molecule until a dense ordered monolayer is formed

2

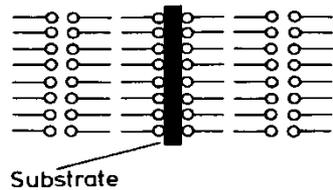
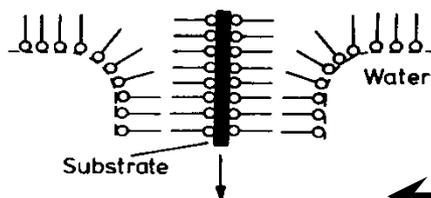
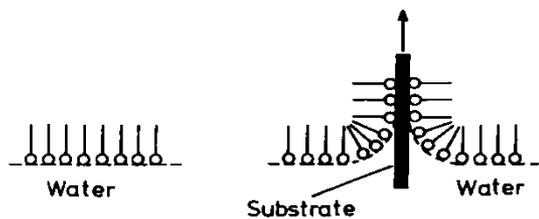
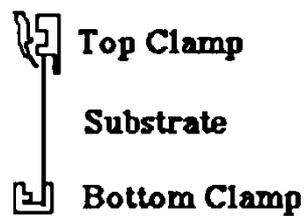
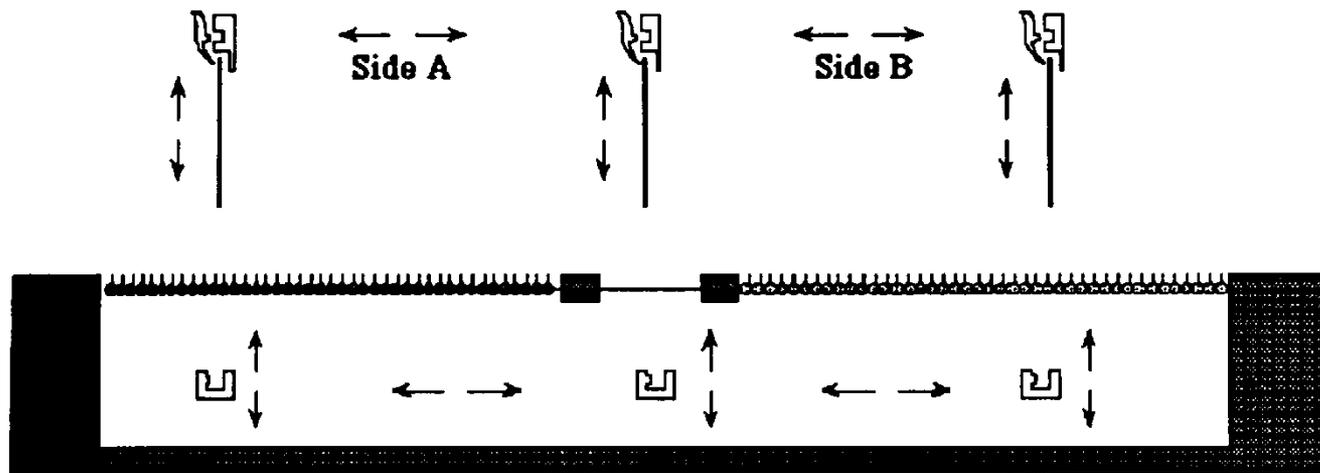


In the common Blodgett method, a substrate is moved through the LB film vertically to pick up the film. The area is simultaneously reduced to keep the film density constant.

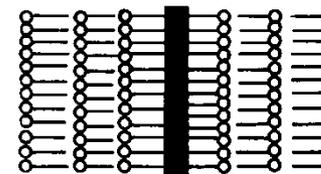
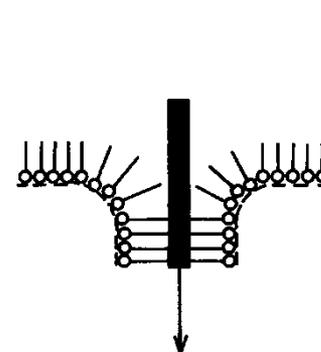
3



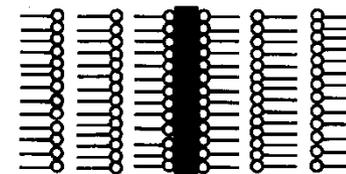
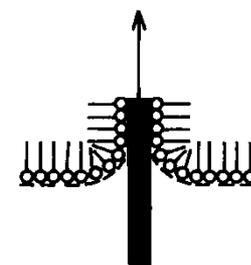
Clean Water



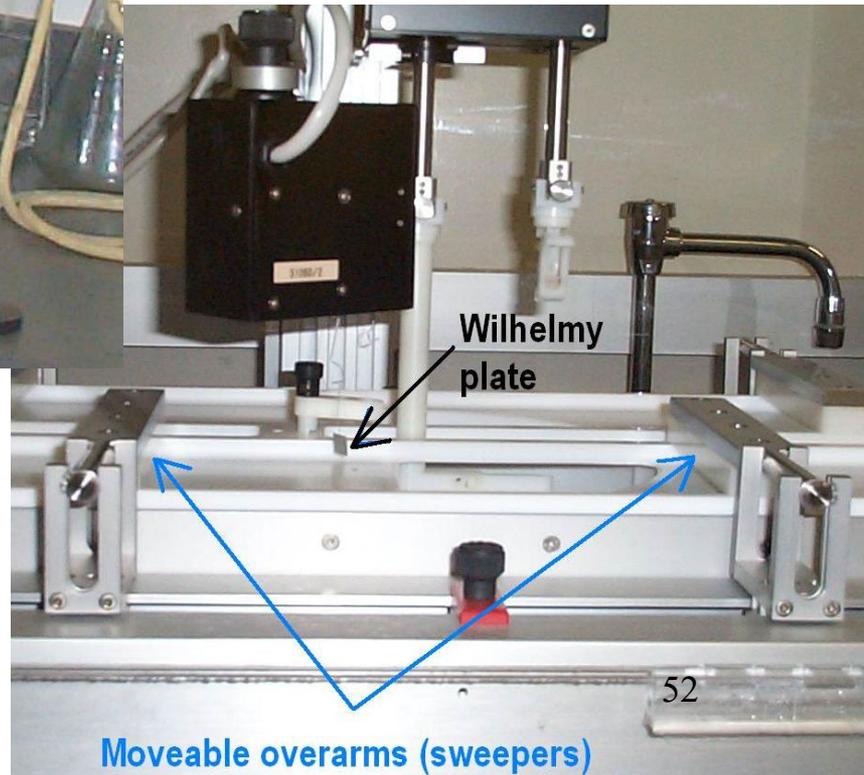
Y-type deposition



X - Deposition



Z - Deposition



Wilhelmy plate

Moveable overarms (sweepers)

Surface Tension - γ



$$dw = \gamma dx = \frac{F}{2L} dx$$

$$\gamma = \frac{F}{2L}$$

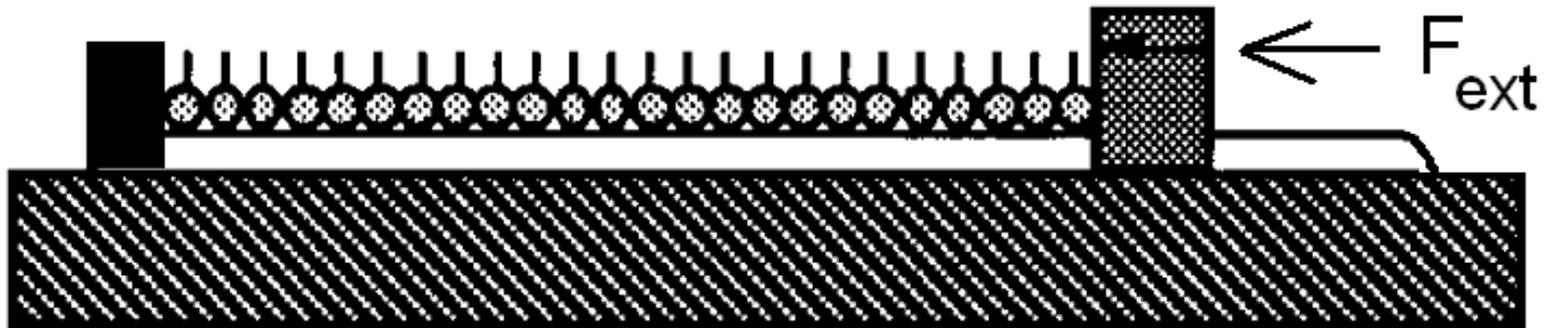
The larger the value of γ , the more force must be exerted to increase the surface area.

Liquid	Surface Tension (mN/m)
Mercury	425.4
Water	72.8
Glycerol	64.0
Ethyleneglycol	47.7
Cyclohexane	25.0
Methanol	22.7

Surface (or Spreading) Pressure

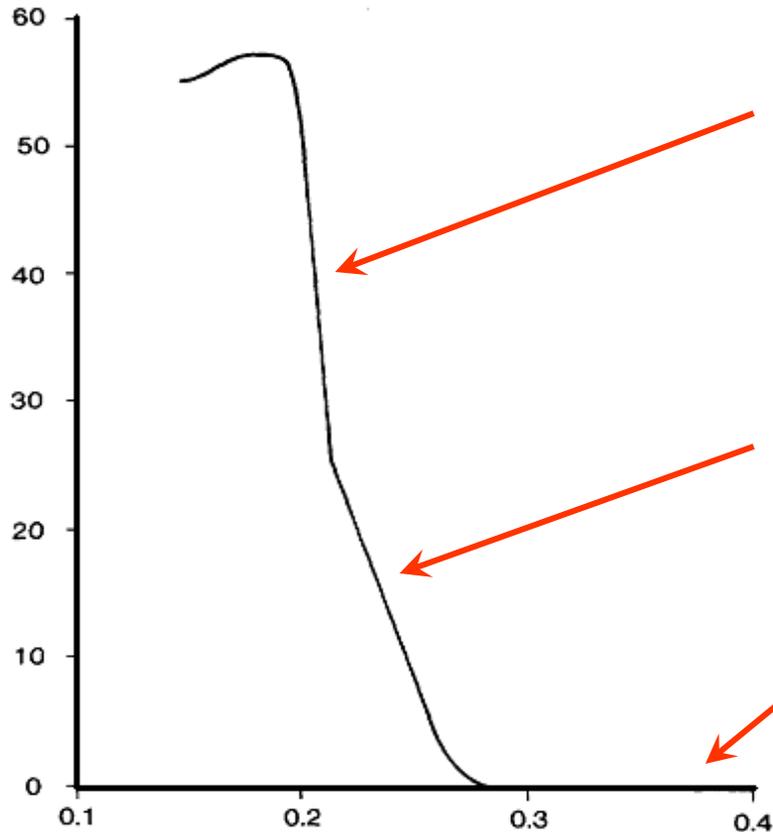
The force per unit length exerted by the film on the walls is just the difference in surface tensions of the film covered surface (γ_s) and of the pure substrate (water with surface tension γ).

$$F_{\text{ext}} = \Pi = \gamma - \gamma_s$$



Surface pressure/area isotherm for Stearic Acid at 22°C

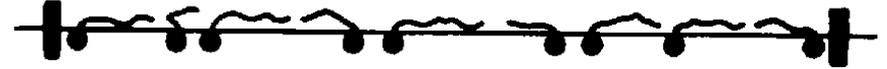
Surface Pressure
(mN/m)



“solid” phase

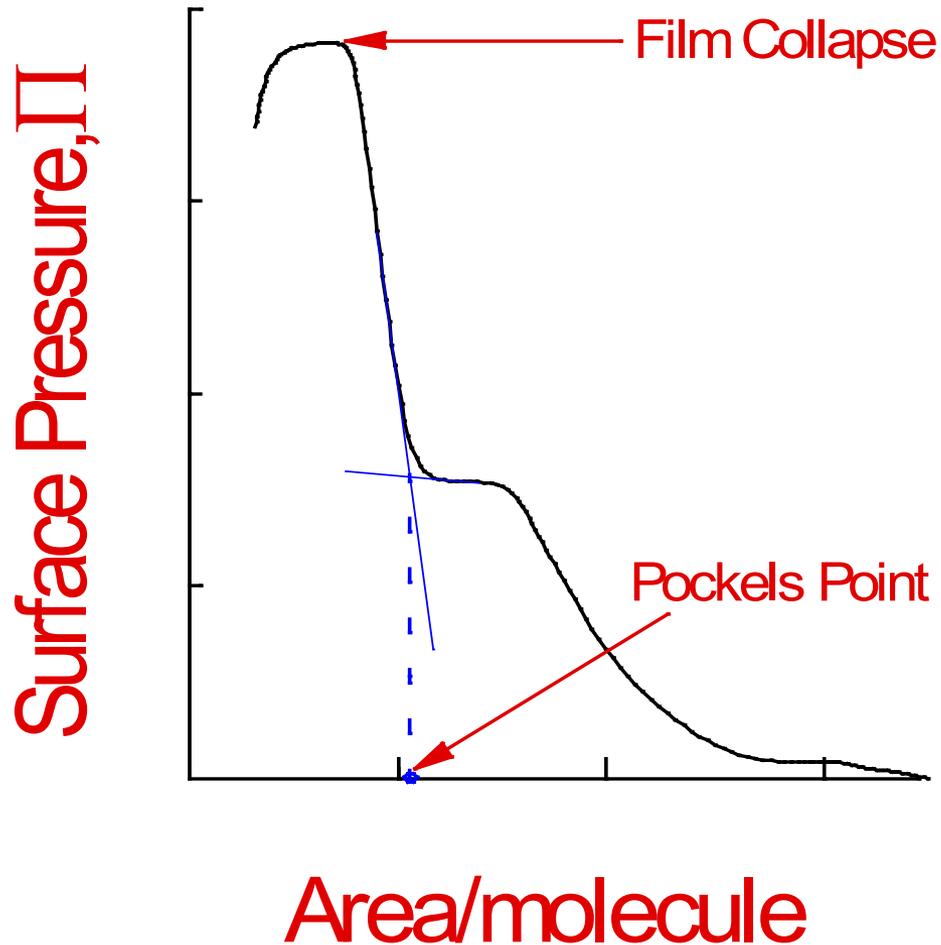


“liquid” phase



“gas” phase

Area/molecule (nm⁻²)



The effective cross sectional area per molecule in the ordered phase is given by the Pockels Point, A_p .

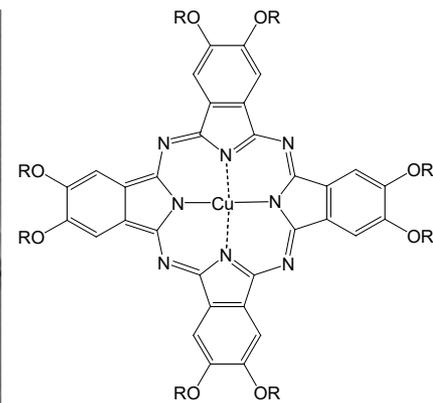
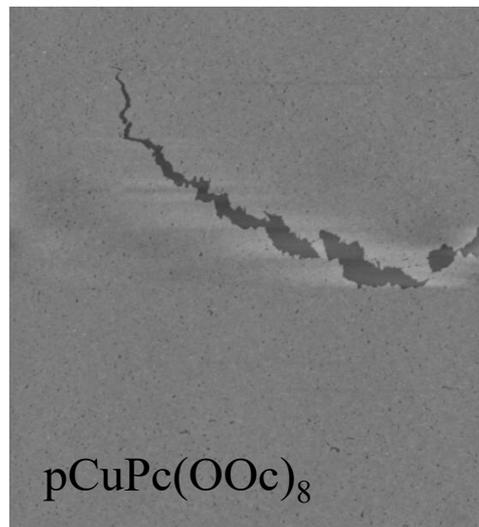
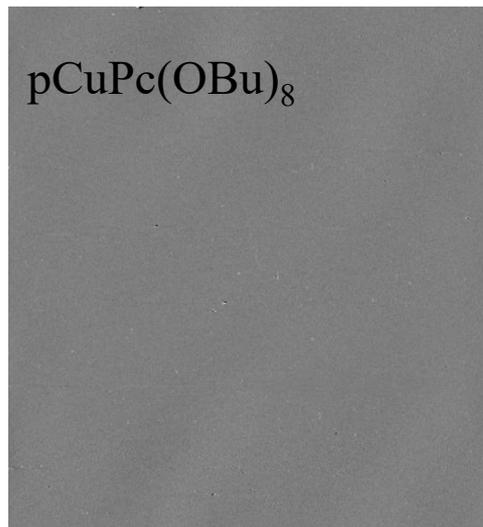
For liquids, the effective height, h , is given by

$$h = m/\rho A_p$$

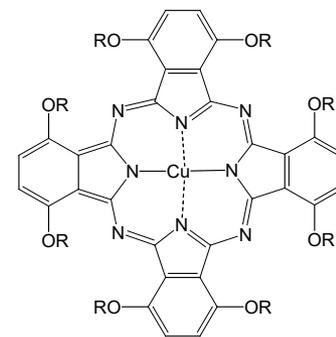
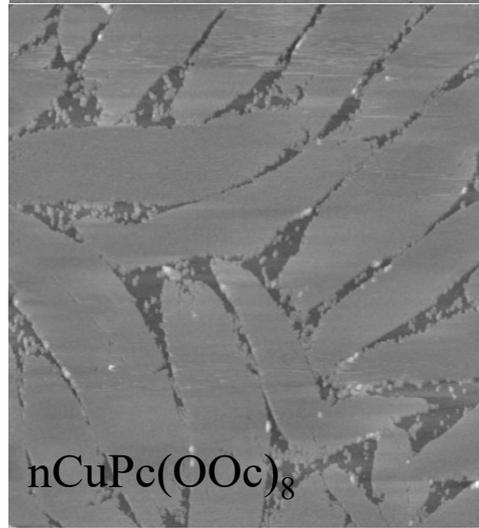
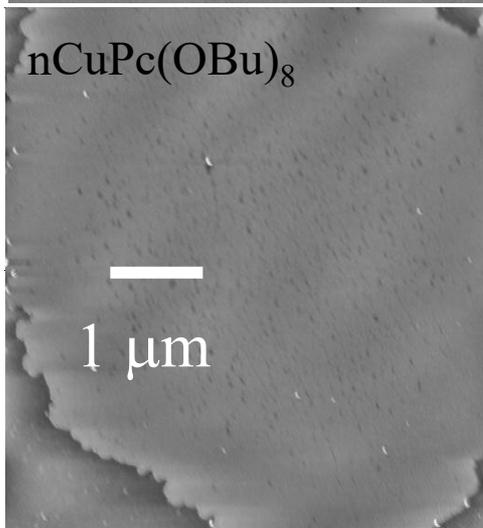
where ρ is the density of the bulk liquid and m is the mass of liquid spread on water.

Examples of Molecular Types Known to Form LB Films

- fatty acids ($C_nH_{2n+1}COOH$; $n > 12$)
- heterocyclic compounds and dyes with long aliphatic chains.
- functionalized porphyrins and phthalocyanines
- alkane chains with polar end groups
- lipids
- non-amphiphilic compounds mixed with fatty acids

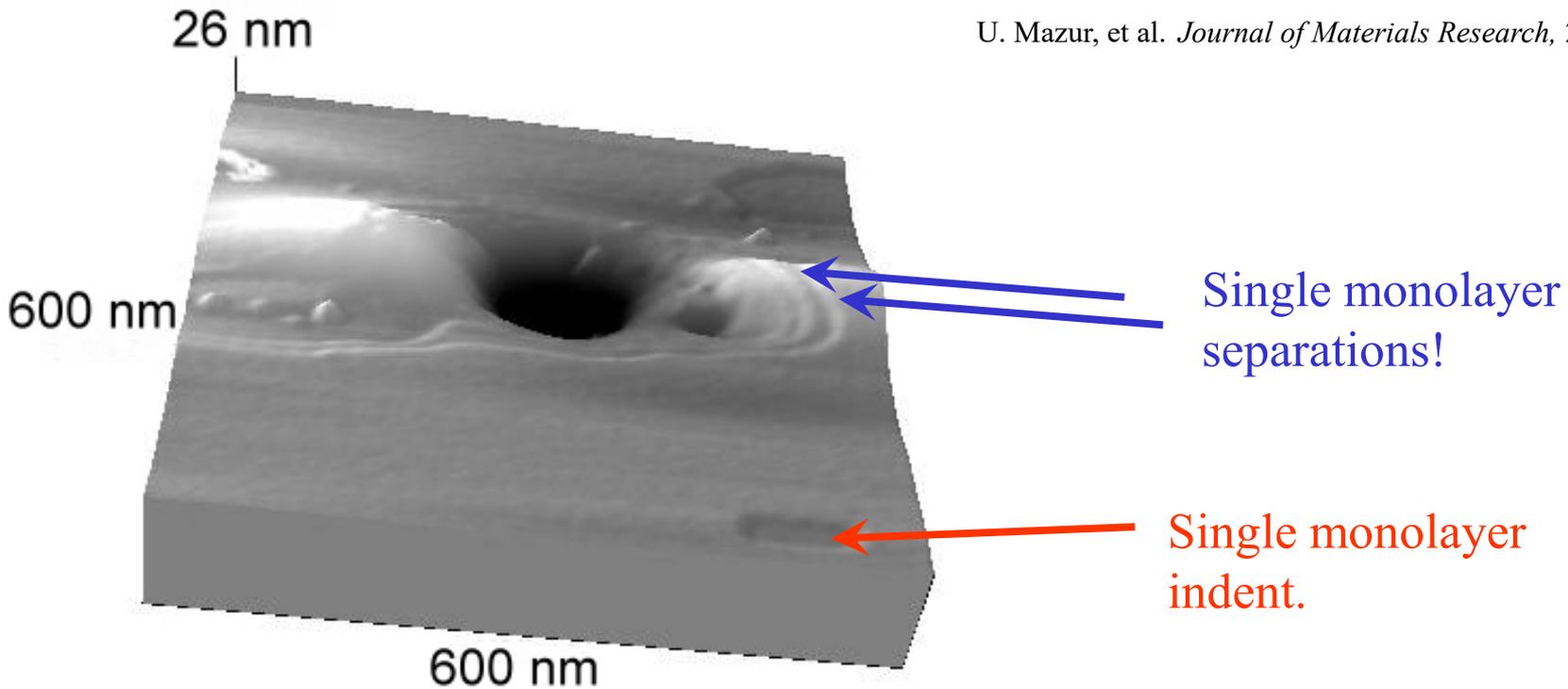


pCuPc(OR)₈



nCuPc(OR)₈

Effect of peripheral substituents on single monolayer film quality.



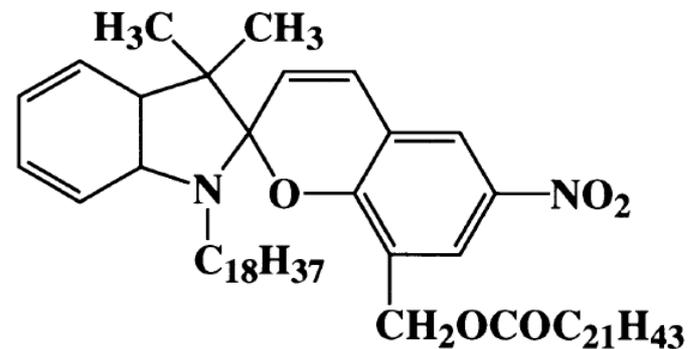
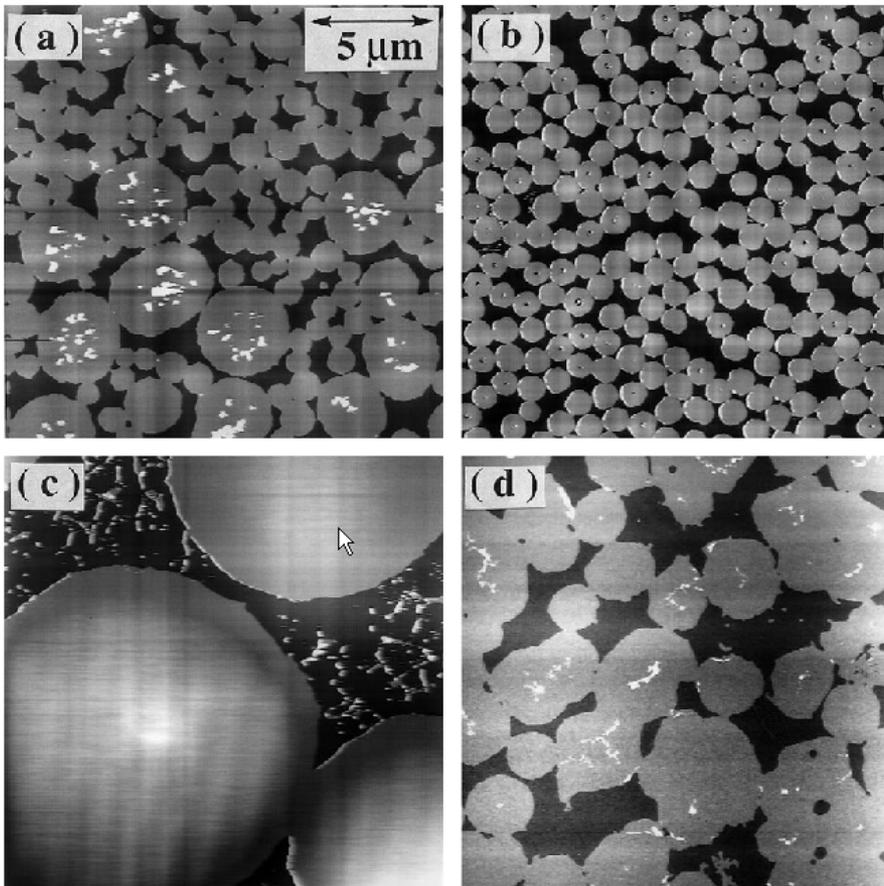
Mechanical Properties of 10 monolayer CuPc based LB films and selected materials.

Material	Young's modulus (GPa)	Hardness (GPa)
pCuPc(OBu)₈/W (nanoindenter)	83	0.60
pCuPc(OBu) ₈ /mica (AFM)	110	
pCuPc(OOc) ₈ /W (nanoindenter)	71	0.60
nCuPc(OOc) ₈ /W (nanoindenter)	63	0.52

Copper*	130	0.6
Aluminum* +	70	0.4 (film on Si)
Magnesium*	45	0.3

Polyethylene	3	0.1
--------------	---	-----

*<http://environmentalchemistry.com/yogi/periodic/>; + Oring, "Materials Science of Thin Films", 2002



AFM image of a
single monolayer on
mica.

Part in Fig.	Solvent	Concentration (mM)	Subphase temp (°C)
(a)	CHCl ₃	0.1	17
(b)	CHCl ₃	1.0	17
(c)	CHCl ₃	0.1	29
(d)	toluene	0.1	17

Self-Assembled Surface Structures

- Pressure Assisted Organized Films:
Langmuir-Blodgett films
- Self-Assembled Chemisorbed Monolayers
- Vertical Self-Assembly with Lateral Disorder
- Self-Assembled Physisorbed Monolayers
 - a) van der Waals (only) lateral interactions*
 - b) Supramolecular Structure - non-covalent interactions*
- Structure Identification through Scanning Probe Imaging and Spectroscopy.

Self-Assembled Films

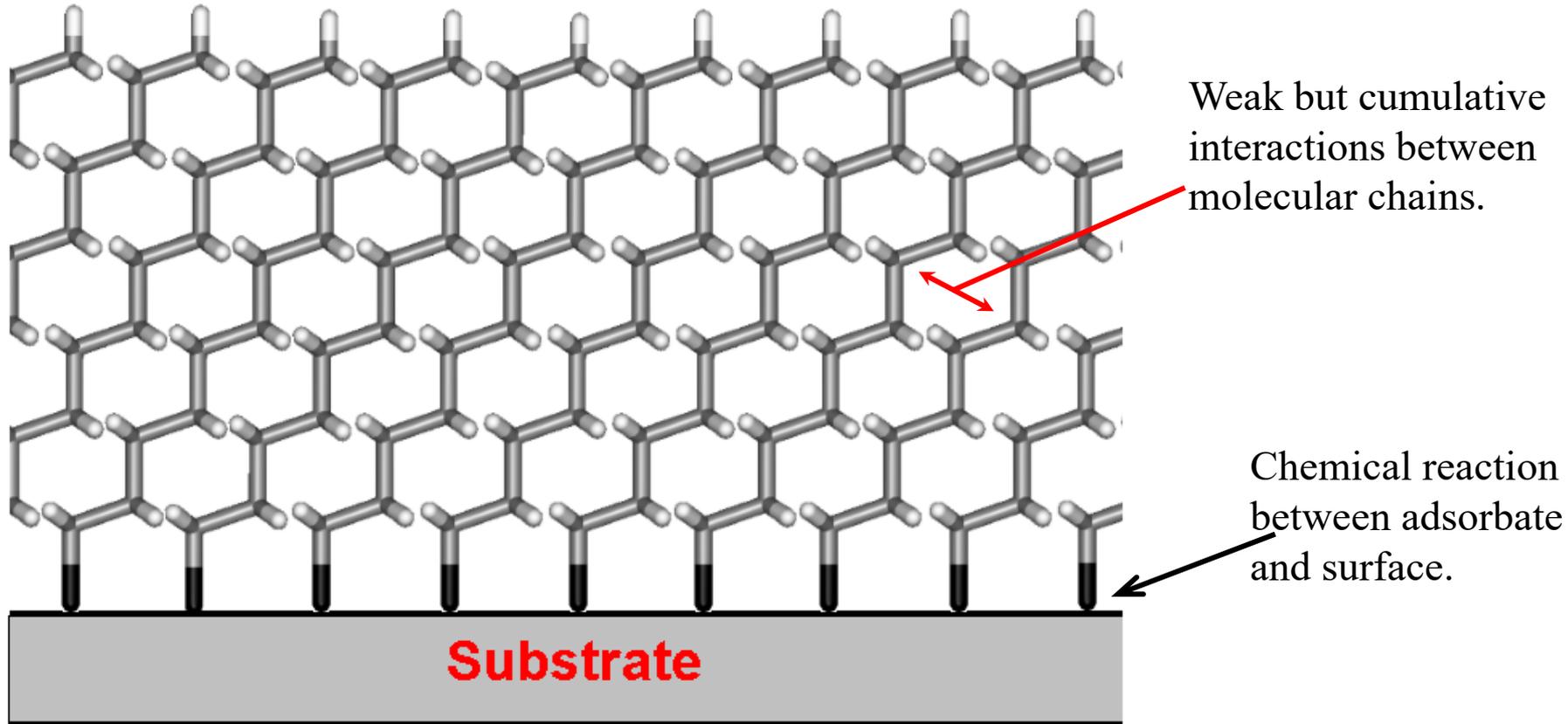
Self-assembly has become one of the holy grails of nanotechnology, and scientists in numerous labs are working to transform it into an effective nano-engineering tool.

In some sense, self-assembly is nothing new: biology does it all the time. Moreover, "supramolecular" chemistry has been studied for decades, showing how molecules can team up to form new non-covalent structures. The concept of self-assembly largely grew out of chemists' attempts to make molecules that aggregated spontaneously into specific configurations, in the same way biological molecules form complex cell membranes.

A Self Assembled Monolayer (SAM) is an ordered molecular assembly formed by the spontaneous adsorption of a molecular species. This adsorption might take place from vapor, liquid, or from solution depending on the adsorbate and the surface. Although the field is not limited to long chain molecules (we will see lots of other types), functionalized long chain hydrocarbons are most frequently used as the building blocks of these supramolecular thin film structures.

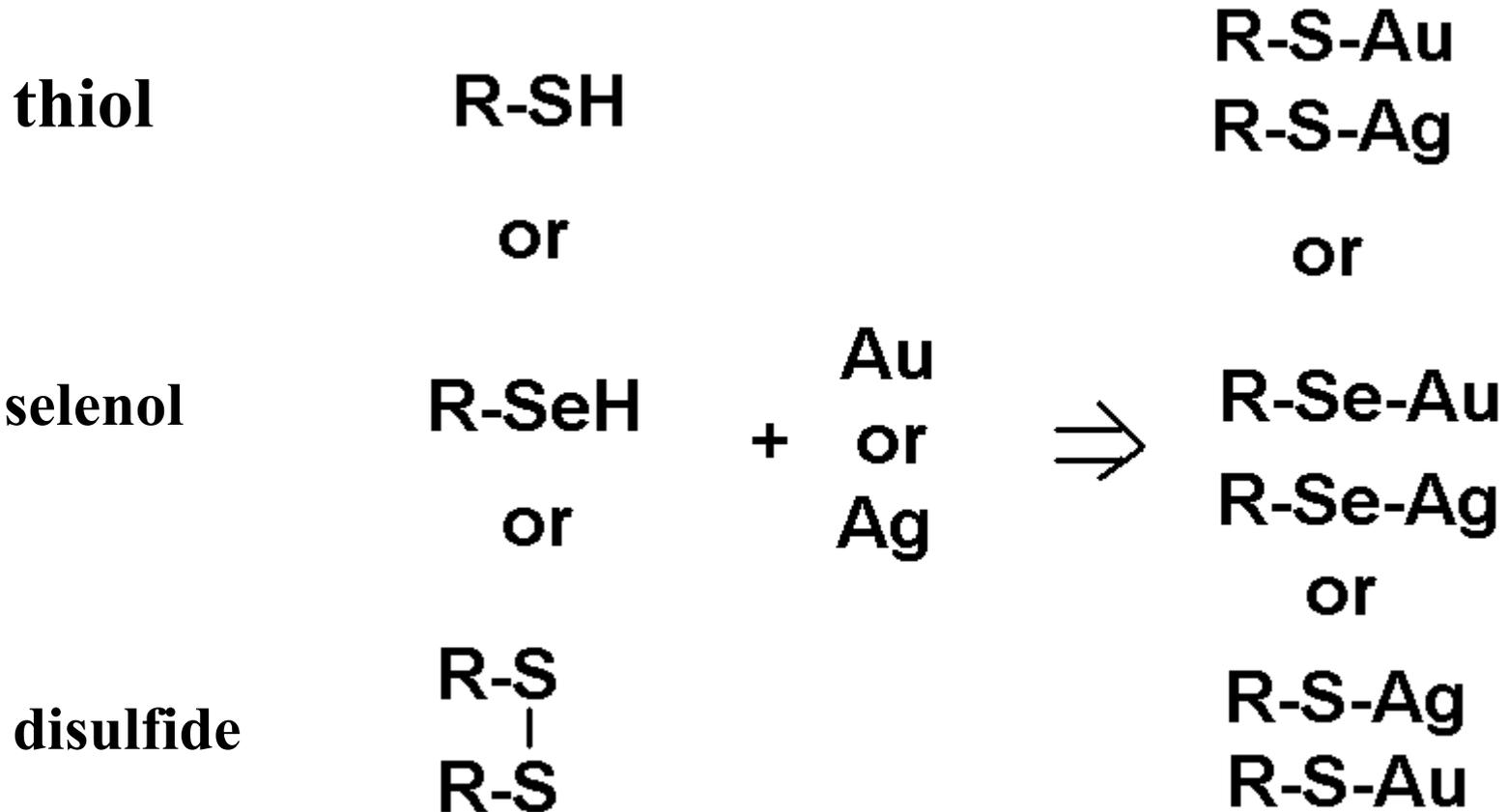
Thus, a typical SAM is formed from long chain organic molecules with a reactive group at one end that can undergo a specific chemical reaction with a substrate.

The most studied SAMs are those formed by alkanethiols on gold

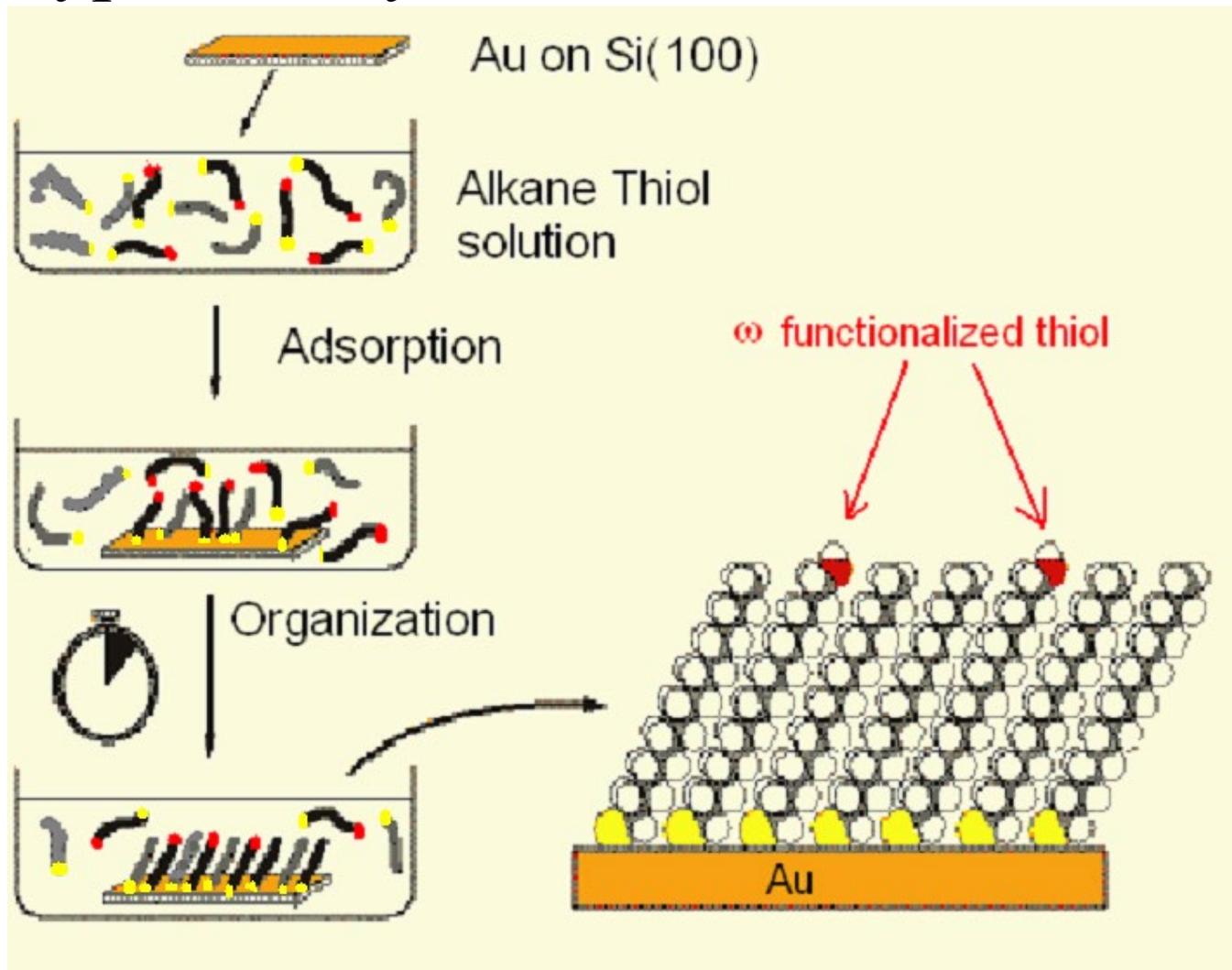


The driving forces for the spontaneous formation of many (but not all) SAMs include chemical bond formation of molecules with the surface and intermolecular interactions.

Organic-thiol SAMs



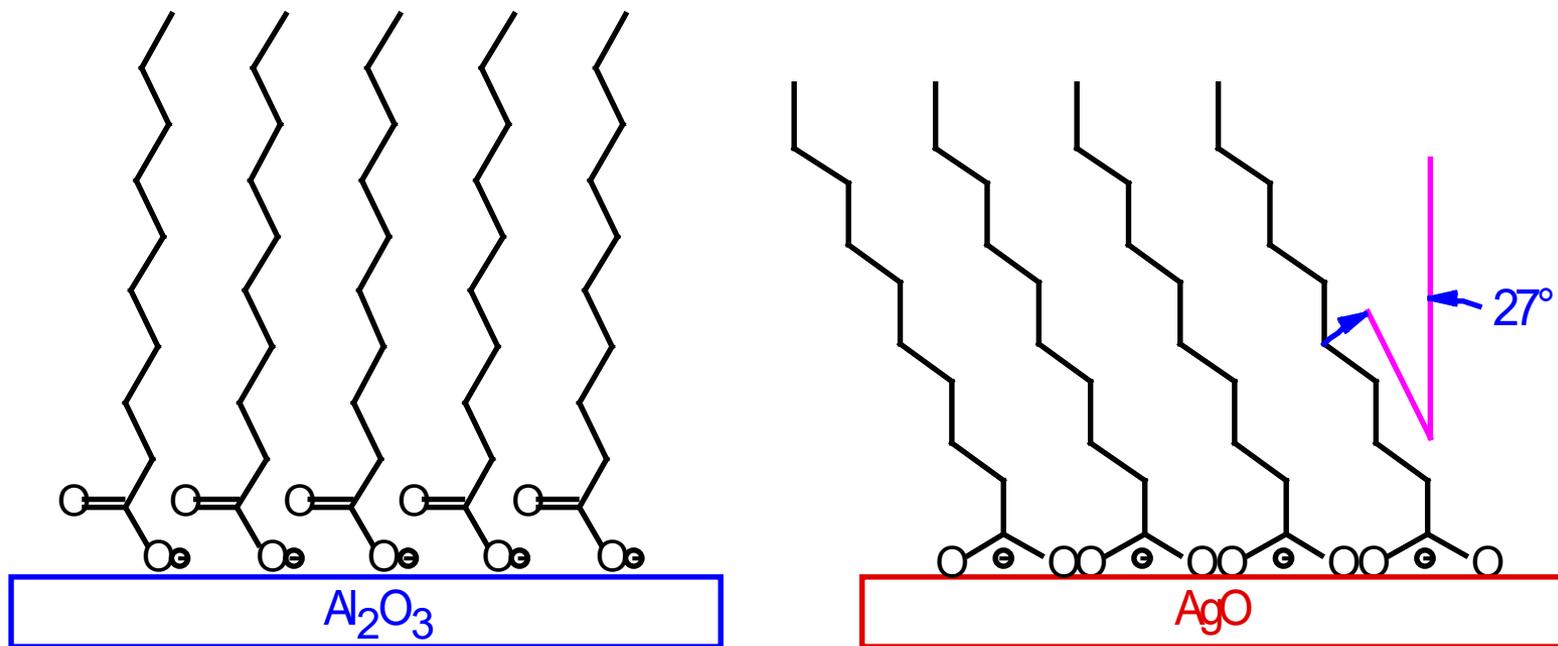
Typical Alkyl-Thiol SAM Formation



The terminal alkane end can be easily functionalized in order to provide a designed surface chemistry. (At a few sites, or all of them)

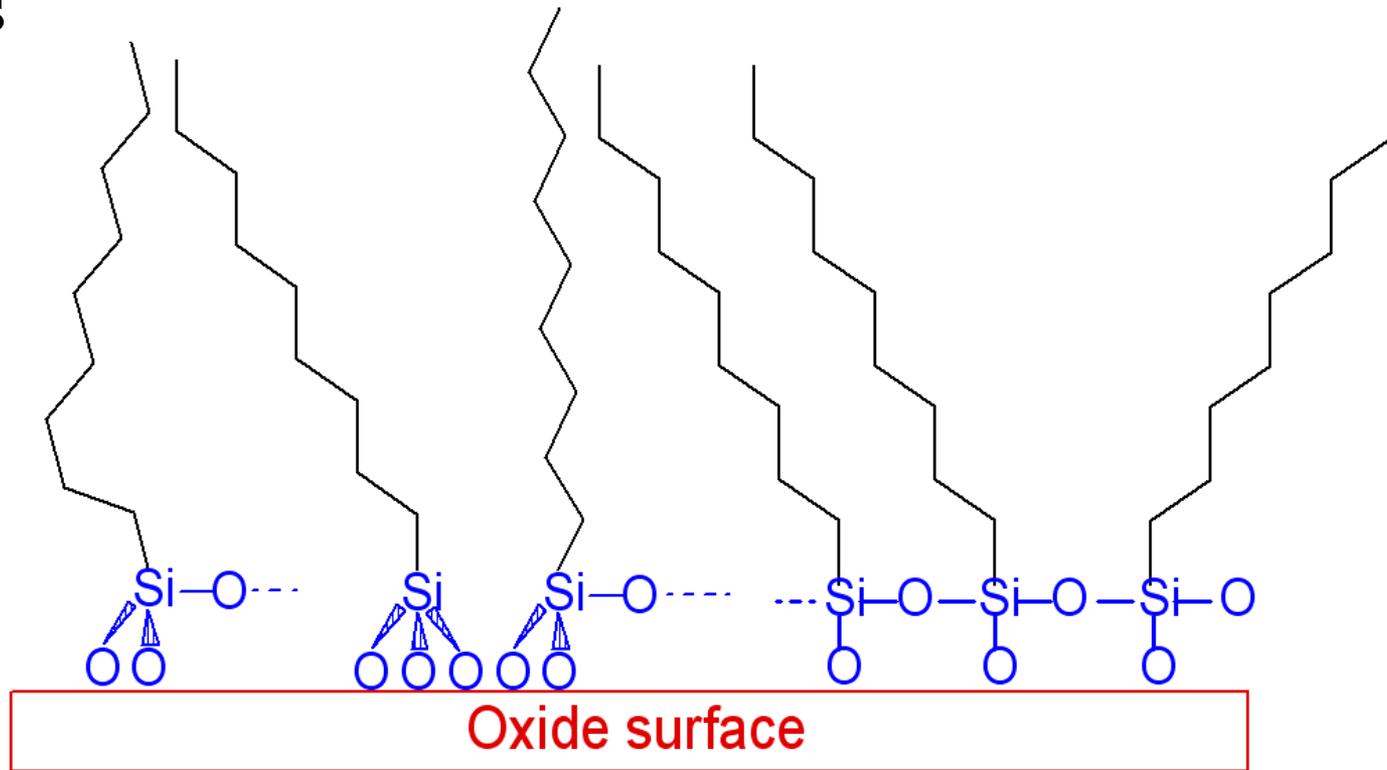
SAMs can also be formed on oxides!

$\text{CH}_3(\text{CH}_2)_n\text{CO}_2\text{H}$ Adsorbed on Oxide Surfaces



Surface acid-base reaction with elimination of water.

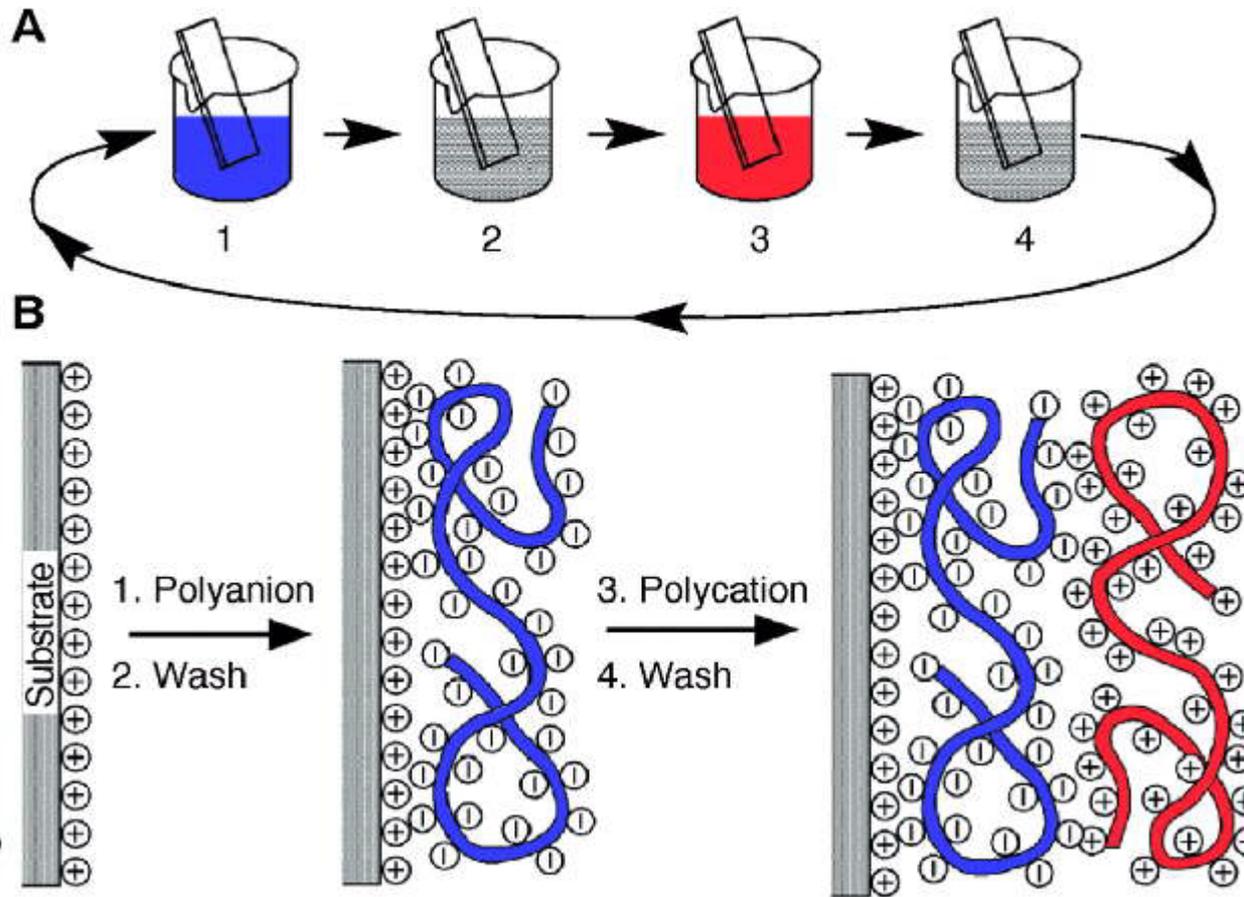
Silanes are also very active in forming SAMs on oxide surfaces. Like alkyl-thiols, they can be terminally functionalized and used as-is for specific chemistry or can become the base for a multi-layer SAM. They can be adsorbed from liquid or vapor but form only partially ordered layers



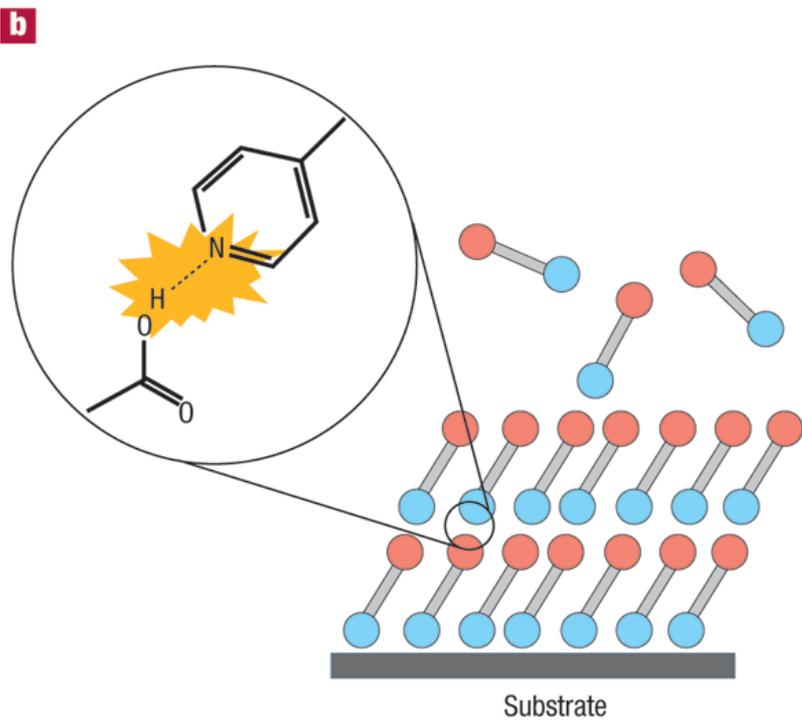
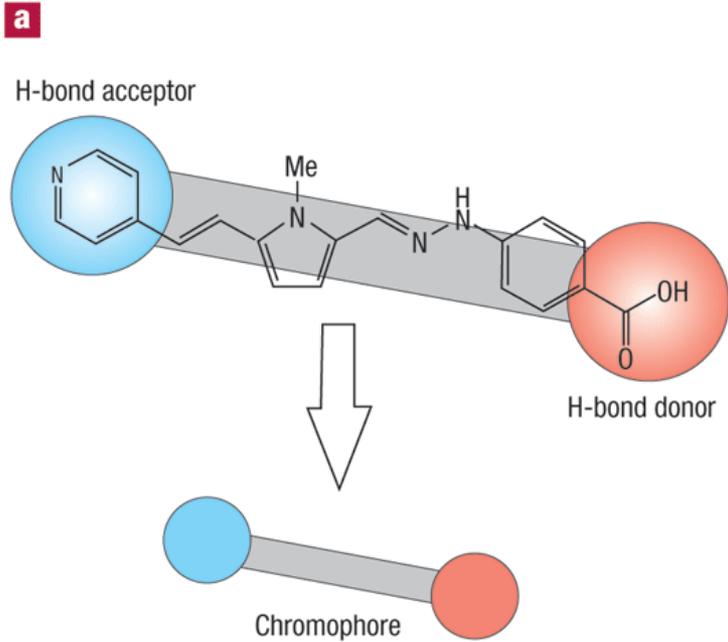
Self-Assembled Surface Structures

- Pressure Assisted Organized Films:
Langmuir-Blodgett films
- Self-Assembled Chemisorbed Monolayers
- Vertical Self-Assembly with Lateral Disorder
- Self-Assembled Physisorbed Monolayers
 - a) van der Waals (only) lateral interactions*
 - b) Supramolecular Structure - non-covalent interactions*
- Structure Identification through Scanning Probe Imaging and Spectroscopy.

Vertical Self-Assembly of Polyions

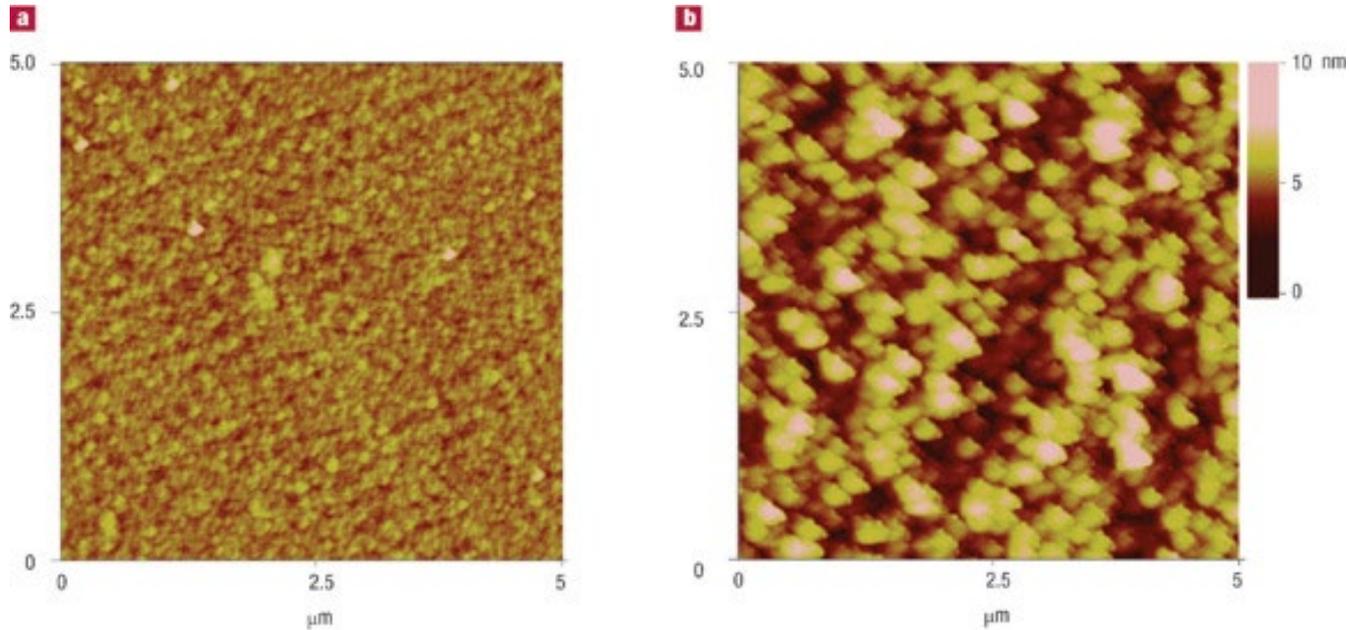


Positive poly-ethylene-imine (PEI) layer on a negatively charged polystyrene-sulfonate (PSS) film (on glass) [*Thin Solid Films* 210 - 211 (1992) 831]₇₀



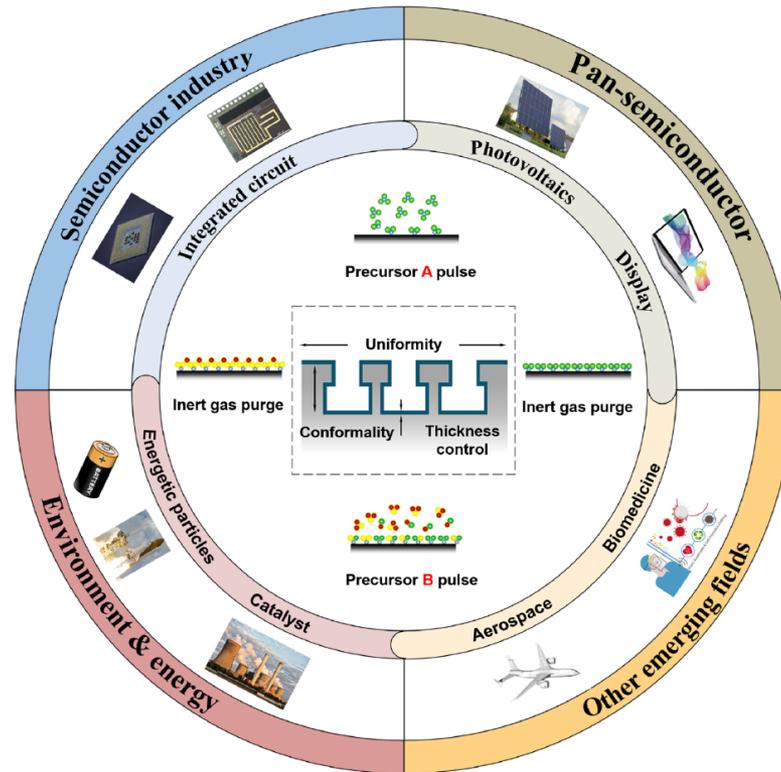
Self-assembly of nonlinear optical molecules into acentric films. The nonlinear optical molecules are end-capped by a hydrogen-bond acceptor group (pyridine) at one end and a hydrogen-bond donor (carboxylic acid) at the other end. Through hydrogen bonding, these molecules self-assemble into head-to-tail geometries.

Contact-mode AFM images of self-organized acentric films. Topographs refer to scan areas of $5 \times 5 \mu\text{m}^2$ on a silicon substrate for films of thickness: a, 400 nm (r.m.s. = 0.37 nm) and b, 1,470 nm (r.m.s. = 8.68 nm).



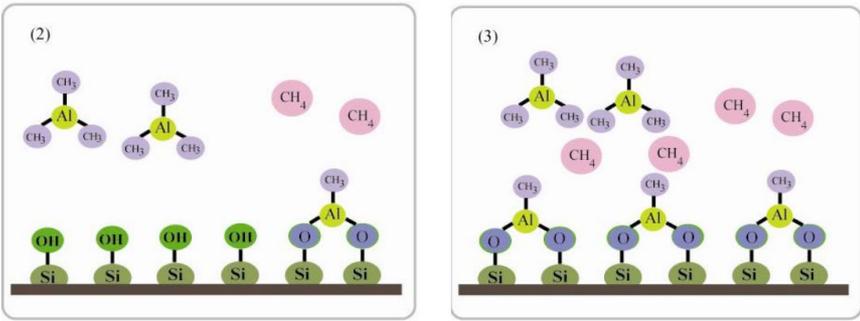
These films are much rougher than the idealized picture used to describe their formation.

Atomic Layer Deposition: ALD



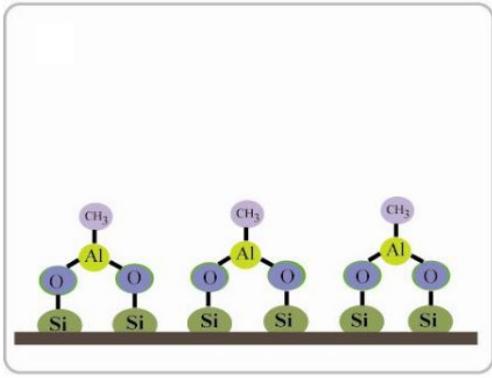
Advances in Atomic Layer Deposition. Jingming Zhang, · Yicheng Li, · Kun Ca, · Rong Chen. *Nanomanufacturing and Metrology*, 2022, 5, 191–208. <https://doi.org/10.1007/s41871-022-00136-8>₇₃

Step 1: Introduction and adsorption of precursor 1 to the surface.



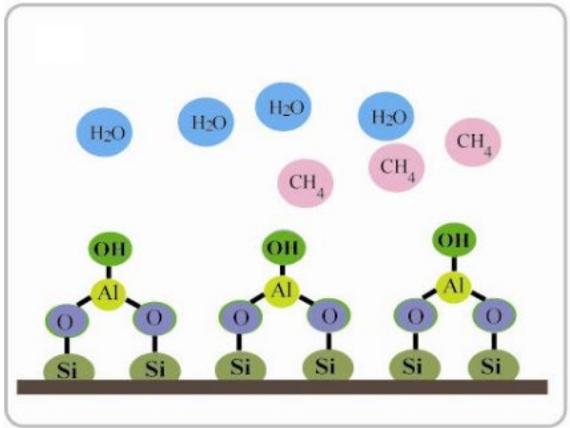
https://www.ntu.edu.sg/docs/librariesprovider121/research/sgrs/facilities/lab3/ald.pdf?sfvrsn=12d40ca4_3

Step 2: Removal of the unreacted precursor and reaction products.



Step 4: Removal of the unreacted precursor and reaction products via evacuation and/or inert gas flow.

Step 3: Introduction and adsorption of precursor 2 to the surface



Step 5: Repeat to create layers

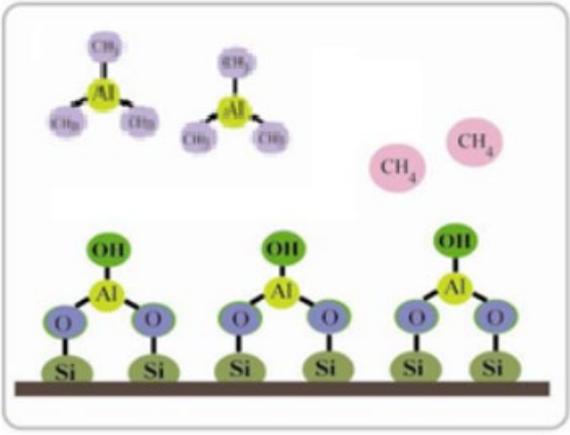
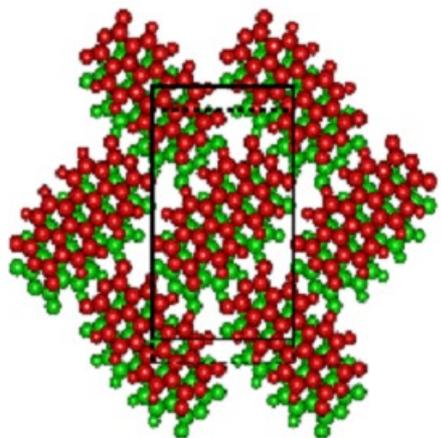


Table 1 Emerging applications and innovations of ALD

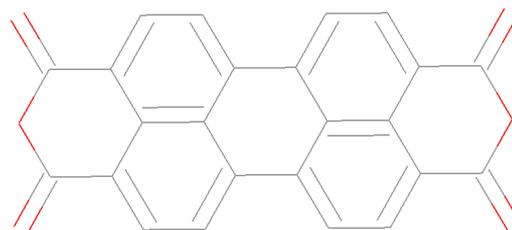
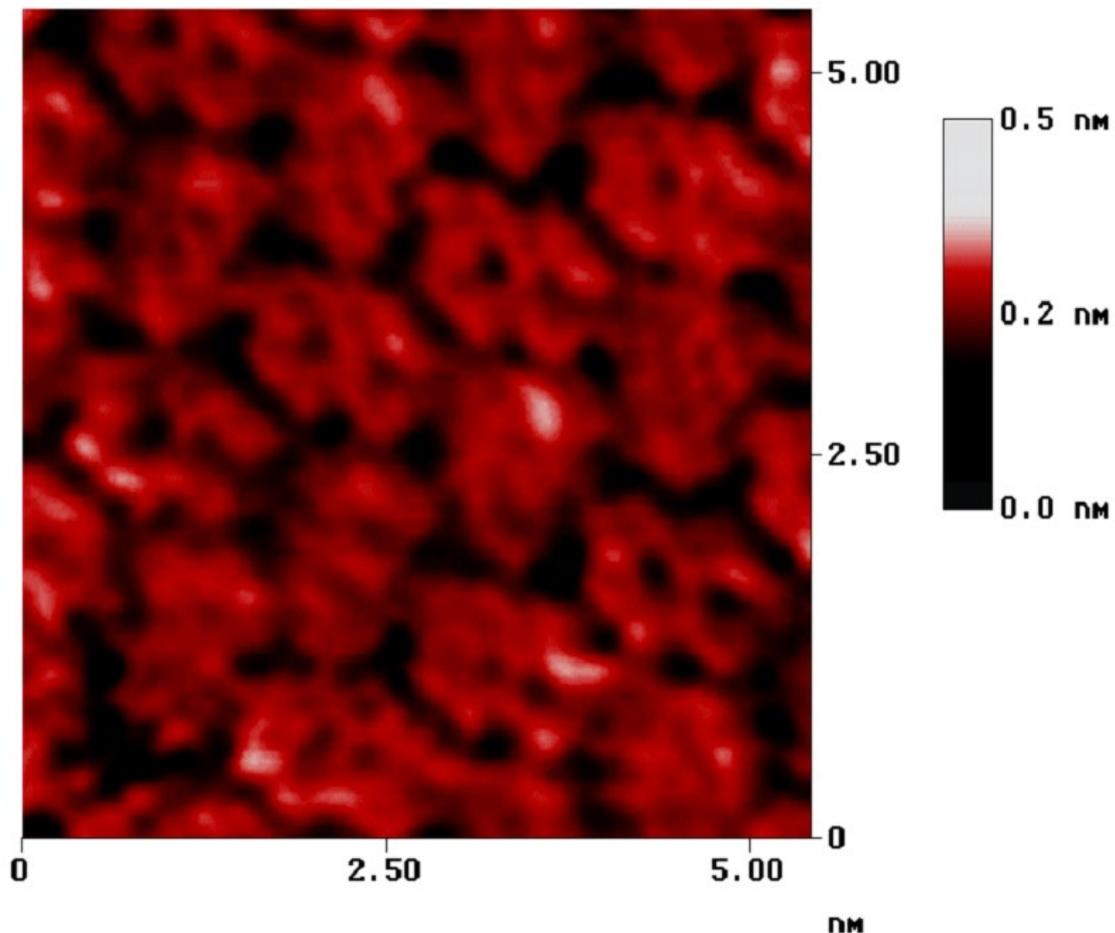
Application	ALD material	Substrate	Process	Function/nanostructure
Photovoltaics	Al ₂ O ₃	Perovskite	ALD	Passivation
		Poly-Si	ALD	Passivation
	TiO ₂	SiO ₂	PE-ALD	Carrier-selective contacts
	ZnO:H	SiO ₂	ALD	Transparent conductive oxides
	In ₂ O ₃ :H	Al ₂ O ₃	Low-temperature ALD	Transparent conductive oxides
	ZnO:H/Al/B	SiO ₂	ALD	Passivation
	SnO ₂	C ₆₀	Low-temperature ALD	Passivation
	NiO _x	C ₆₀	ALD	Passivation
Display	Al ₂ O ₃	PDMS	ALD	Thin film encapsulation
		ITO	ALD	Passivation
		SiN _x	S-ALD	Thin film encapsulation
		Perovskite	Low-temperature ALD	Passivation
Energetic particles	SiO ₂	Perovskite	PE-ALD	Passivation
	AlF ₃	LiMn _{1.5} Ni _{0.5} O ₄	Powder ALD	Passivation
	Al ₂ O ₃	SnO ₂	Powder ALD	Passivation
		S electrode	PE-ALD	Passivation
		S electrode	Low-temperature ALD	Passivation
Catalyst	Pd/Pt	AlH ₃	Powder ALD	Passivation
		SiO ₂	ALD	Core/shell structure
	Pt/Co ₃ O ₄	Al ₂ O ₃	Powder ALD	Embedded structure
		Pd	Pd	Powder ALD
	Pd	Ce _{0.99} Cu _{0.01} O ₂	Powder ALD	Single-atom distribution
		Graphene	ALD	Single-atom distribution
	Ru/Pt	Al ₂ O ₃	Powder ALD	Discontinuous coating structure
	MnO _x	Pd	Powder ALD	Discontinuous coating structure
	NiO _x	Pt	Powder ALD	Discontinuous coating structure
	Co ₃ O ₄	MWCNTs	ALD	Embedded structure
Aerospace	ZnO	Carbon foam	ALD	Metal–organic frames
	YSZ	Si	ALD	Thermal insulation coating
	ZnO	Cr-Ni-Mo steel	ALD	Passivation
	Al ₂ O ₃ /ZnO	Ni(OH) ₂ nanowire	ALD	Microwave-absorbing coating
	NiO	Graphene	ALD	Microwave-absorbing coating
	TiO ₂	PDMS	ALD	Anti-icing coating

Self-Assembled Surface Structures

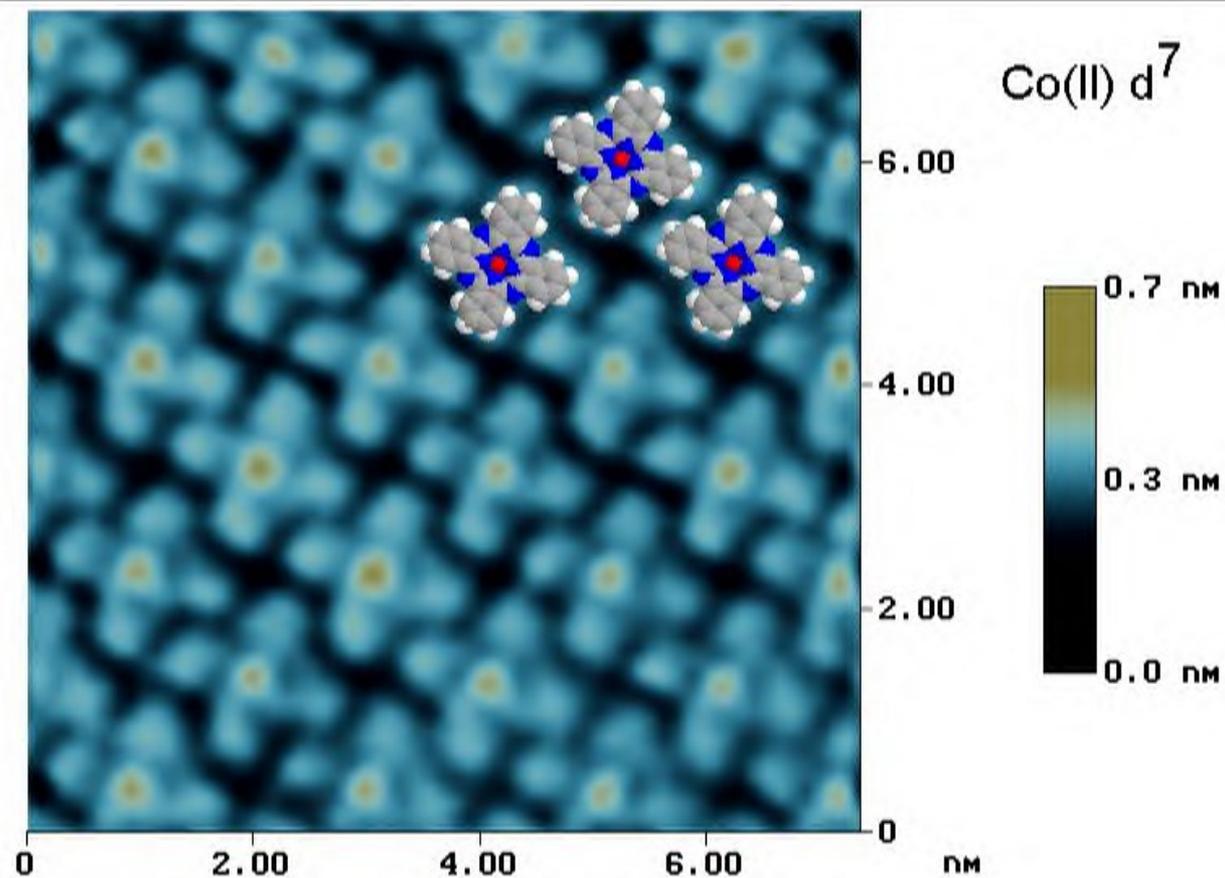
- Pressure Assisted Organized Films:
Langmuir-Blodgett films
- Self-Assembled Chemisorbed Monolayers
- Vertical Self-Assembly with Lateral Disorder
- Self-Assembled Physisorbed Monolayers
 - a) van der Waals (only) lateral interactions*
 - b) Supramolecular Structure - non-covalent interactions*
- Structure Identification through Scanning Probe Imaging and Spectroscopy.



Xray structure of (102)
plane of α -PTCDA

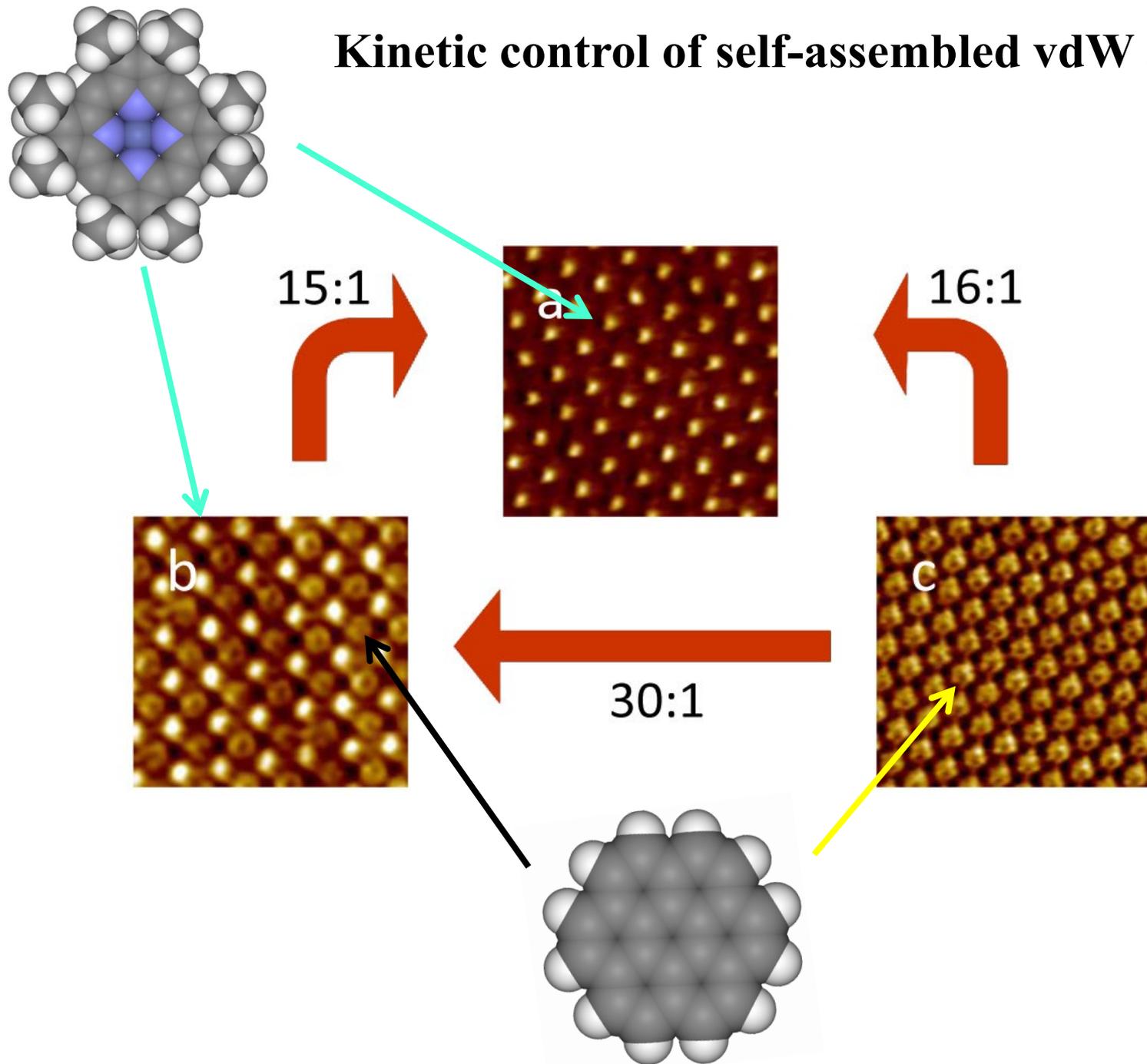


PTCDA on Graphite at 300 K in Air



A monolayer of cobalt(II)phthalocyanine on Au(111):

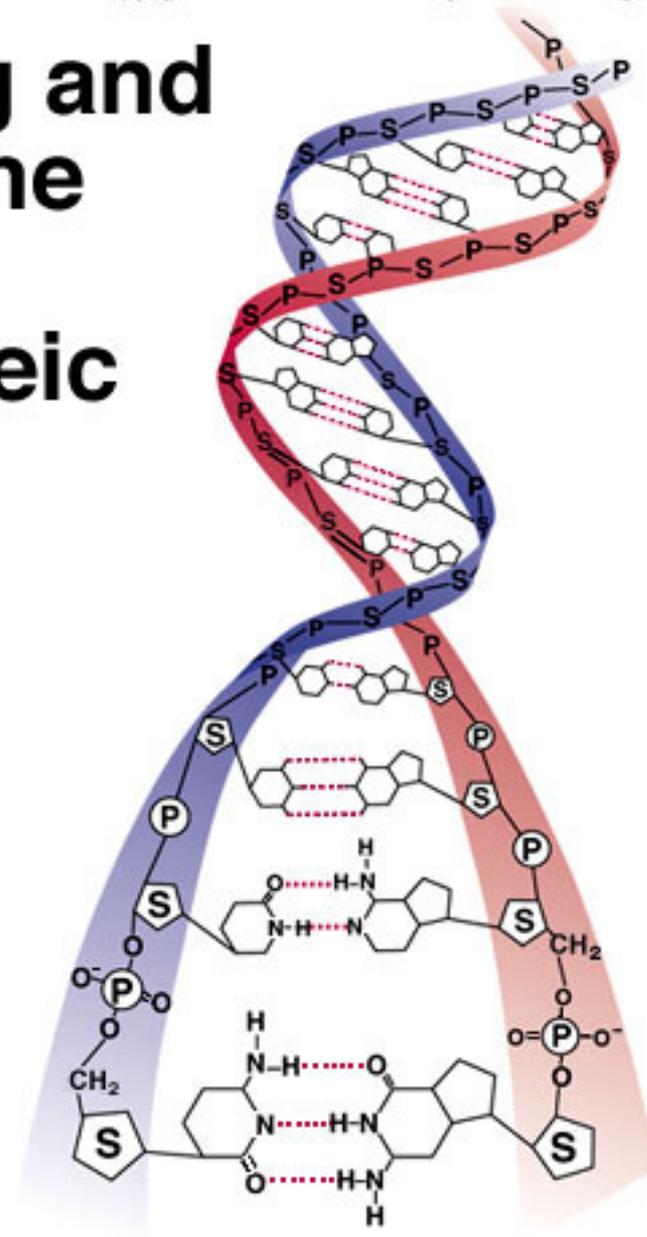
Kinetic control of self-assembled vdW adlayers



Supramolecular Chemistry

- Molecules as building blocks. Supramolecular chemistry is chemistry that uses molecules rather than atoms as building blocks.
- In molecular chemistry, covalent and ionic bonds are used to assemble atoms into molecules. In the supramolecular case, supramolecular assemblies are held together by weaker noncovalent interactions, such as hydrogen bonding, polar attractions, π - π stacking, van der Waals forces, and hydrophilic–hydrophobic interactions.
- Traditional chemistry \sim 1 nm; Supramolecular chemistry \gg 1 nm (can be macroscopic).
- Supramolecular chemistry is essential for biology (life) and holds the key for bottom up formation of nanostructures and the creation of new materials.

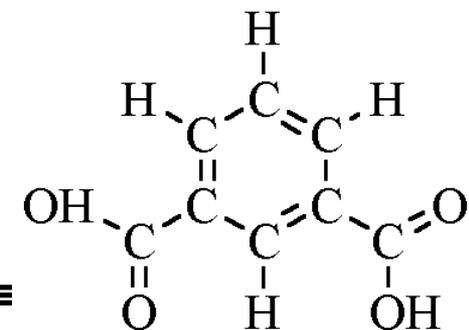
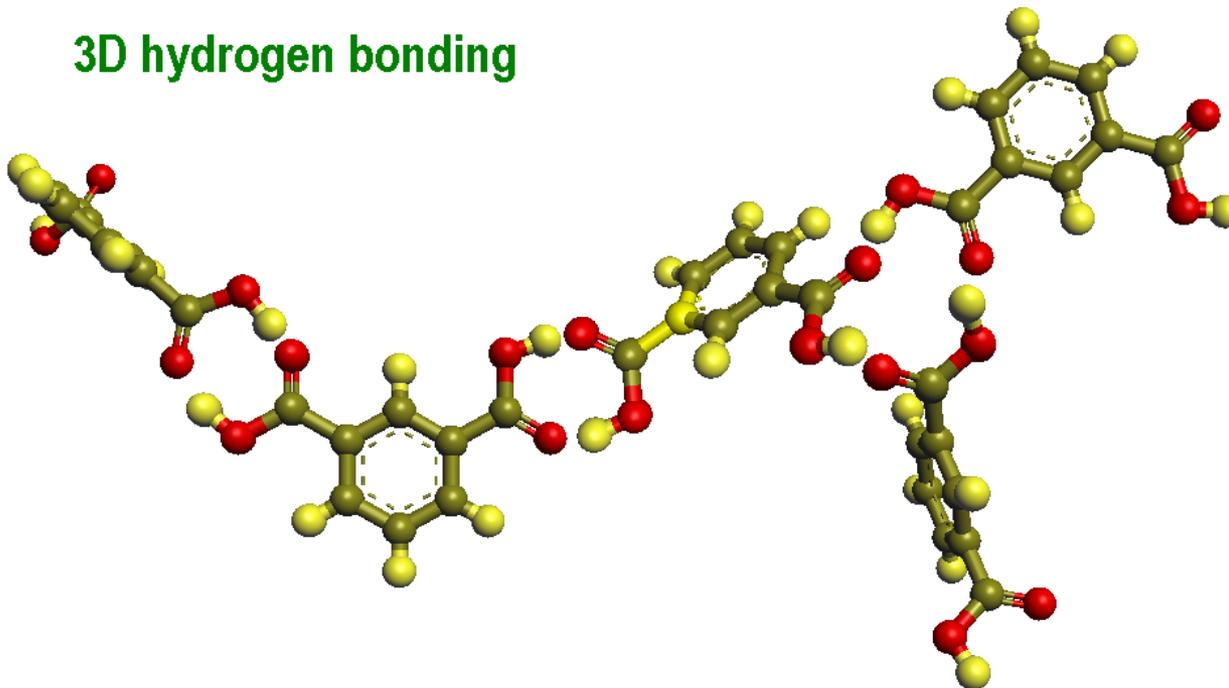
Covalent Bonding and H bonding in the Structure of Deoxyribonucleic Acid (DNA)



Nature is the Premier
Supramolecular
Chemist

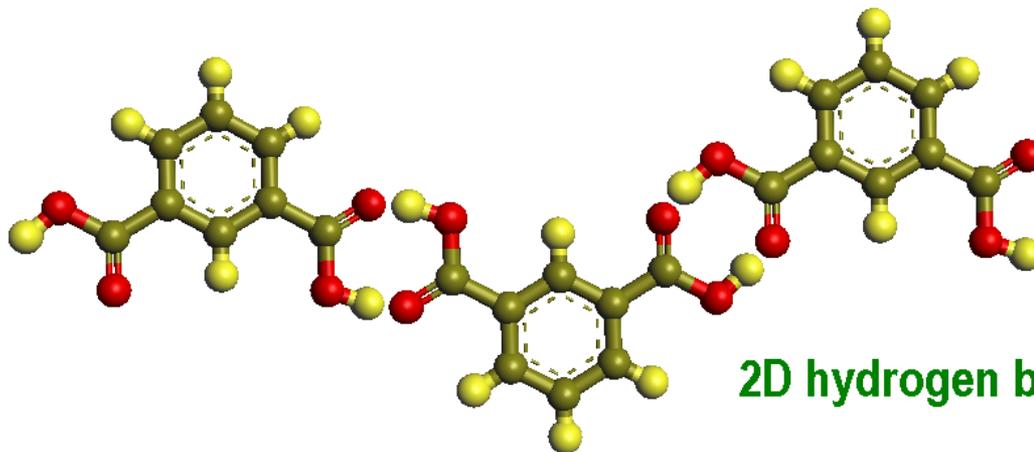
Supramolecular design

3D hydrogen bonding



isophthalic acid

2D hydrogen bonding

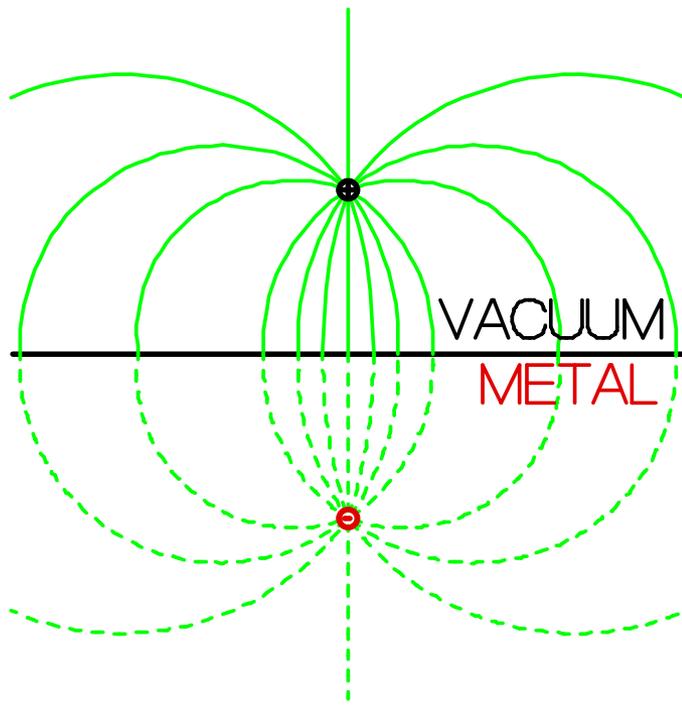


2D supramolecular architecture

- Use the idea of *weak interactions* producing designed structures borrowed from 3D.
- Dramatically enhance design control by removing a degree of freedom through *physisorption* on a support.
- Use a conducting support so that there is *image charge stabilization* of adsorbed species.
- This can work both from *solution and UHV* -- chemistry in a beaker or a deposition system.
- A key element for the development of self-assembling (bottom up) *nano-structured films*.

Image Charges at Conductor Surfaces

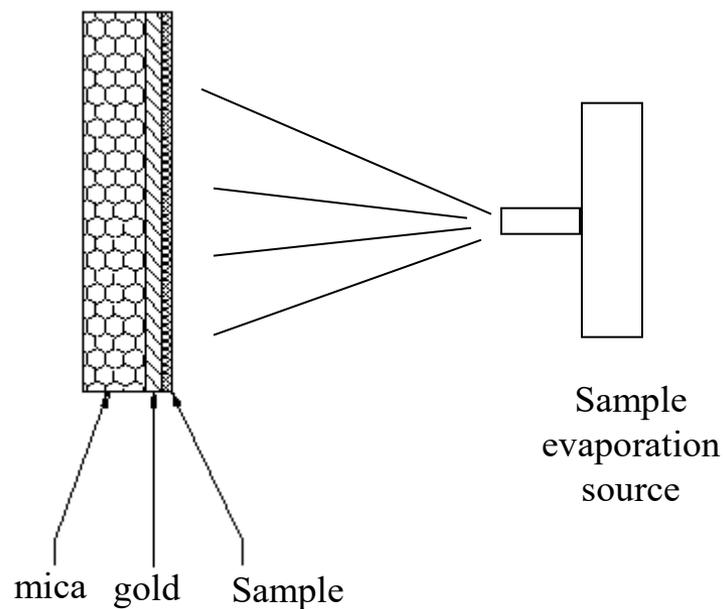
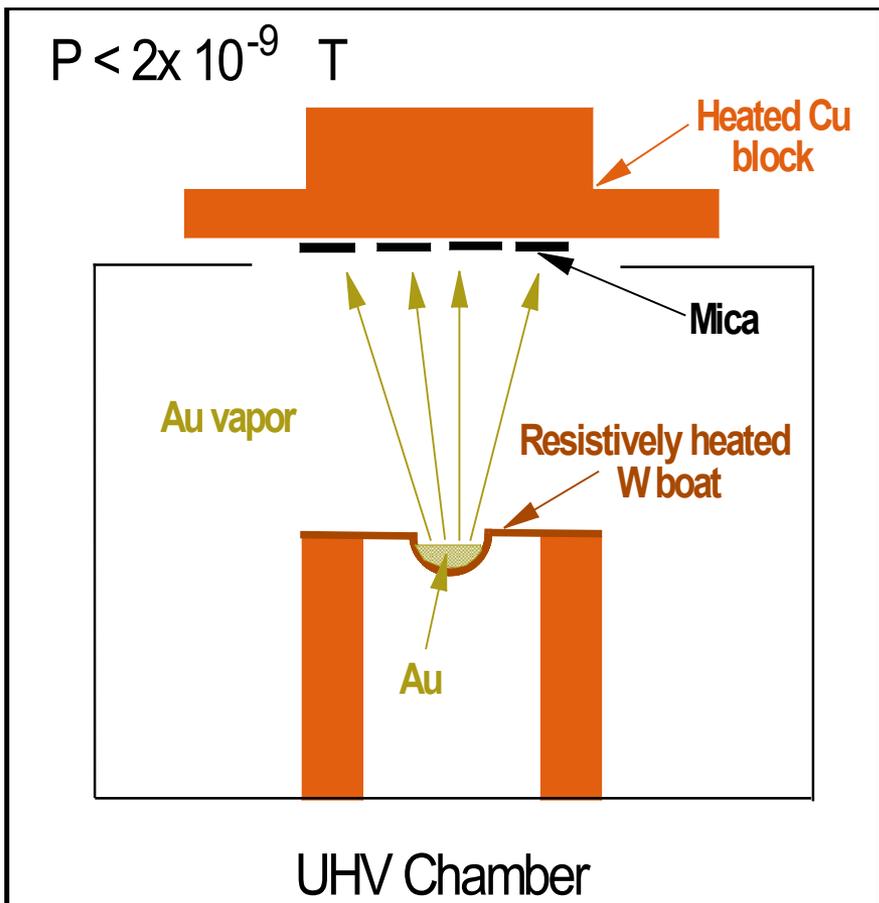
The parallel electric field at the surface of a conductor must vanish. Thus, the electric field lines at a metal surface are normal to the surface. One way to get the correct field solution without the work of solving Poisson's equation, is to use the image charge model:



Thus, a dipole moment or partial charge on a molecule produces an opposite one across the conductor surface. These opposing dipoles or charges lead to attraction between the adsorbate and the surface. Even when the molecule is uncharged and has no permanent dipole moment!

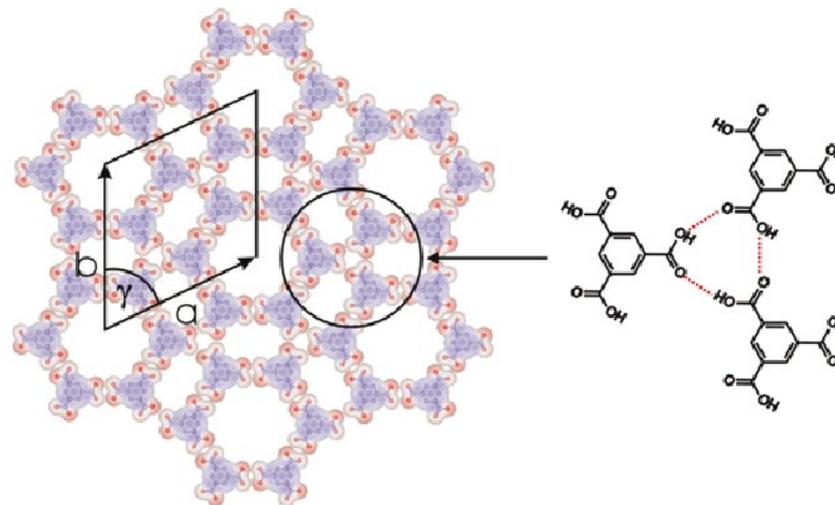
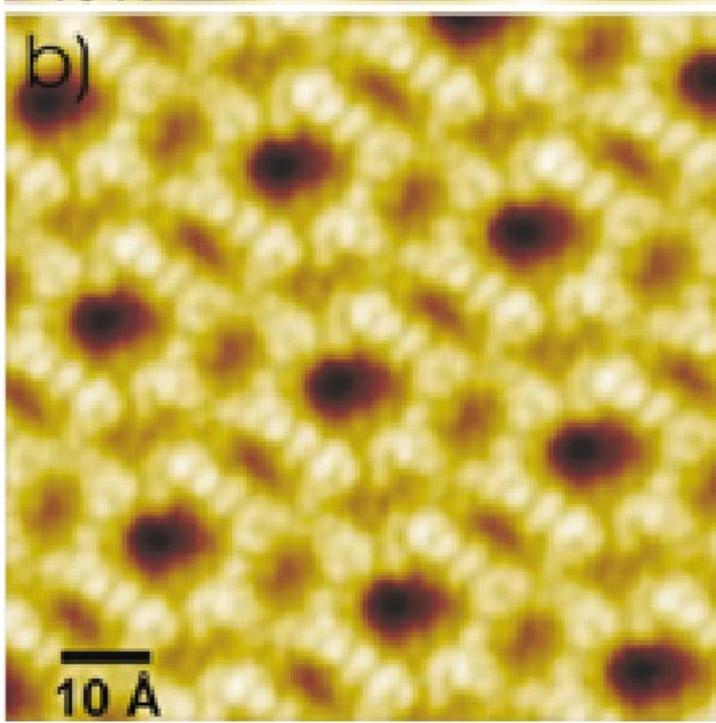
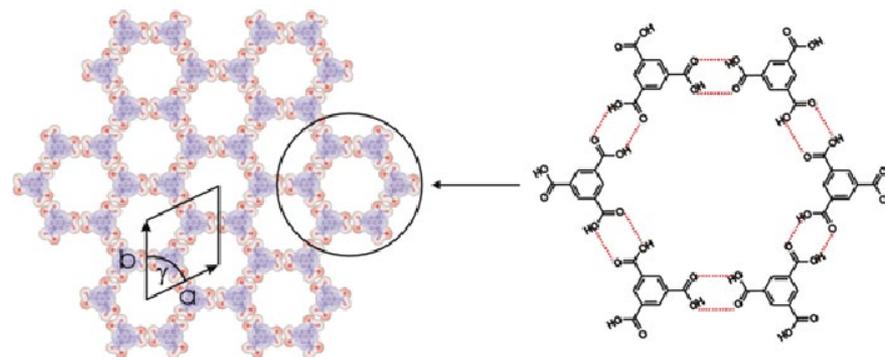
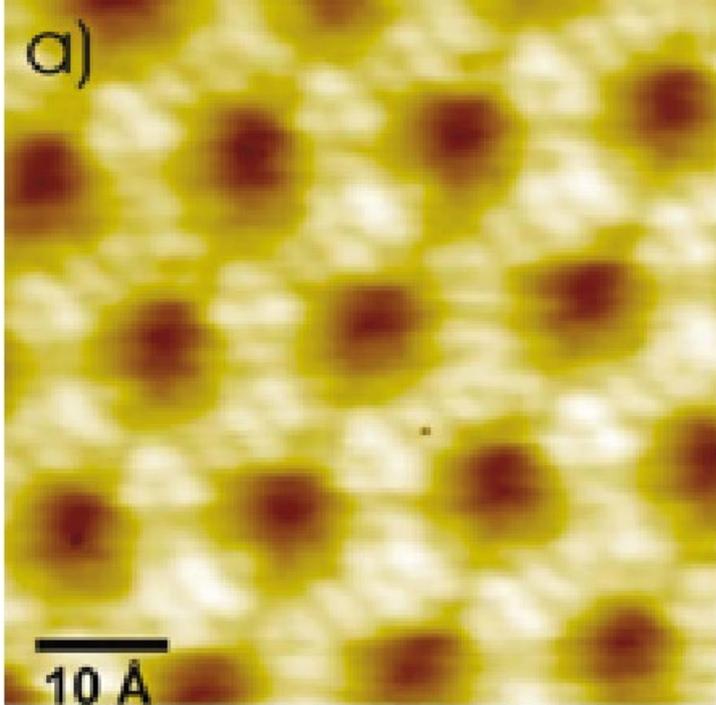
Supramolecular Chemistry
{Self-assembly} at the solid-
vapor interface.

Sample Preparation



1. Gold is vapor deposited onto an atomically flat mica surface. The deposition rate is carefully controlled.

2. Single molecular samples are vapor deposited in the STM chamber onto the Au(111) surface. Typically, less than one monolayer is deposited



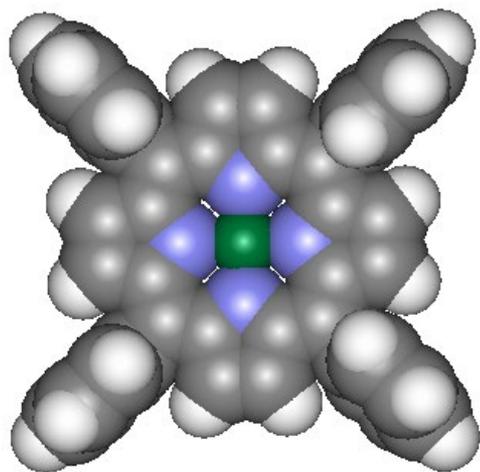
Griessl et al., *Single Mol.* 3 (2002) 25

from UHV on graphite

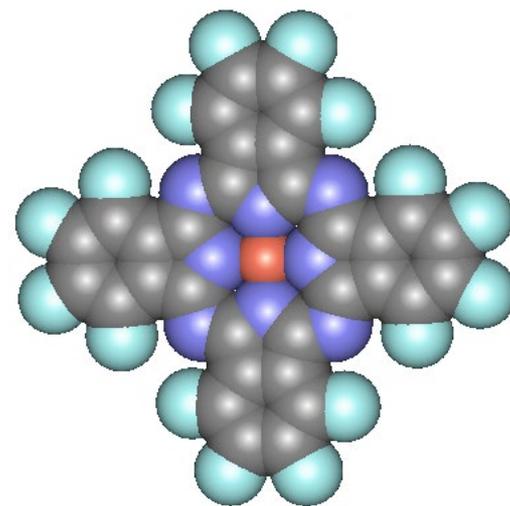
Design a bimolecular 2D self-assembled structure from the vapor

- Use organic semiconductors to make the material interesting.
- F...H interactions should be the basis for a good synthon
- Need thermally stable compounds that can be vapor deposited.
- Very low vapor pressure (at room T) materials best so they stick to the substrate by physisorption.
- Molecule-substrate interaction must NOT result in covalent bond.
- Molecule-molecule interaction must NOT result in covalent bond.
- Substrate should be a metal to maximize non-covalent interactions.
- Use Scanning Tunneling Microscopy (STM) to characterize these interface structures.
- Need to label the components somehow so we can tell them apart.

The Molecules of Choice

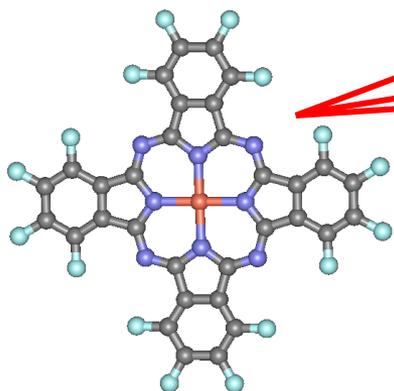
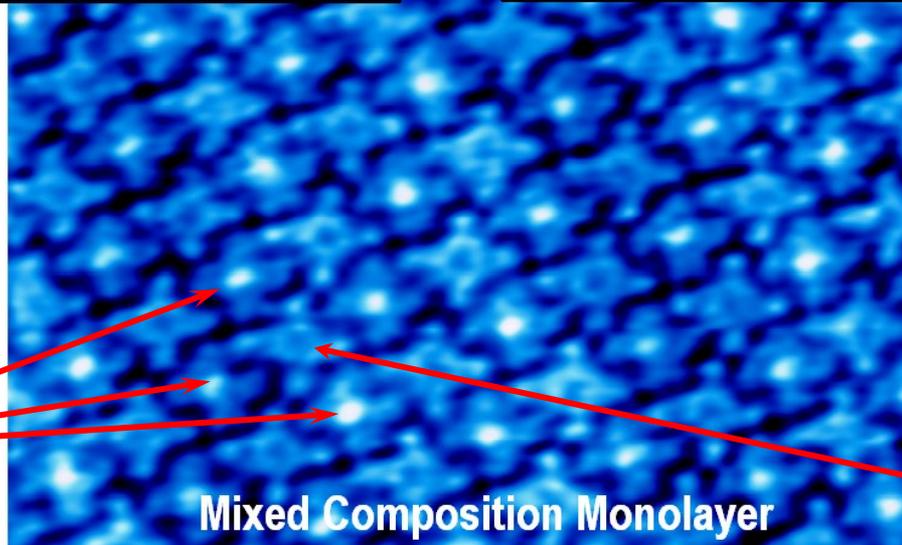
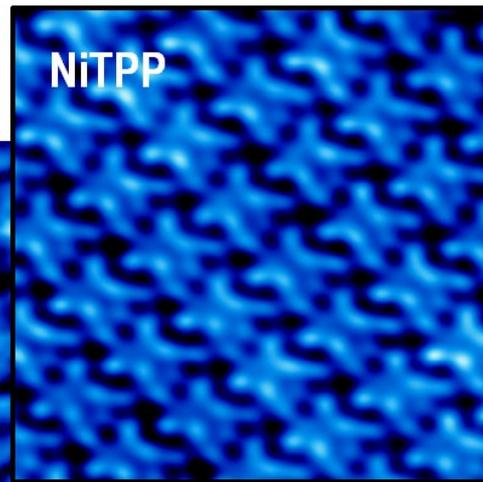
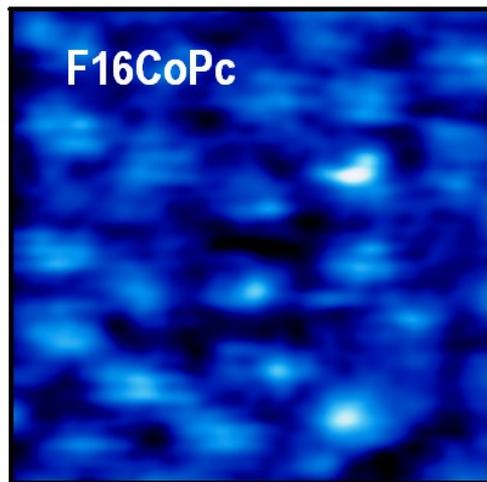


Ni(II) tetraphenylporphyrin

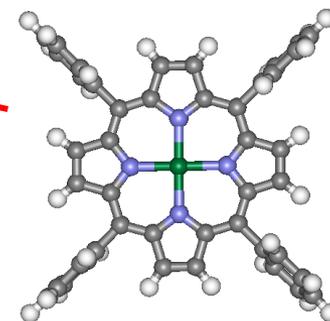


Co(II) perfluoro-phthalocyanine

Use Au(111) as the substrate



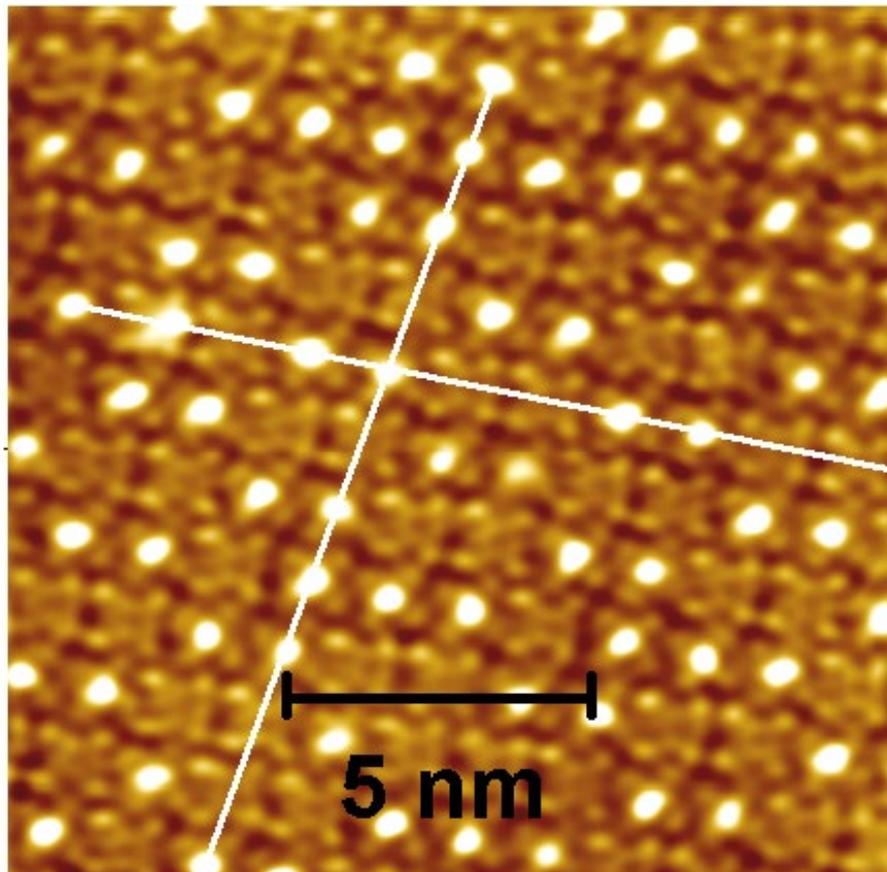
F16CoPc



NiTPP

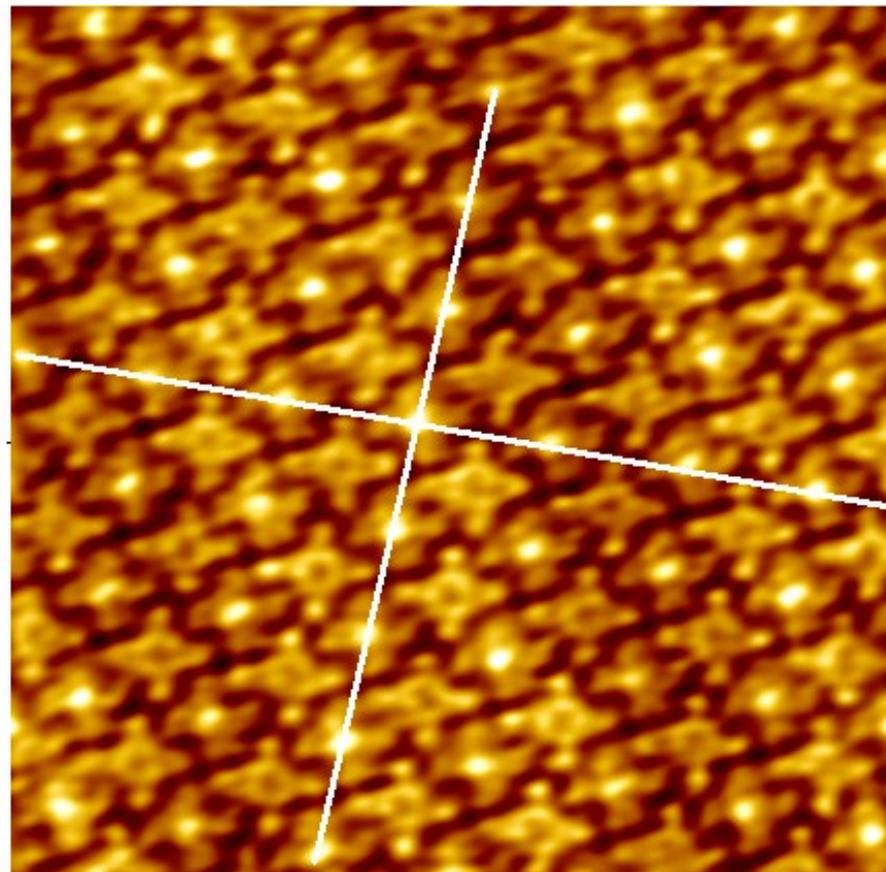
Hipps et al. J. Am. Chem. Soc. 124 (2002) 2126.

-0.40V & 0.10 nA



CoPc:NiTPP (1:2) [7:5]

-0.40V & 0.30 nA



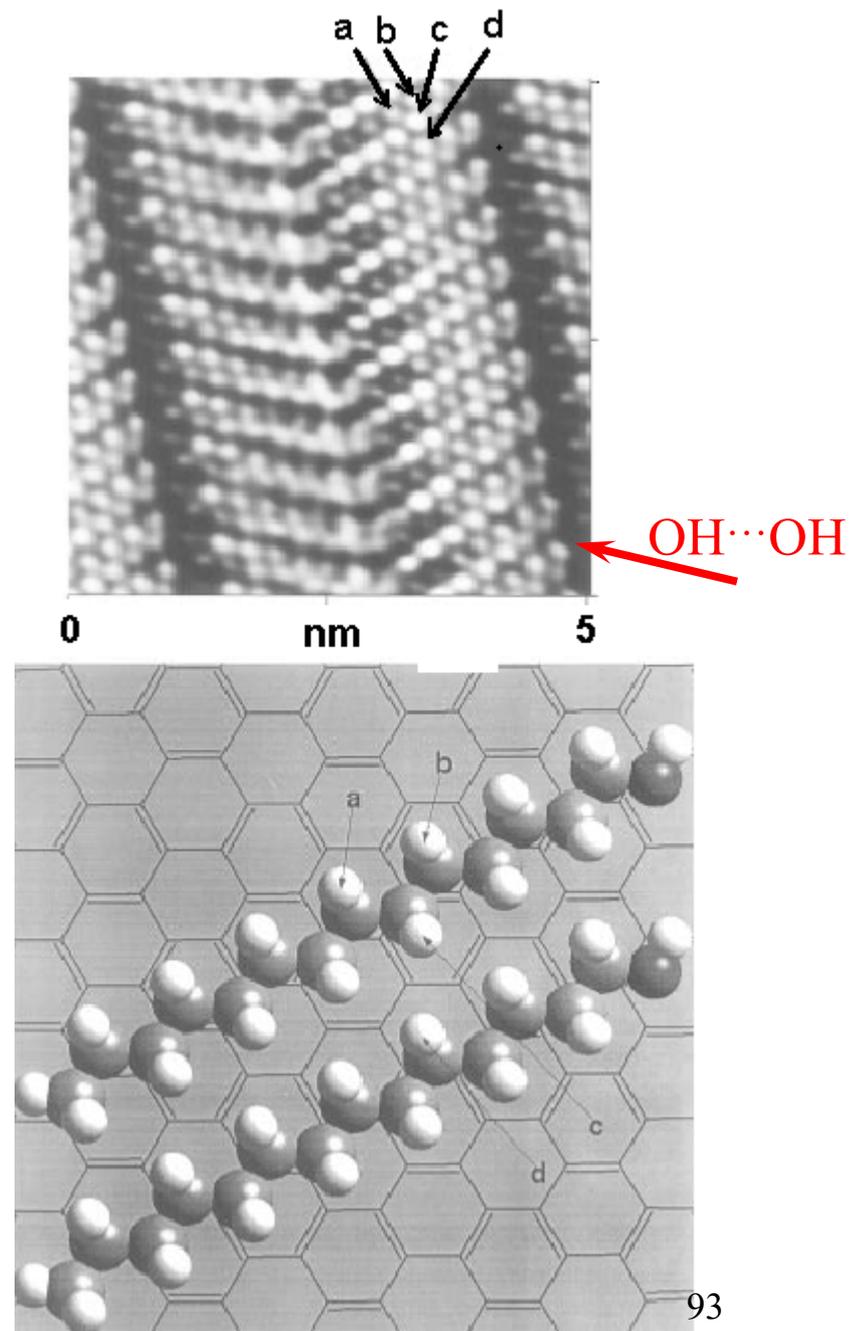
F₁₆CoPc:NiTPP (1:2)

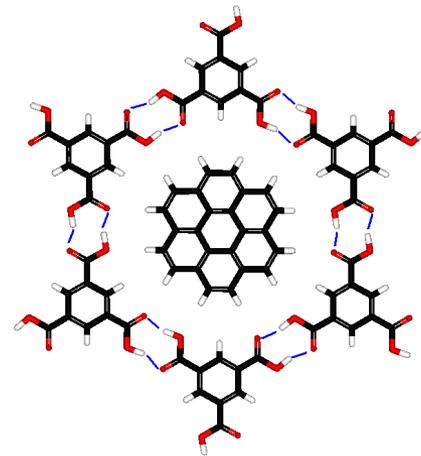
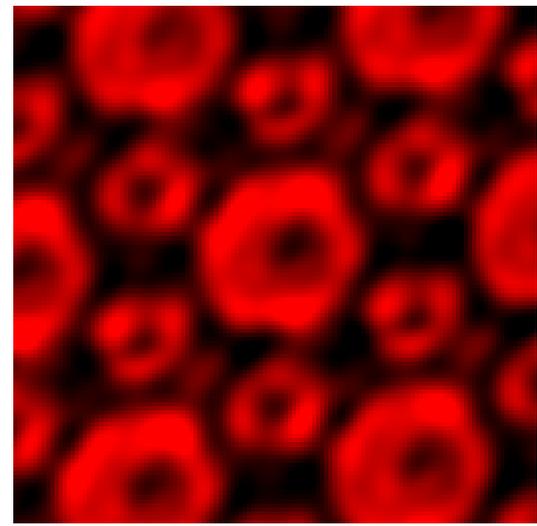
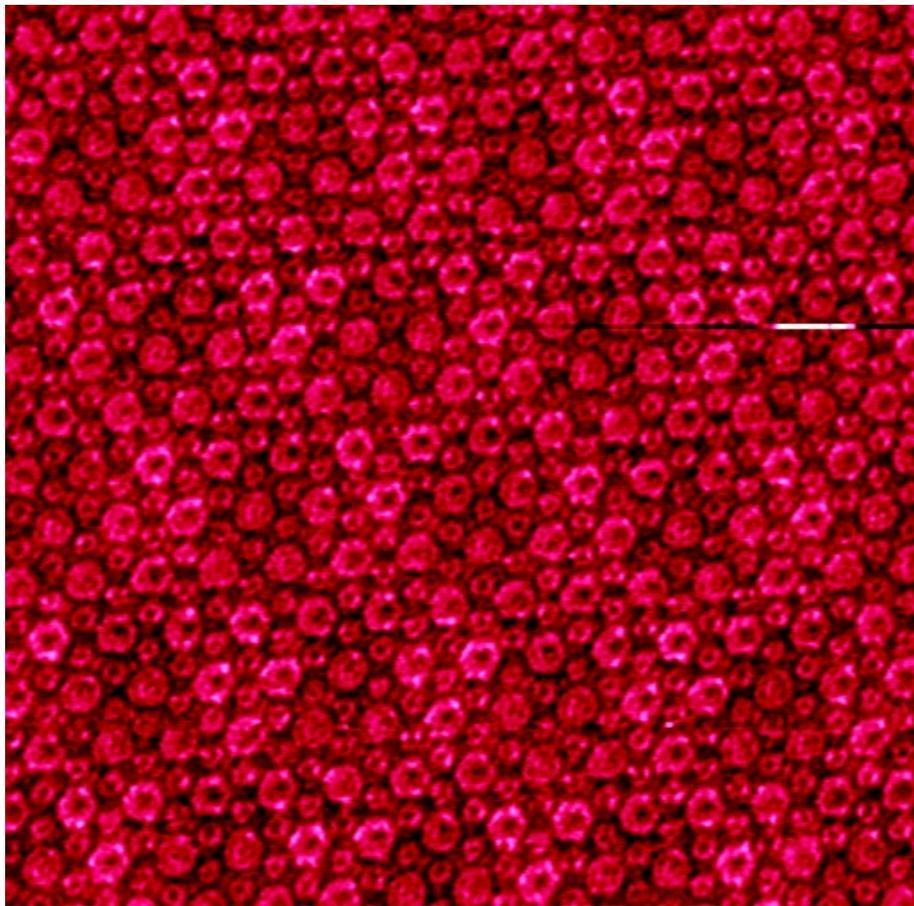
Self-assembly at the solid-
solution interface.

Van der Waals interactions in the plane can produce self-assembling films for physisorbed systems.

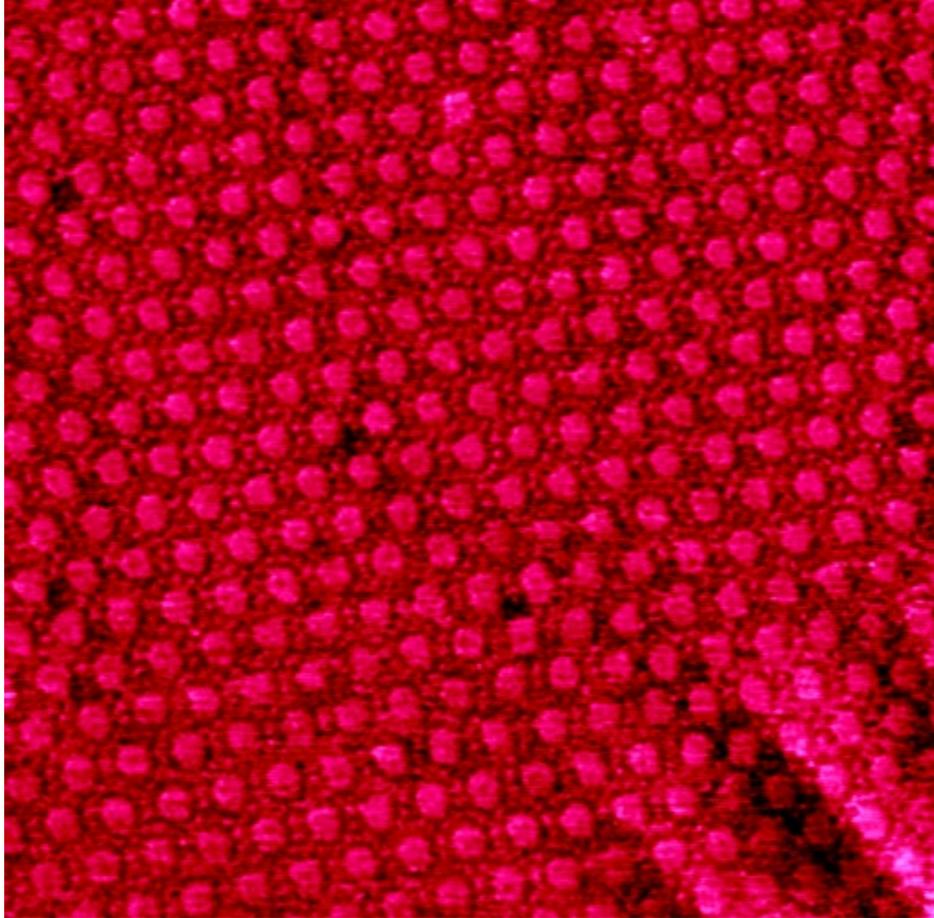
Tetradecanol
[CH₃(CH₂)₁₃OH] on
Graphite

Claypool et al., *J. Phys. Chem. B* 101
(1997) 5978

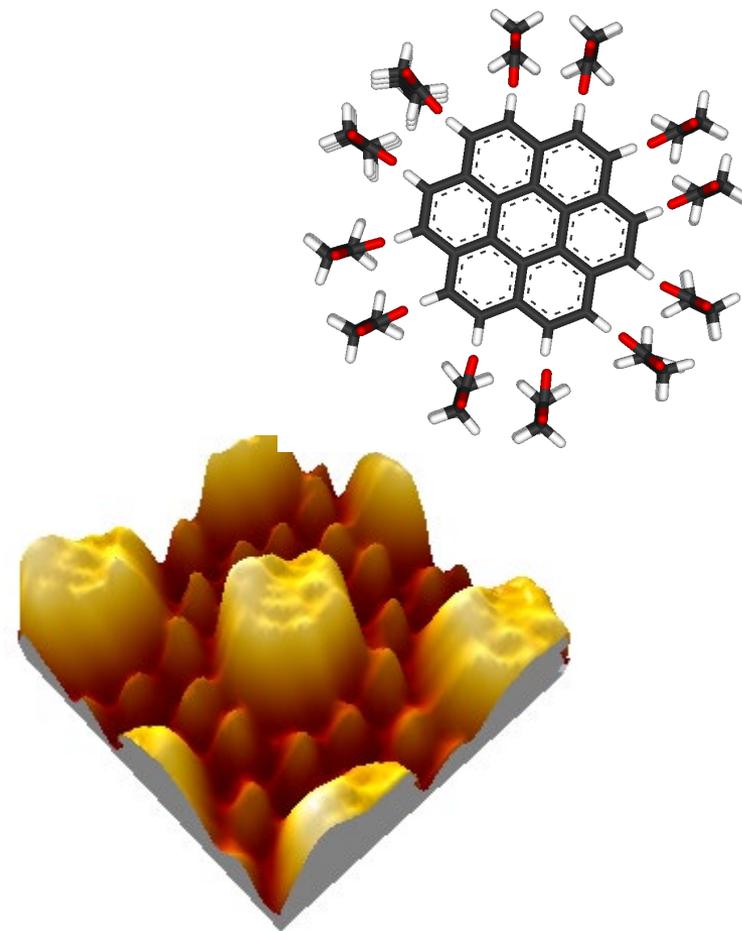




25x25 nm constant current STM image of the interface between **graphite** and a solution of TMA, coronene, and heptanoic acid. The insert is a correlation average.

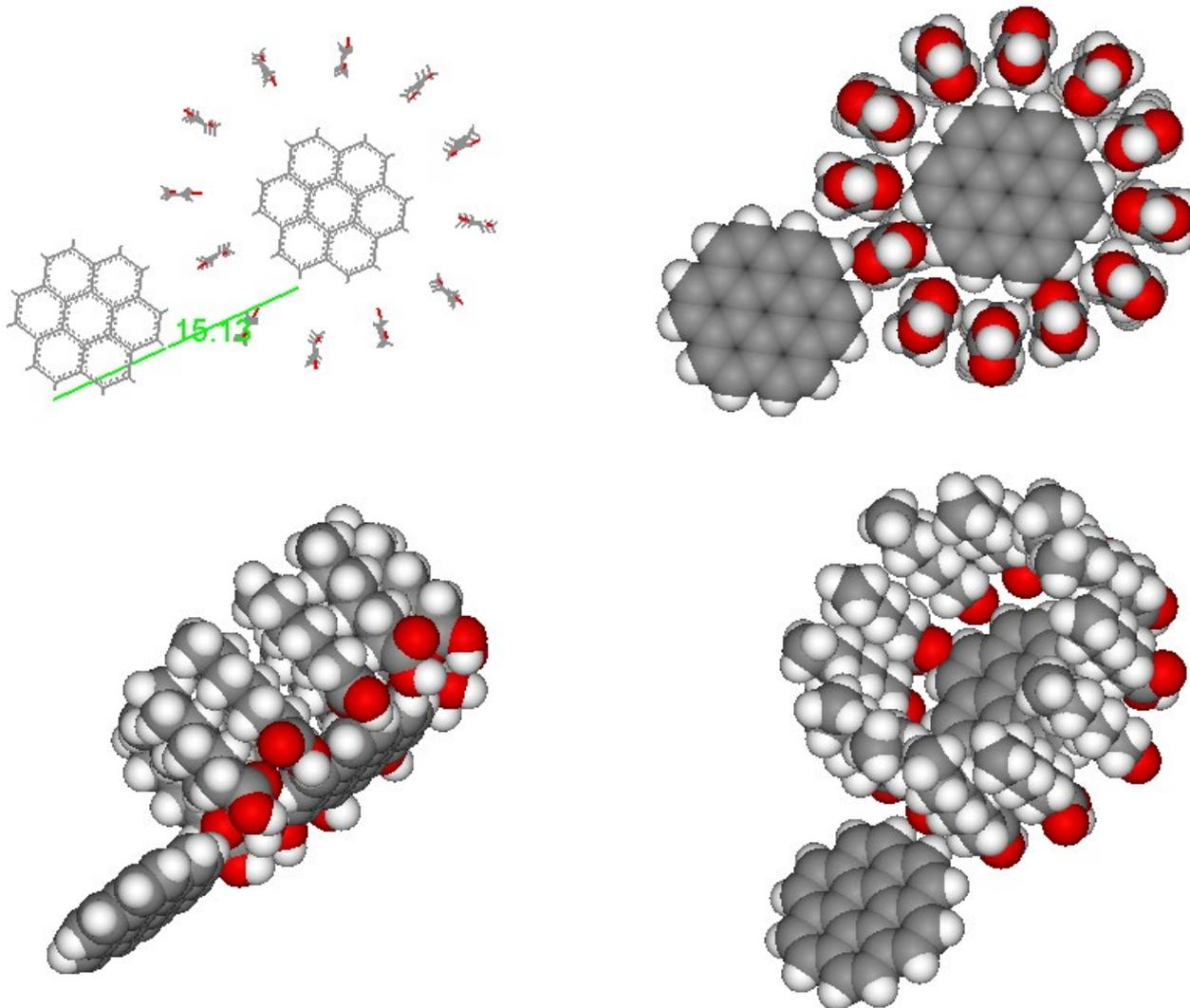


25x25 nm STM image of the interface between Au(111) and a solution of coronene in heptanoic acid. 700 pA and -600 mV sample bias



3D view of a correlation average STM image. Z range is about 0.3 nm

Supramolecular Structures of Coronene and Alkane Acids at the Au(111) - Solution interface: A Scanning Tunneling Microscopy Study. Brett Gyarfas, Bryan Wiggins, Monica Zosel, and K. W. Hipps. *Langmuir*; 2004; ASAP Article; DOI: 10.1021/la047726j.



CPK models of heptanoic acid and coronene

Self-Assembled Surface Structures

- Pressure Assisted Organized Films:
Langmuir-Blodgett films
- Self-Assembled Chemisorbed Monolayers
- Vertical Self-Assembly with Lateral Disorder
- Self-Assembled Physisorbed Monolayers
 - a) van der Waals (only) lateral interactions*
 - b) Supramolecular Structure - non-covalent interactions*
- Structure Identification through Scanning Probe Imaging and Spectroscopy.

Scanning Probe Microscopy (SPM)

Scanning Tunneling Microscopy (STM)

Scanning Tunneling Spectroscopy (STS)
Orbital Mediated Tunneling Spectroscopy (OMTS)
Inelastic Electron Tunneling Spectroscopy (IETS)

Scanning (or Atomic) Force Microscopy (SFM or AFM)

Contact Mode
Tapping Mode (intermittent contact)
Nanomechanical Properties
Magnetic Force
Chemical Force
Kelvin Probe Microscopy
MORE.....

Near Field Scanning Optical Microscopy (NSOM)

Scanning Thermal Microscopy (SThM)

Scanning Probe Microscopy (SPM)

Scanning Tunneling Microscopy (STM)

Scanning Tunneling Spectroscopy (STS)
Orbital Mediated Tunneling Spectroscopy (OMTS)
Inelastic Electron Tunneling Spectroscopy (IETS)

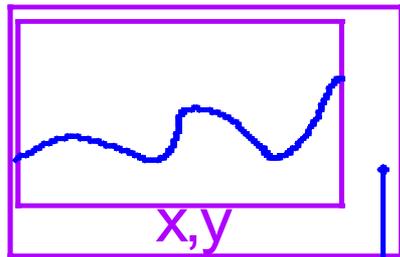
Scanning (or Atomic) Force Microscopy (SFM or AFM)

Contact Mode
Tapping Mode (intermittent contact)
Nanomechanical Properties
Magnetic Force
Chemical Force
Kelvin Probe Microscopy
MORE.....

Near Field Scanning Optical Microscopy (NSOM)

Scanning Thermal Microscopy (SThM)

Computer: drives x,y scan; saves data; generates images.



Fine Position & Scanning are usually performed with one or more piezo-electric elements.

Coarse Approach

Feedback System: holds interaction between tip and surface constant.

Feedback Control

Error
Signal

Interaction Sensing

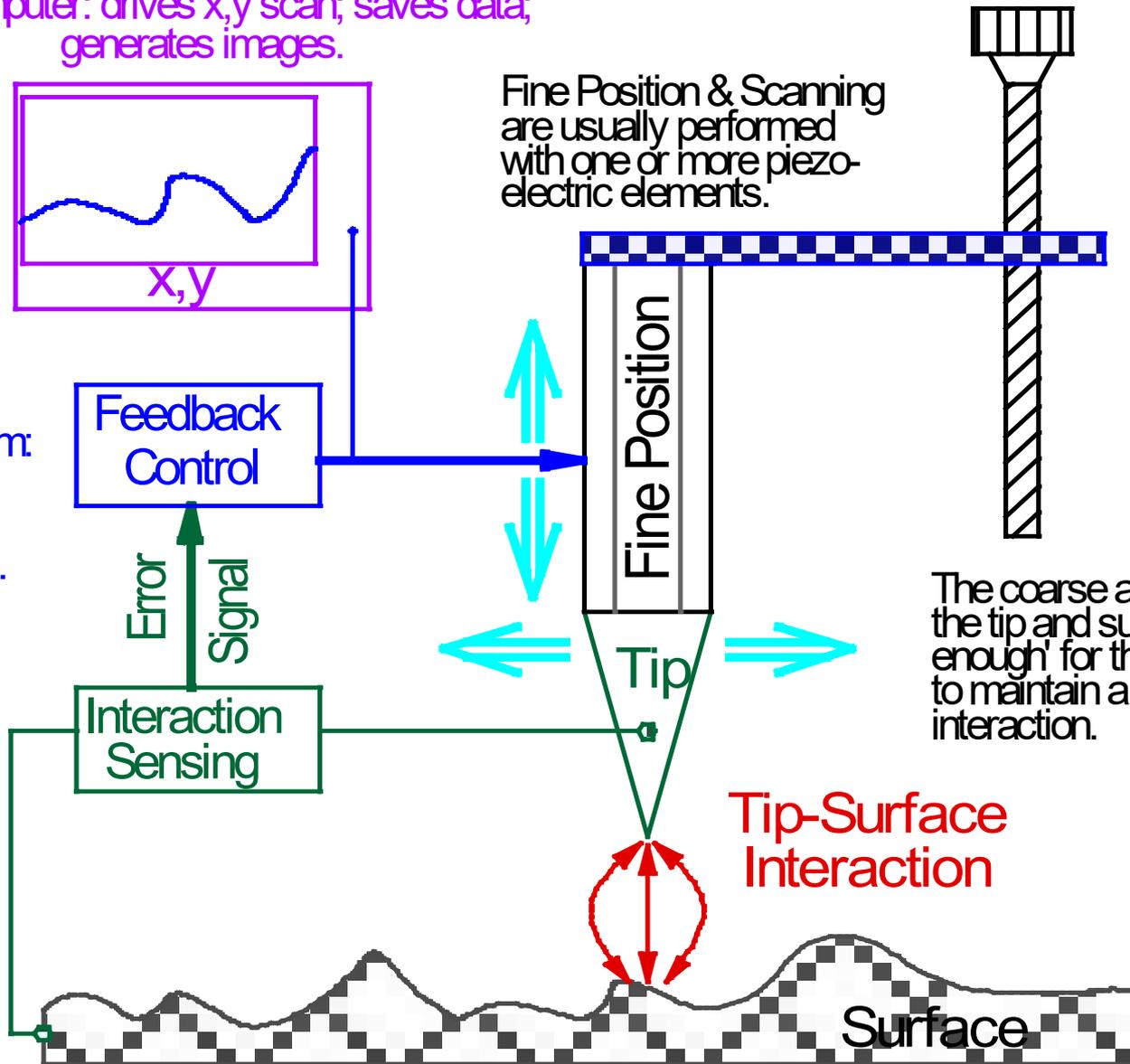


Fine Position

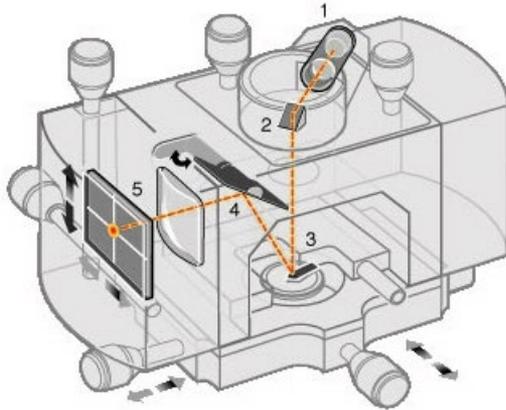
Tip

Tip-Surface Interaction

Surface

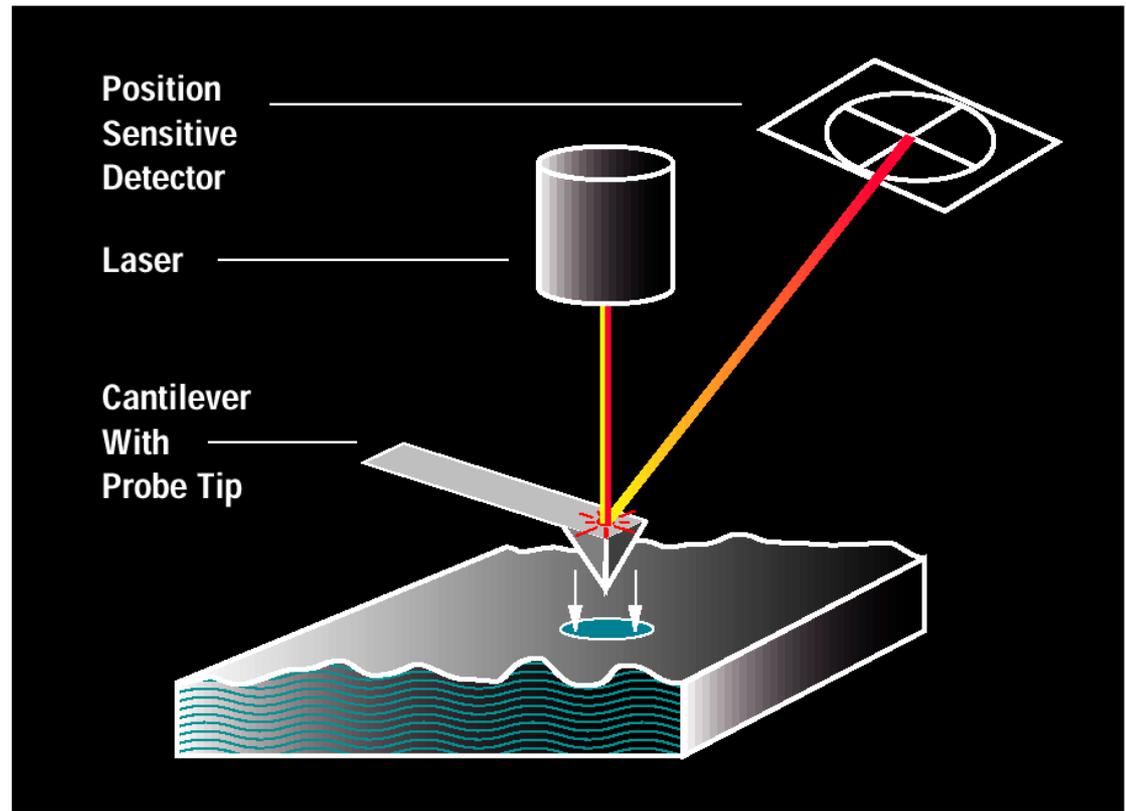


Scanned Sample SPM

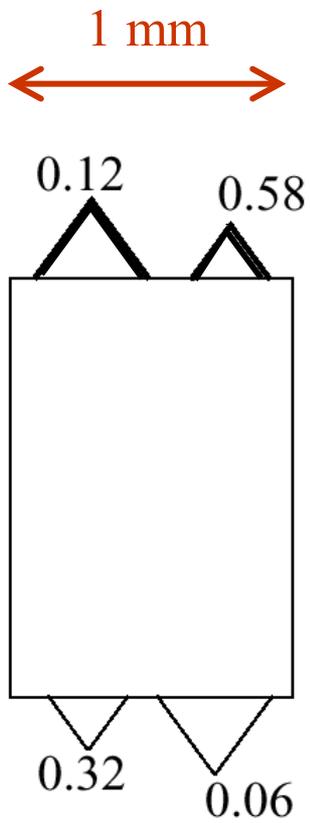


Labels:

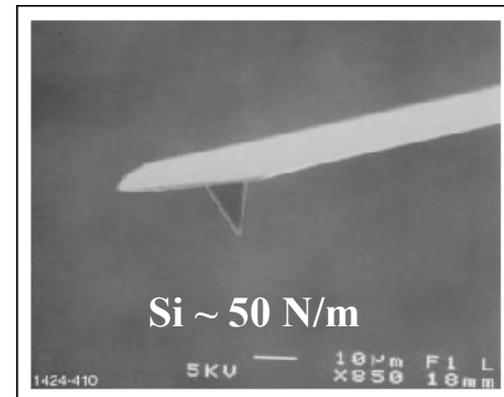
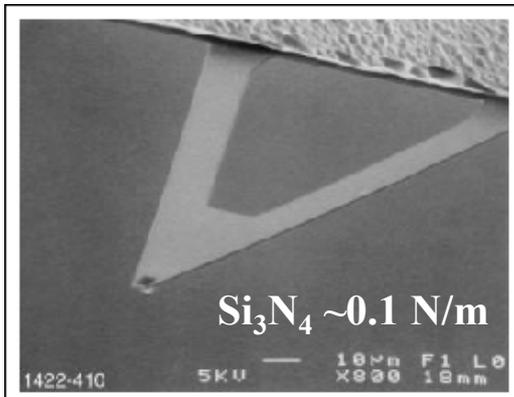
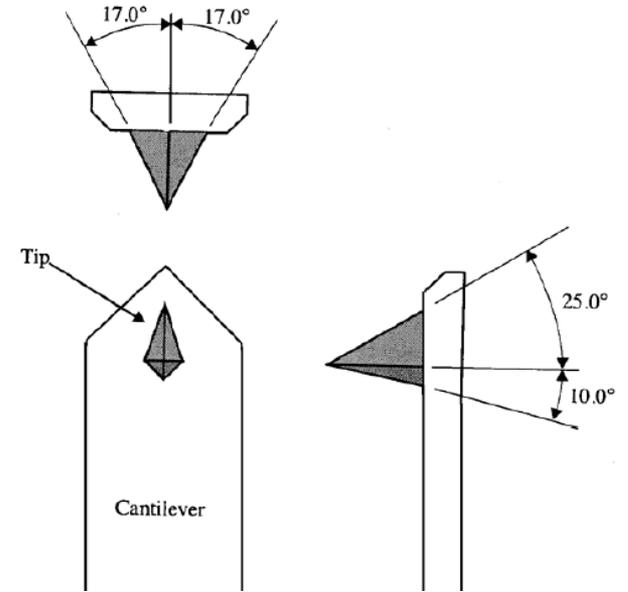
1. Laser
2. Mirror
3. Cantilever
4. Tilt mirror
5. Photodetector

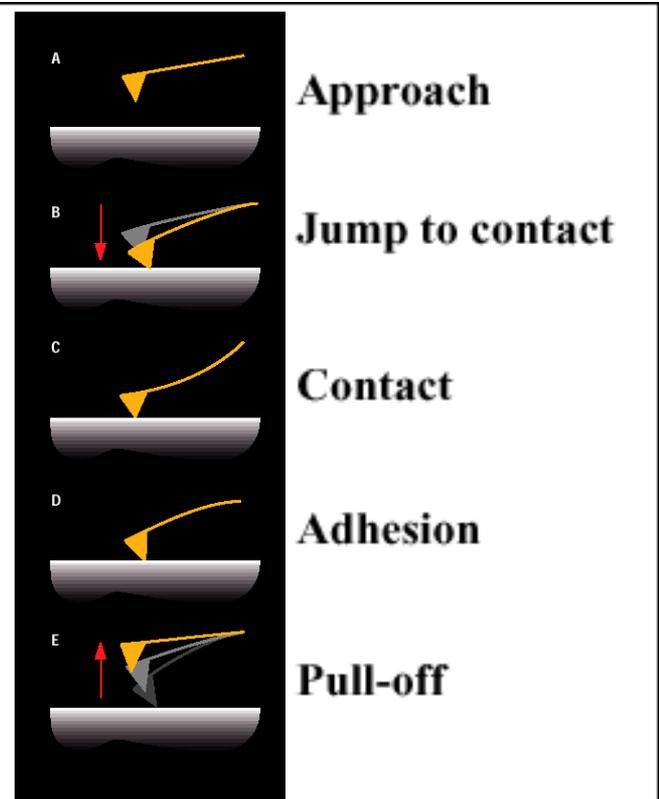
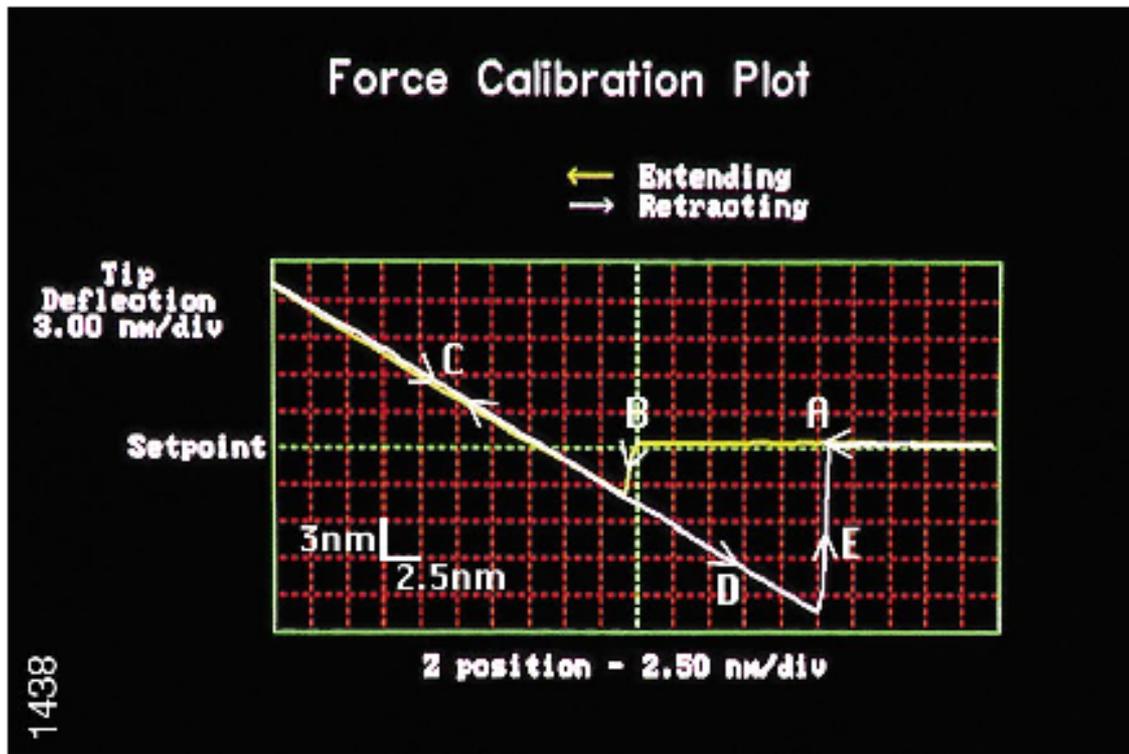


Force sensing cantilever with optical lever detection 101

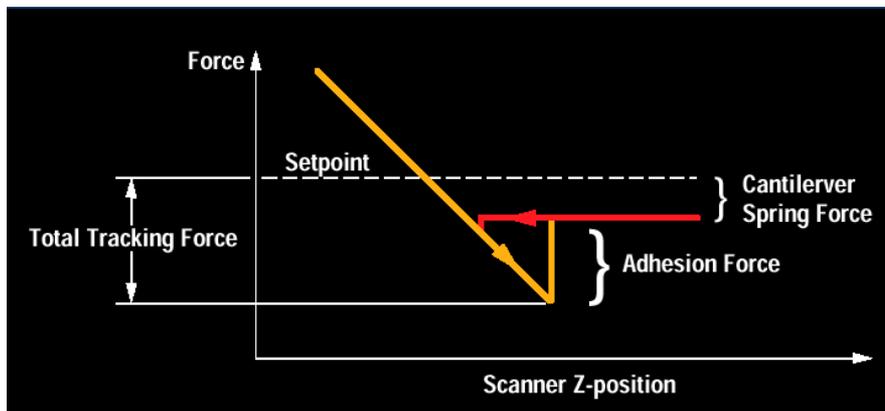


Four Si_3N_4 cantilevers on a single glass chip. Force constants in Newtons/m. Side Wall angles = 35° .





Tip-Sample interaction and resulting force curve



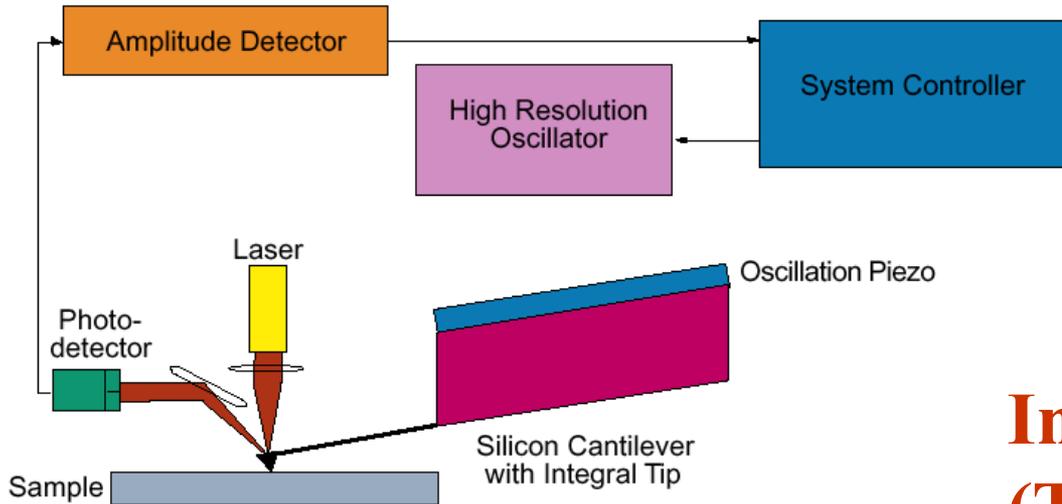
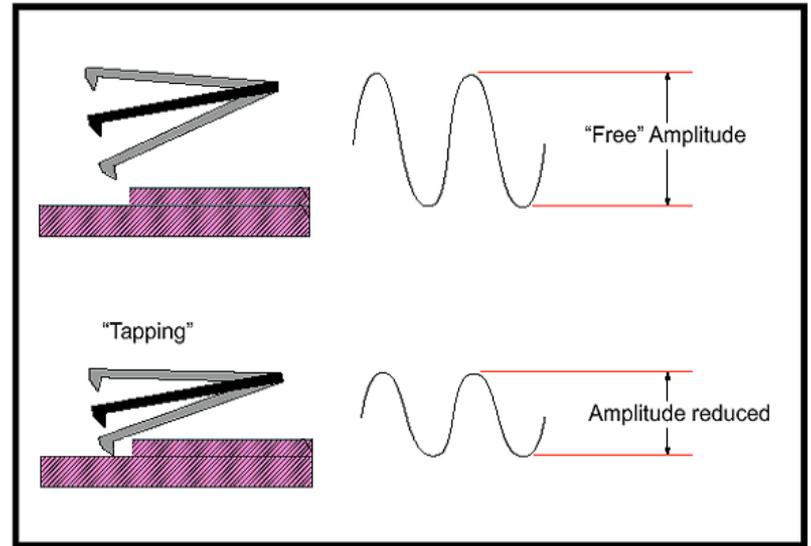
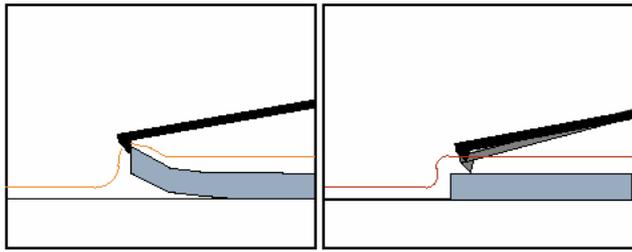
$$F = -k\Delta x$$

a 1 nm deflection is:

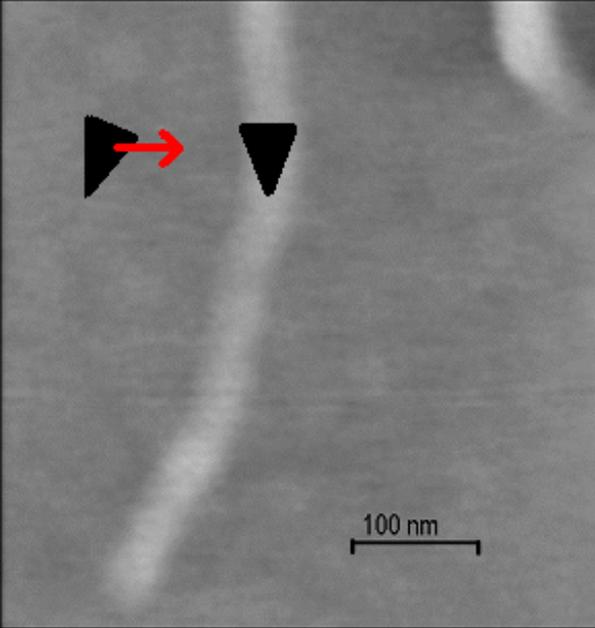
$$0.05 \text{ N/m} \times 1 \text{ nm} = 0.05 \text{ nN}$$

OR

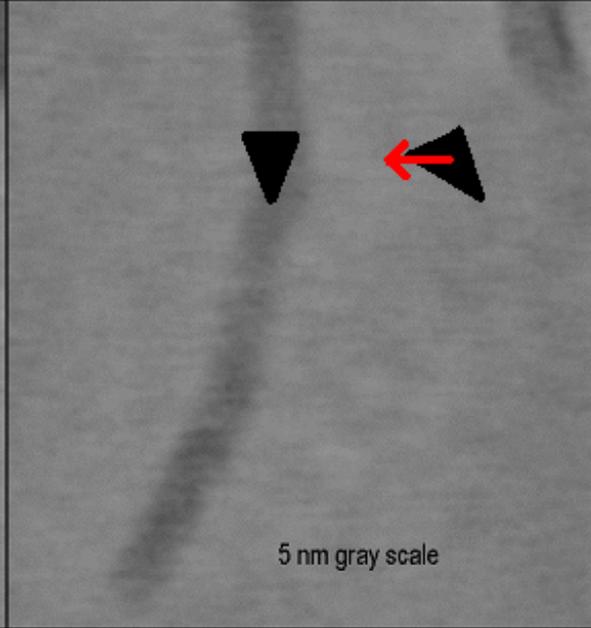
$$100. \text{ N/m} \times 1 \text{ nm} = 100 \text{ nN}$$



Intermittent Contact (Tapping Mode) AFM

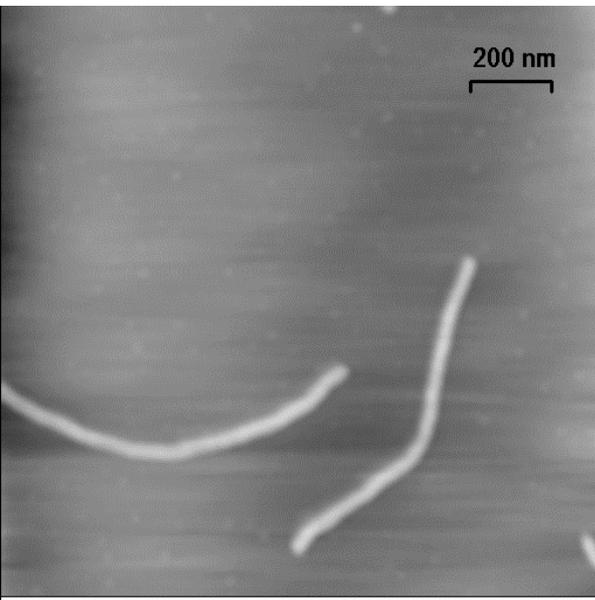


Contact Mode: Trace

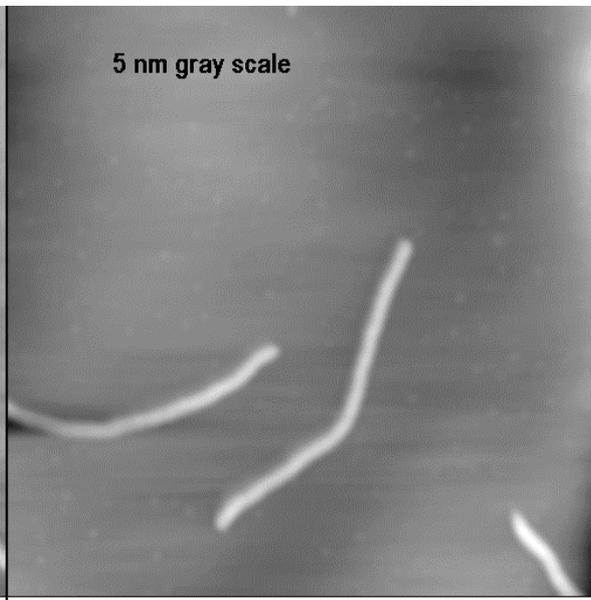


Contact Mode: Retrace

In contact mode, the frictional force changes that occur as the tip moves from the ‘sticky’ mica surface to a ‘greasy’ virus surface cause the cantilever to change tilt angle. This change in tilt angle couples with the vertical deflection signal and a *false height* is observed. *Changing scan angle will change the apparent heights.*



Tapping Mode: Trace



Tapping Mode: Retrace

Since there are almost no frictional forces associated with intermittent contact (tapping) mode, the direction of scan is irrelevant and the same height is observed independent of scan direction. *How real the height is depends on the differential hardness of the surfaces.*

Scanning Probe Microscopy (SPM)

Scanning Tunneling Microscopy (STM)

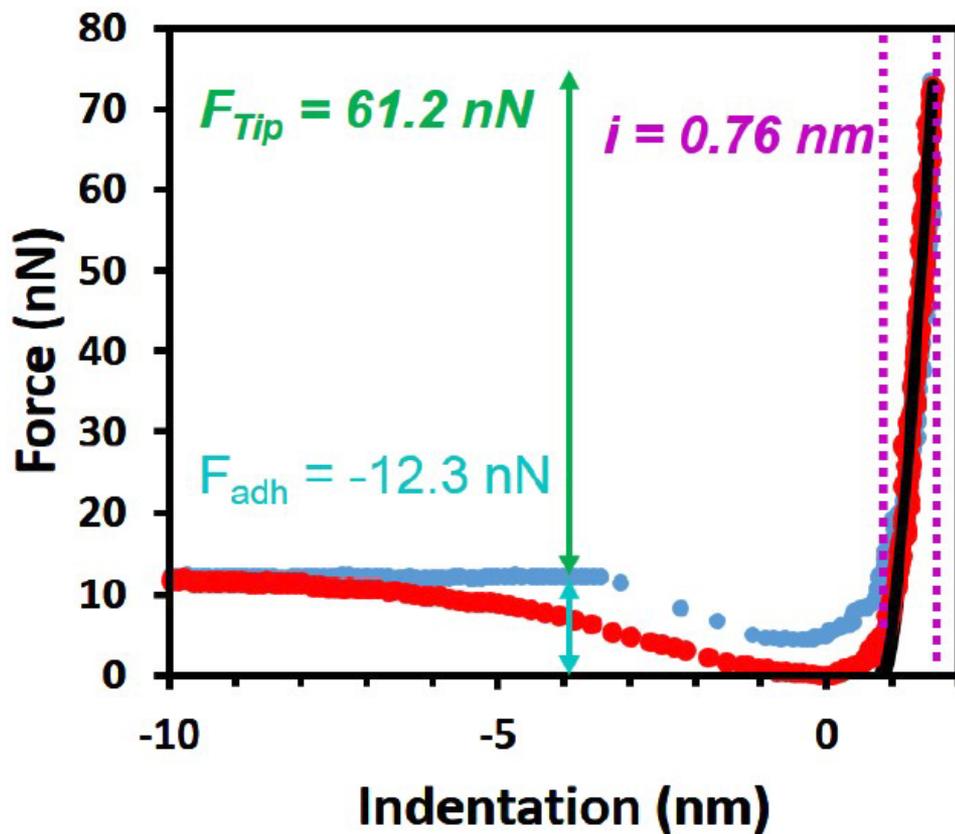
Scanning Tunneling Spectroscopy (STS)
Orbital Mediated Tunneling Spectroscopy (OMTS)
Inelastic Electron Tunneling Spectroscopy (IETS)

Scanning (or Atomic) Force Microscopy (SFM or AFM)

Contact Mode
Tapping Mode (intermittent contact)
Nanomechanical Properties
Magnetic Force
Chemical Force
Kelvin Probe Microscopy
MORE.....

Near Field Scanning Optical Microscopy (NSOM)

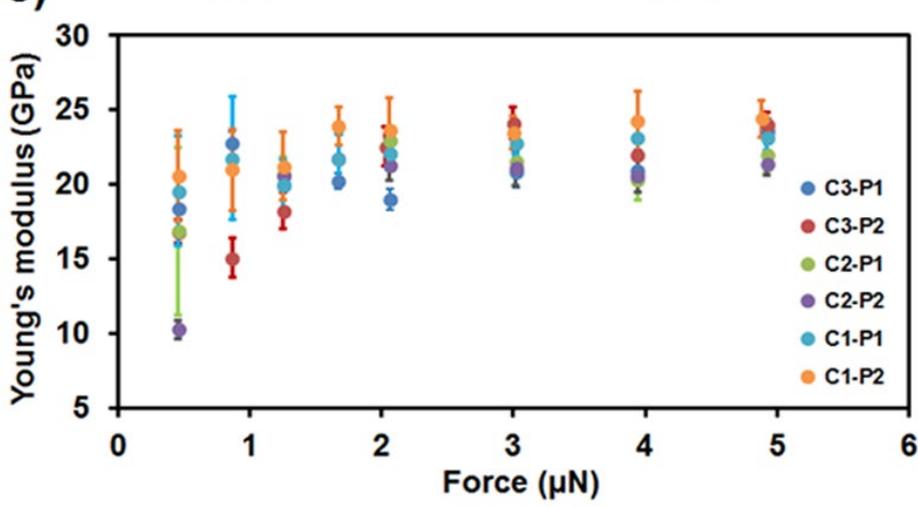
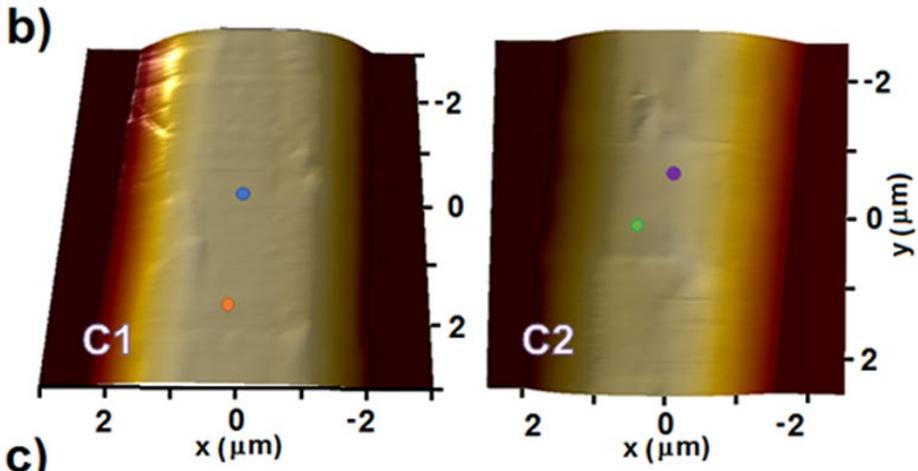
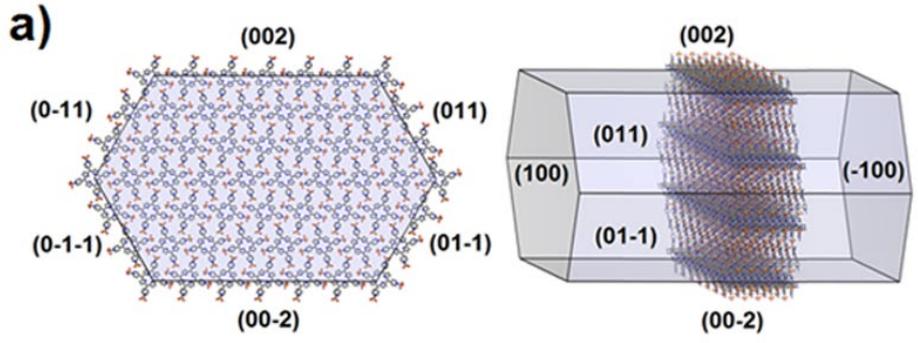
Scanning Thermal Microscopy (SThM)



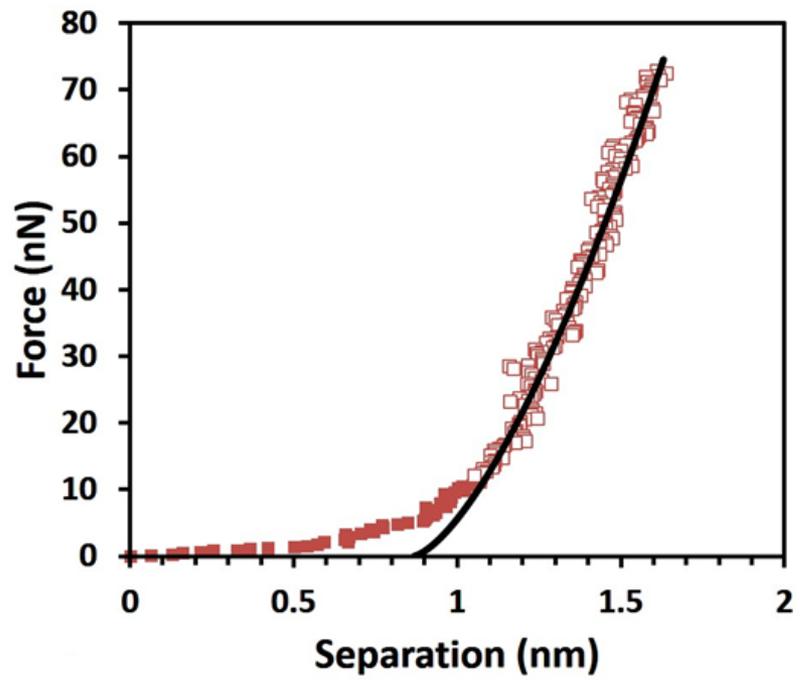
$$F_{\text{tip}} - F_{\text{adh}} = \frac{4}{3} E^* (R_{\text{tip}})^2 (d)^{\frac{3}{2}}$$

$$\frac{1}{E^*} = \frac{(1 - \nu_{\text{Tip}}^2)}{E_{\text{Tip}}} + \frac{(1 - \nu_s^2)}{E_s}$$

Figure 17. AFM Force (nN) versus indent (nm) curve for HOPG. The red points identify the retract curve, the blue the approach. Zero separation is set at the point of maximum adhesion on the retract curve (DMT model in Mathcad®). The dashed purple vertical lines identify the region of interest. The black line shows the DMT fitted retract (unloading) curve. Relationships for obtaining the reduced Young's modulus are shown. Here F_{tip} is the tip force (nN), E^* is the reduced Young's Modulus (Pa), R is the radius of the tip (nm), F_{adh} is the adhesion force (nN), i_{Total} is the indentation depth (nm), and ν is the Poisson ratio (negative ratio of transverse to axial strain). E_{Tip} and ν_{Tip} for a silicon AFM tip are 166 GPa and 0.22, respectively; ν_s for HOPG is 0.24. (Unpublished data)



$$F_{Tip} = 4/3 E^* (R_{Tip})^{1/2} (i)^{3/2} + F_{adh}$$



Crystal habit of TMPyP:TSPP (a). AFM images of TMPyP:TSPP rods C1 and C2 with color-coded indent sites (b) and a plot of Young's modulus versus applied force (c) at different locations on C1, C2 and C3 (not shown here). The indent sites are identified as P1 and P2. The force curves were collected using a RTESPA 525 ($k = 134 \text{ N/m}$) cantilever. Each point on the plot is the average value resulting from fitting 20 ramps

Table 2. Comparison of Young's modulus valued for TSPP:TPyP and other materials.

Material	Young's modulus (GPa)
β -phase CuPc nanowires (AFM) ⁷⁴	1.9
Polyethylene ⁷⁶	3
Polypyrrole nanotubes ⁷²	1.2 – 3.2
CuPc Film (nanoindenter) ⁷⁷	5.2
TPyP:TSPP nanorods/mica (AFM) ¹⁵	6.4 ± 1.2
TPyP:TSPP nanorods/HOPG (AFM) ¹⁵	6.6 ± 1.2
Benzophenone single crystal ⁷⁸	6.7
Polyfluoroethylene ⁷⁹	7.5
Ammonium sulfate single crystal ⁷⁸	23.8
ZnTe nanowire ⁸⁰	63 ± 6
pCuPc(OOc) ₈ /W (nanoindenter) ⁸⁸	83 ± 5.7
Calcium sulfate single crystal ⁷⁸	88.3

Scanning Probe Microscopy (SPM)

Scanning Tunneling Microscopy (STM)

Scanning Tunneling Spectroscopy (STS)
Orbital Mediated Tunneling Spectroscopy (OMTS)
Inelastic Electron Tunneling Spectroscopy (IETS)

Scanning (or Atomic) Force Microscopy (SFM or AFM)

Contact Mode
Tapping Mode (intermittent contact)
Nanomechanical Properties
Magnetic Force
Chemical Force
Kelvin Probe Microscopy
MORE.....

Near Field Scanning Optical Microscopy (NSOM)

Scanning Thermal Microscopy (SThM)

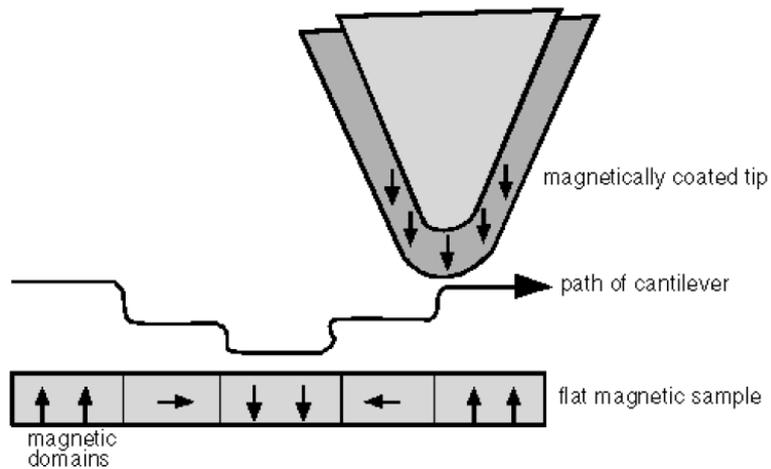


Figure 1-7. MFM maps the magnetic domains of the sample surface.

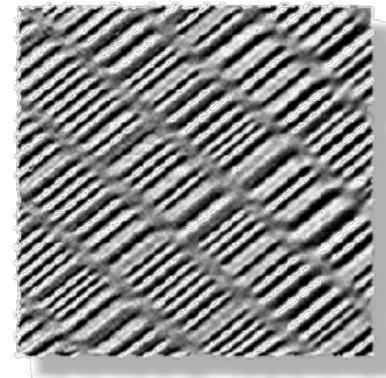


Figure 1-8. MFM image showing the bits of a hard disk.
Field of view 30 μ m.

Scanning Probe Microscopy (SPM)

Scanning Tunneling Microscopy (STM)

Scanning Tunneling Spectroscopy (STS)
Orbital Mediated Tunneling Spectroscopy (OMTS)
Inelastic Electron Tunneling Spectroscopy (IETS)

Scanning (or Atomic) Force Microscopy (SFM or AFM)

Contact Mode
Tapping Mode (intermittent contact)
Nanomechanical Properties
Magnetic Force
Chemical Force
Kelvin Probe Microscopy
MORE.....

Near Field Scanning Optical Microscopy (NSOM)

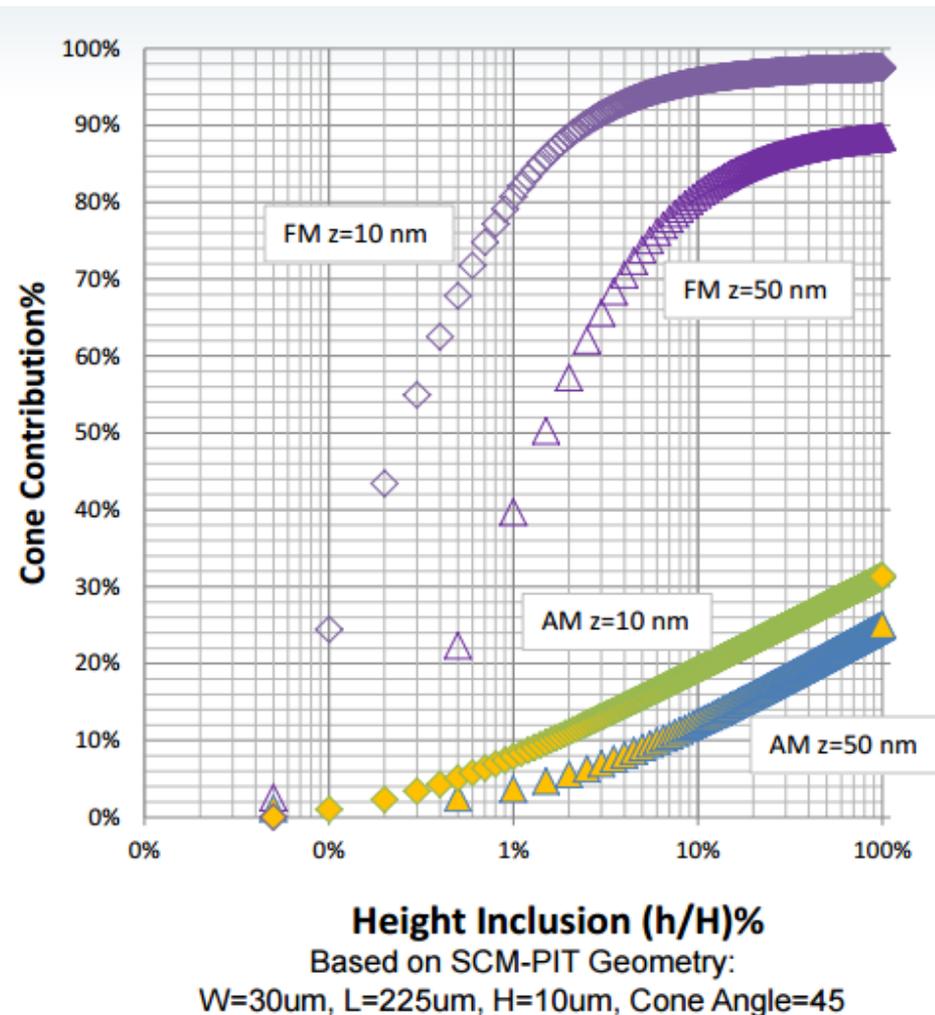
Scanning Thermal Microscopy (SThM)

Why Kelvin probe microscopy (KPFM)?

- KPFM is a form of non-contact AFM that measures the work function of a surface on a ~ 1 nm scale.
 - It measures the difference in surface potential of a surface and the probe tip.
 - By measuring the tip potential against a known standard, absolute work functions can be determined.
- Can be used to study charge or conductivity defects
- Can be used for studying the origin of local catalytic activity
- Can be used for determining surface charging in semiconductors due to photoexcitation or chemical binding
- Can be used to observe local corrosion

Spatial Resolution of KPFM Techniques

- Electric force varies as $\frac{1}{z^2}$
- Electric force gradient varies as $\frac{1}{z^3}$
- AM-KPFM: The contribution of the tip cone to the overall signal never reaches 50%
 - Lateral resolution is limited by μm scale of the cantilever
- FM-KPFM: The foremost 0.3% of the tip cone accounts for half of the signal
 - Lateral resolution is better than 50 nm



Scanning Probe Microscopy (SPM)

Scanning Tunneling Microscopy (STM)

Scanning Tunneling Spectroscopy (STS)
Orbital Mediated Tunneling Spectroscopy (OMTS)
Inelastic Electron Tunneling Spectroscopy (IETS)

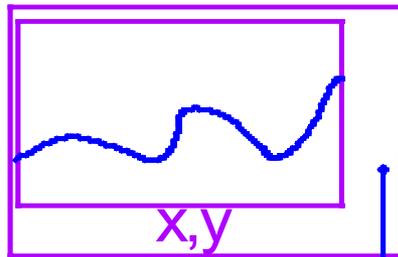
Scanning (or Atomic) Force Microscopy (SFM or AFM)

Contact Mode
Tapping Mode (intermittent contact)
Lateral Force
Magnetic Force
Chemical Force
Scanning Kelvin Probe
MORE.....

Near Field Scanning Optical Microscopy (NSOM)

Scanning Thermal Microscopy (SThM)

Computer: drives x,y scan; saves data; generates images.



Fine Position & Scanning are usually performed with one or more piezo-electric elements.

Coarse Approach

Feedback System: holds interaction between tip and surface constant.

Feedback Control

Error
Signal

Interaction Sensing

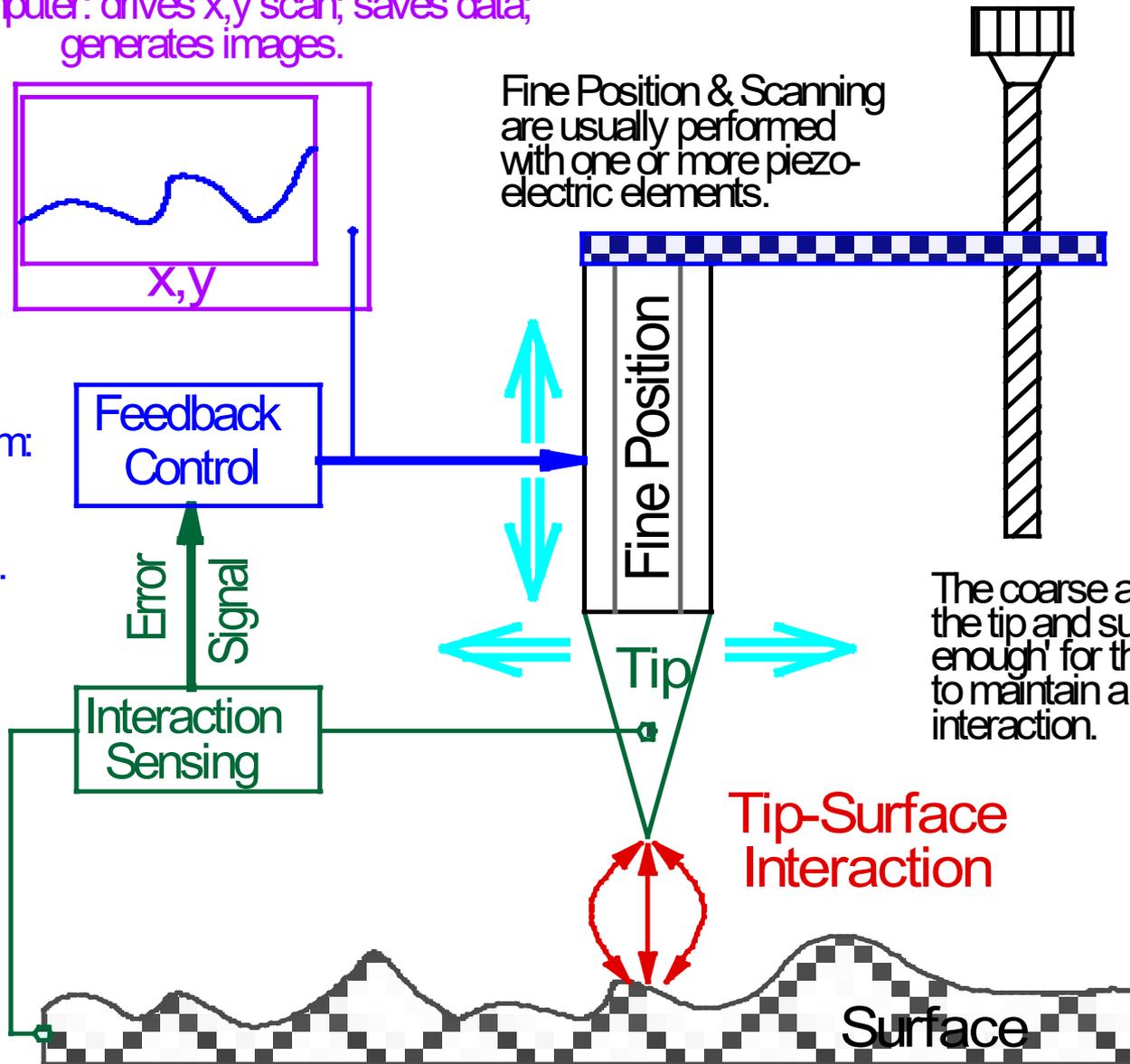


Fine Position

Tip

Tip-Surface Interaction

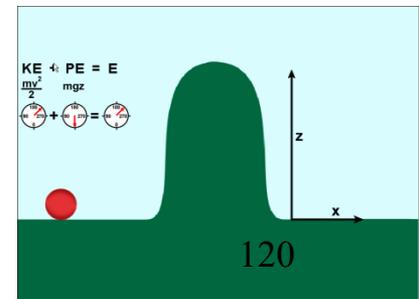
Surface



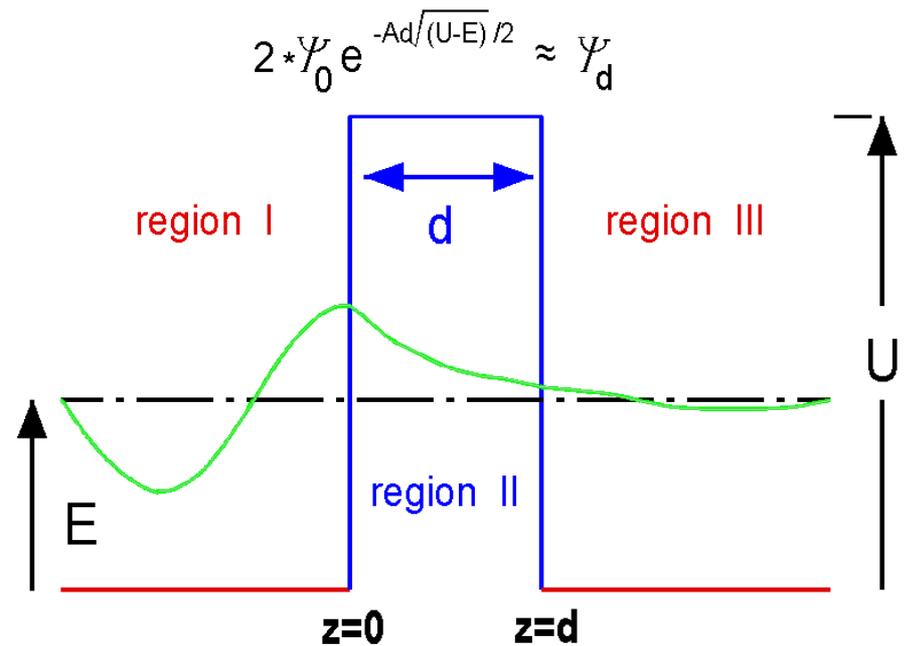
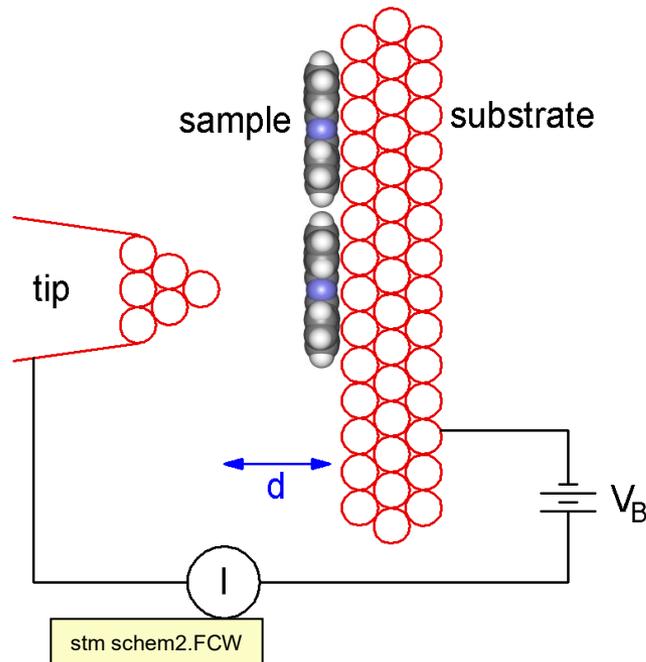
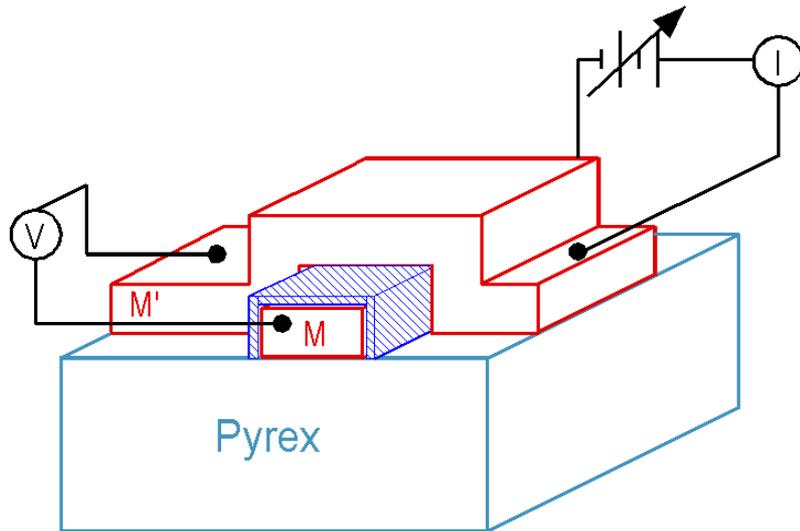
Electron Tunneling: Our Probe of the Nano-World

or

*We use a non-classical
phenomena to probe a non-
classical world*

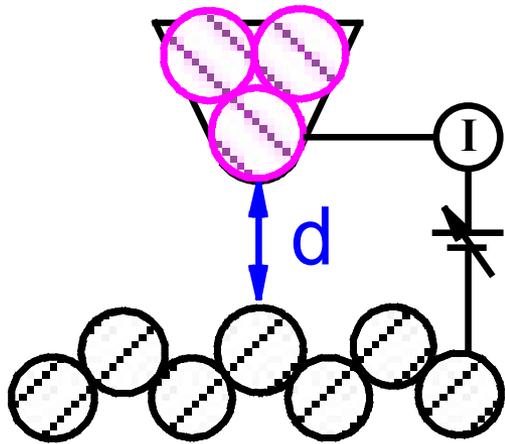


Tunneling in an M-I-M' Diode and an STM



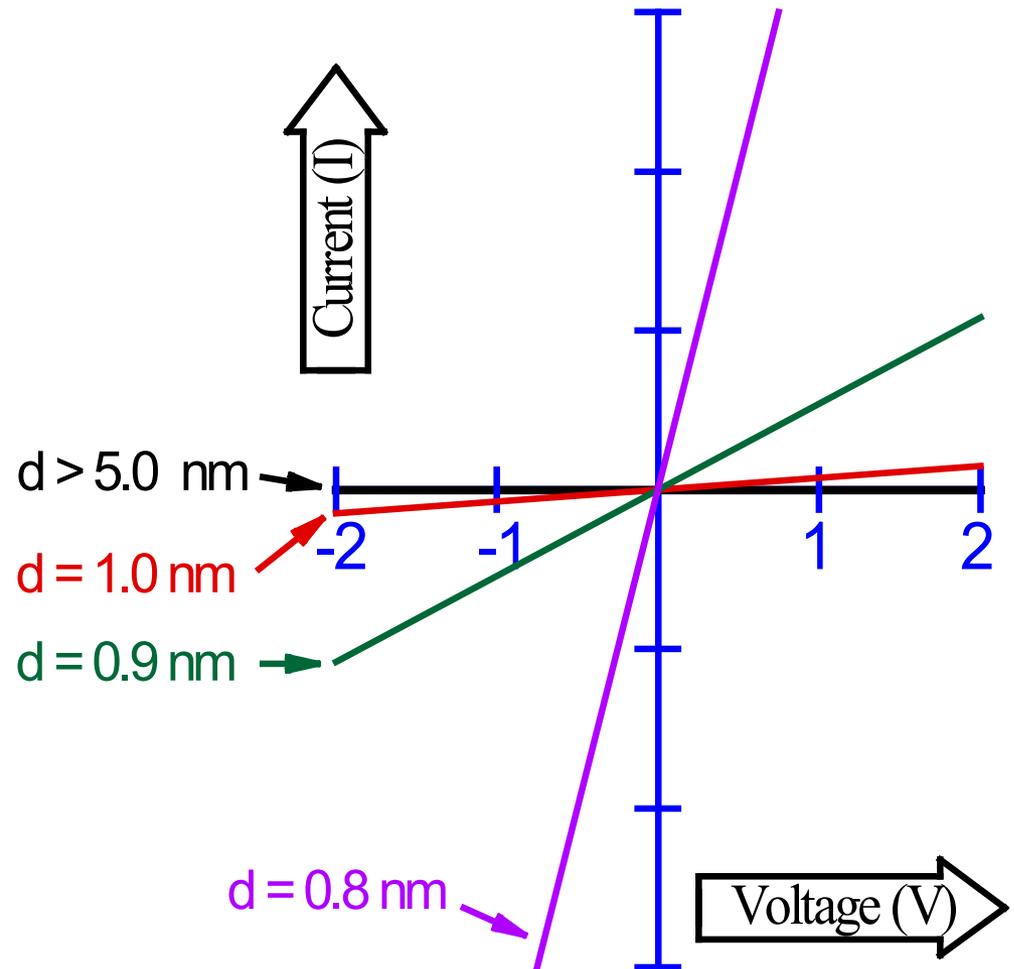
$$I = cV \exp[-Ad(U-E)^{1/2}]$$

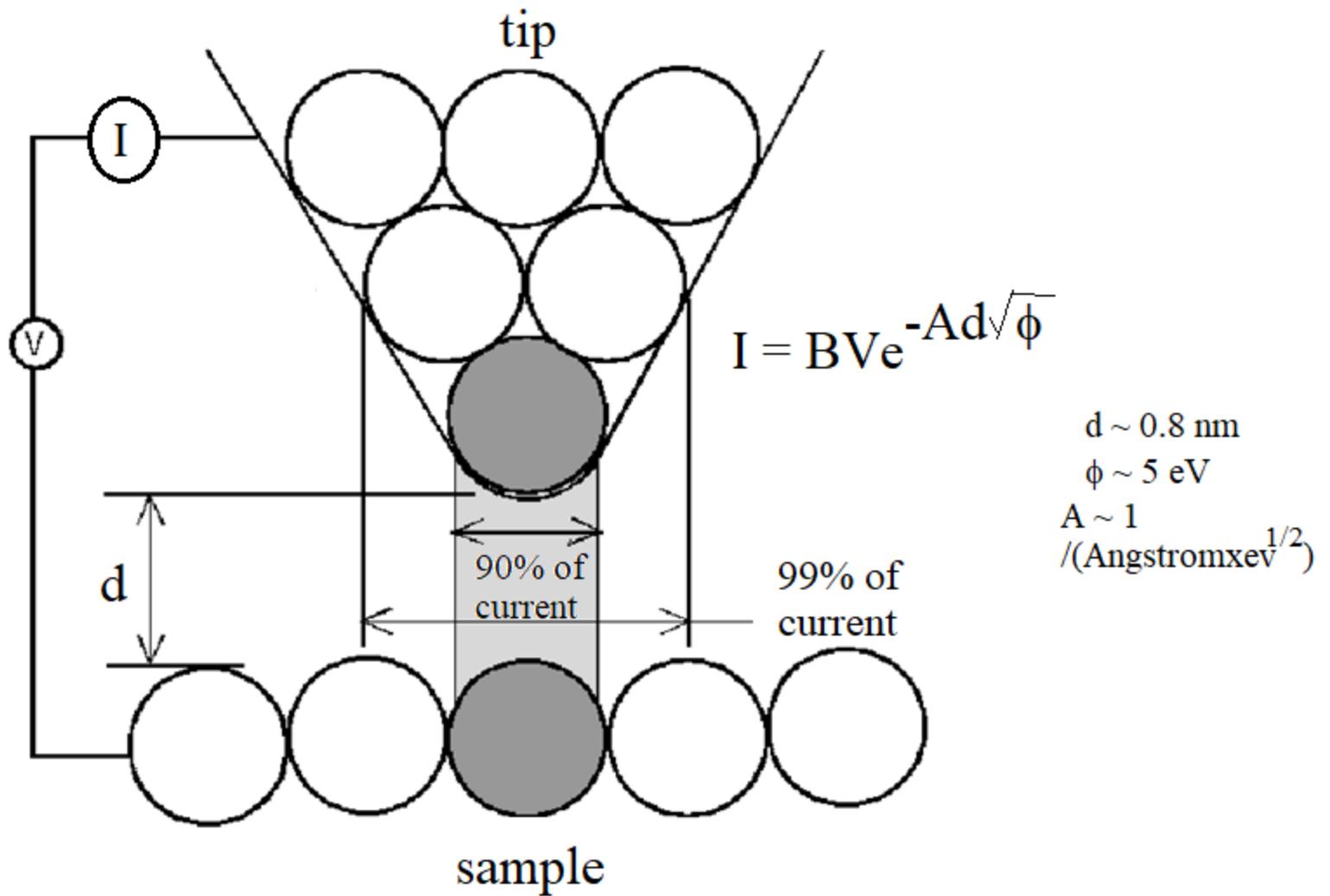
$$A = 1.02 \text{ eV}^{1/2}/\text{Angstrom} \quad 121$$

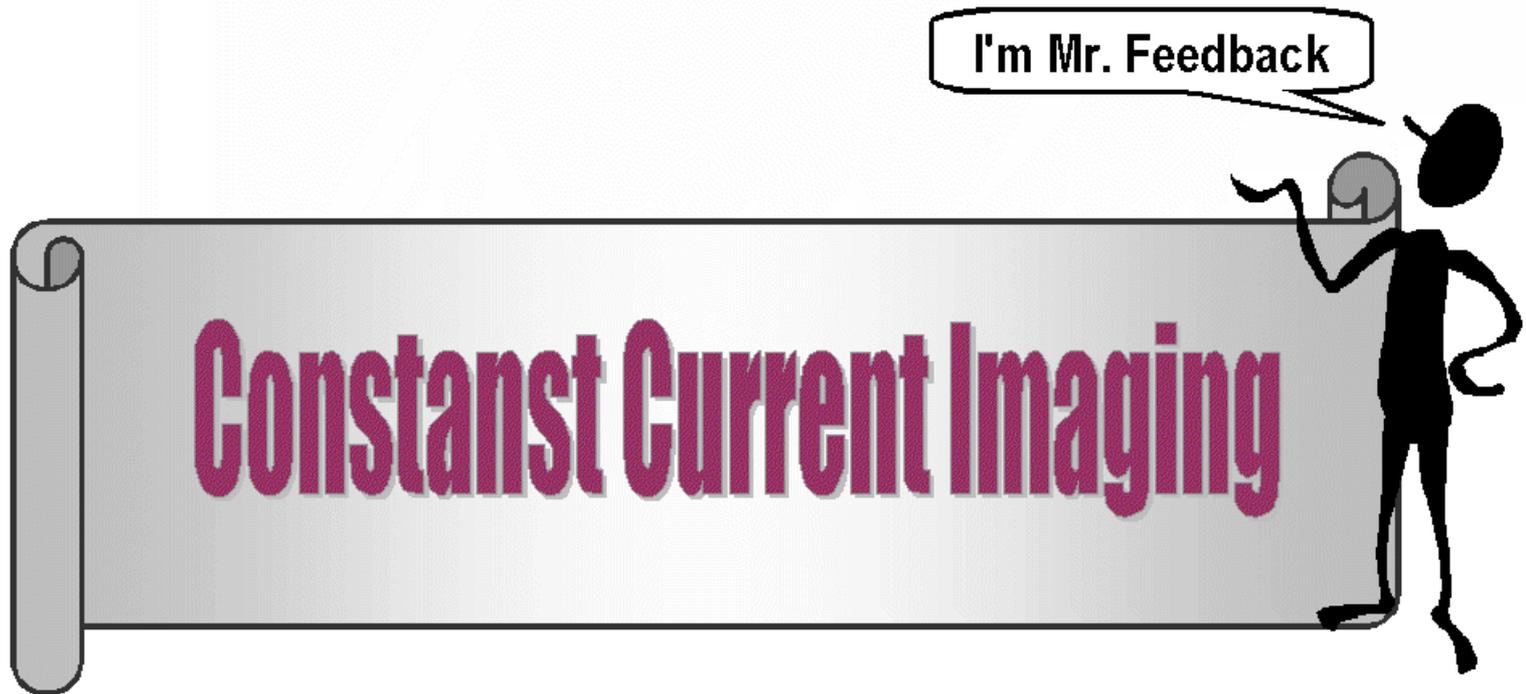


$$I \sim CV \exp(-d \sqrt{\Phi})$$

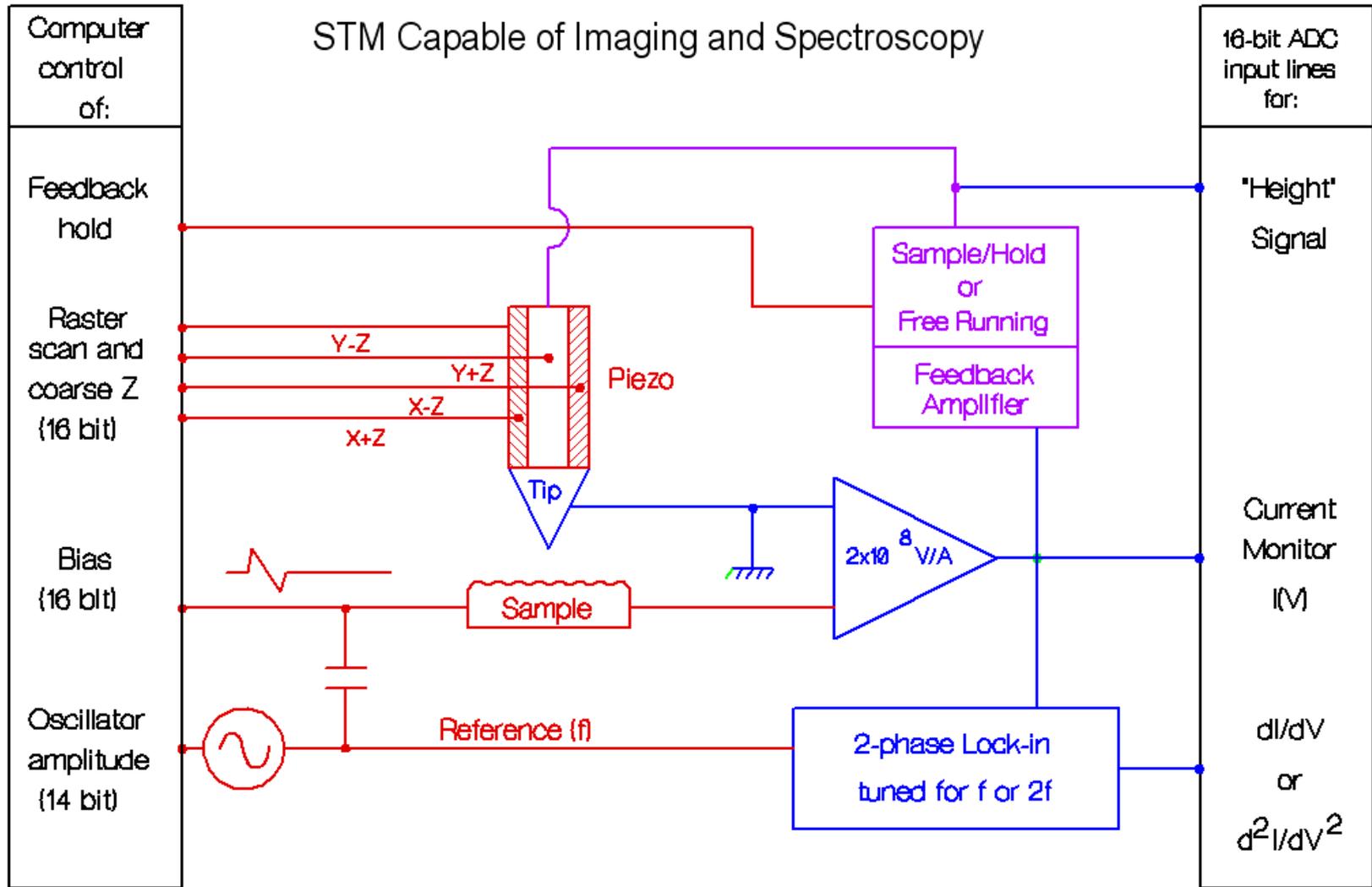
D in Angstroms
and Φ in eV

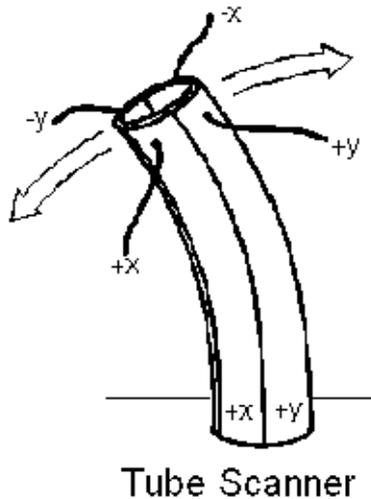






STM Capable of Imaging and Spectroscopy



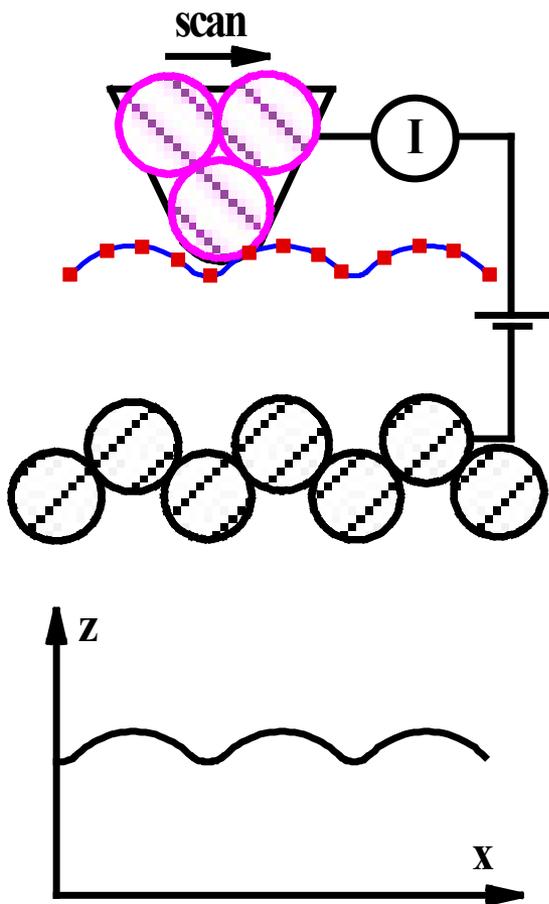


Applying opposite signed voltages on $+X$ and $-X$ quadrants relative to ground (inner tube) results in deflections along X .

Applying opposite signed voltages on $+Y$ and $-Y$ quadrants relative to ground (inner tube) results in deflections along Y .

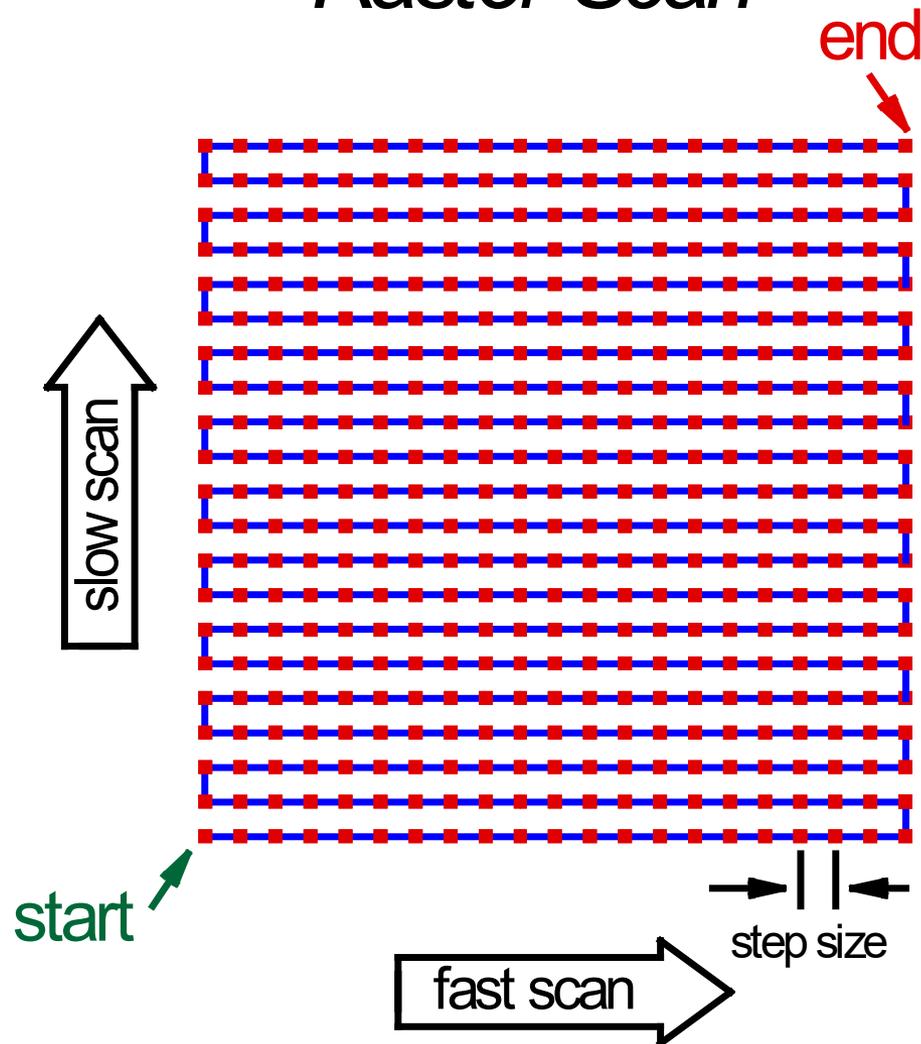
Applying the same voltage on all four outer quadrants relative to the inner conductor results in Z motion.

(Feedback ON)
CONSTANT CURRENT MODE

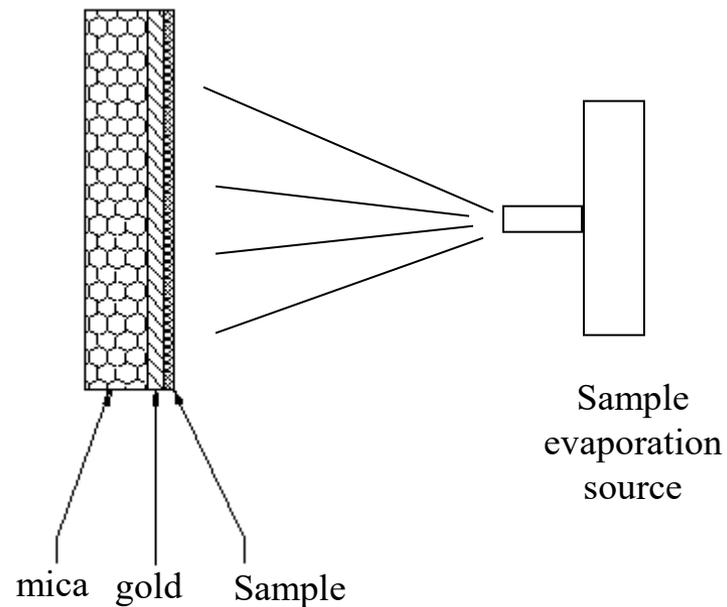
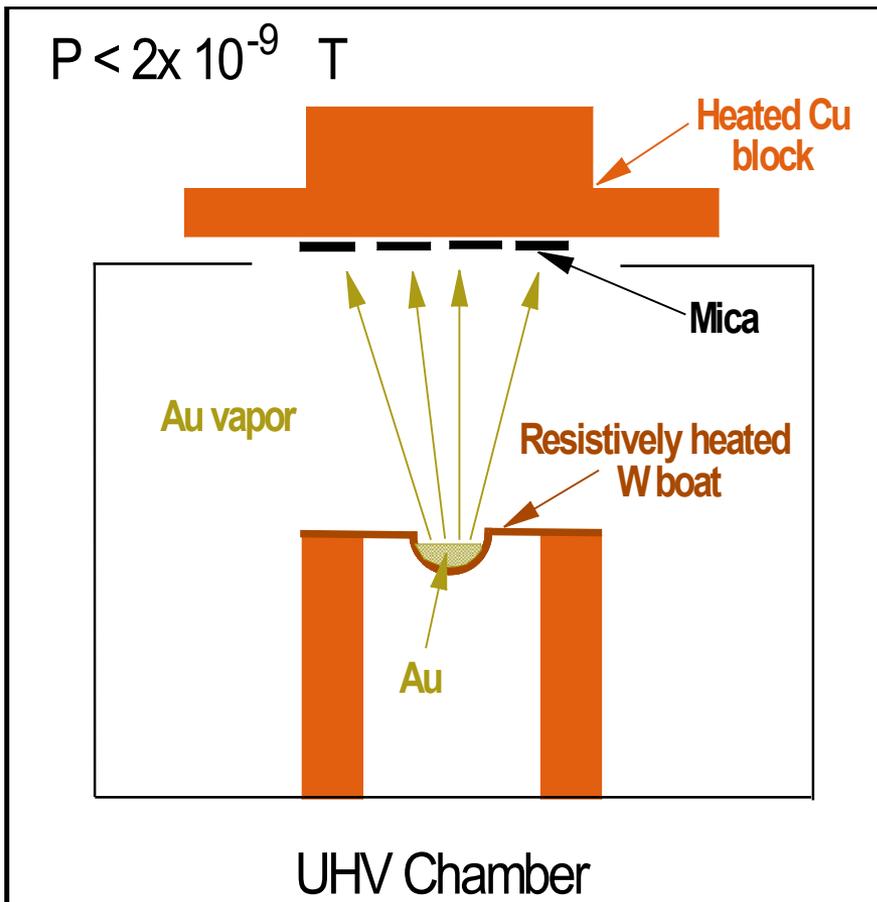


$$I \sim CV \exp(-d/\sqrt{\Phi})$$

Raster Scan

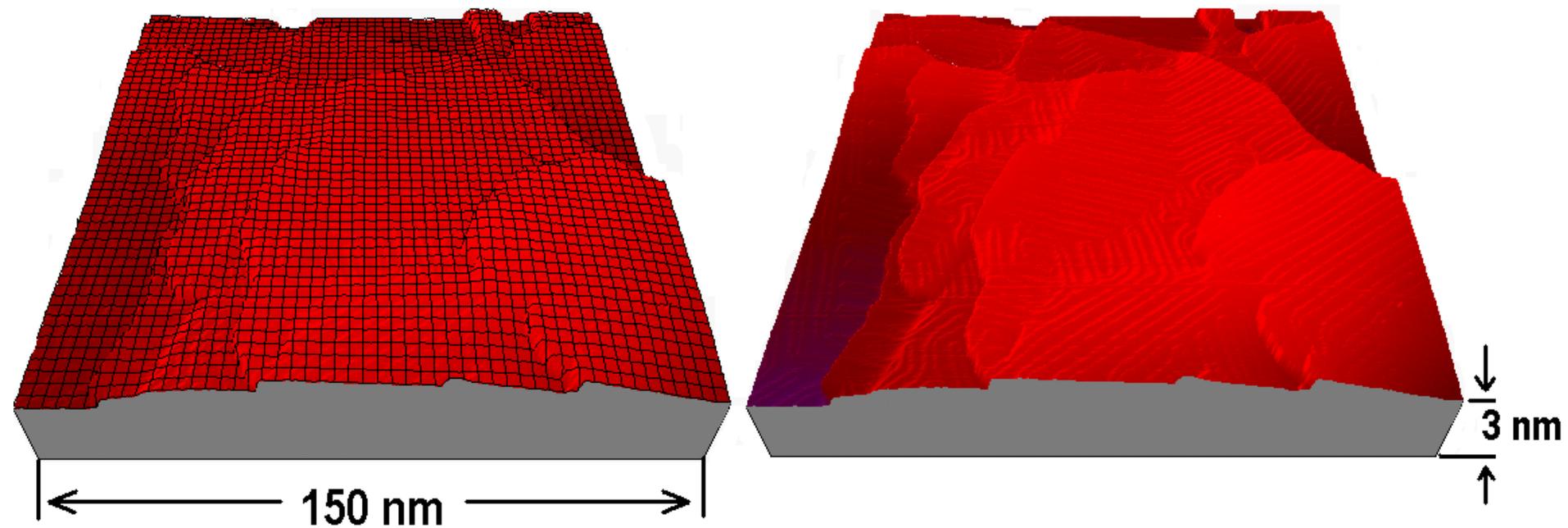


Sample Preparation



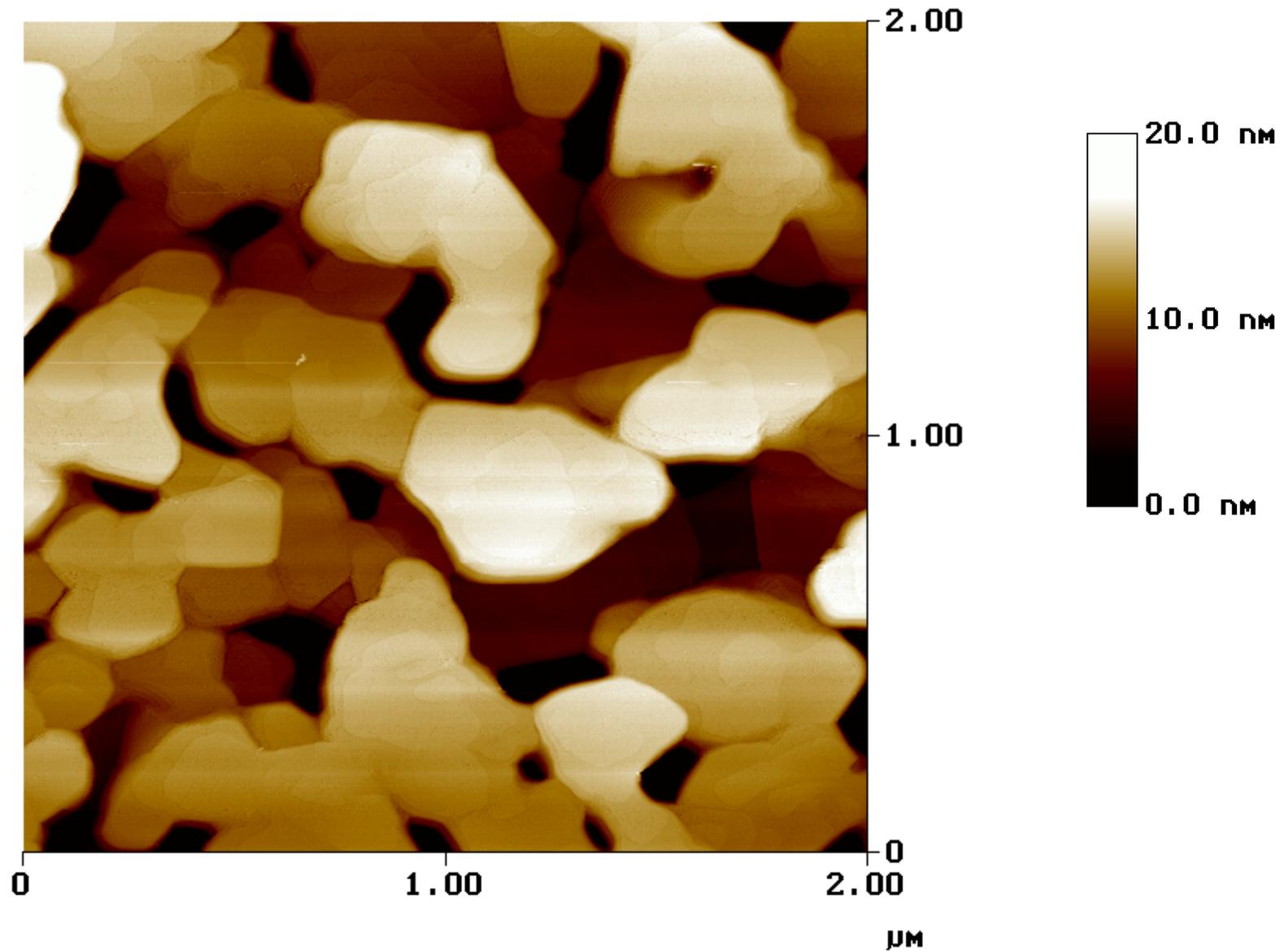
1. Gold is vapor deposited onto an atomically flat mica surface. The deposition rate is carefully controlled.

2. Single molecular samples are vapor deposited in the STM chamber onto the Au(111) surface. Typically, less than one monolayer is deposited



"Low resolution" STM image of the Au(111) surface

Au(111) : Vapor Deposited on Mica

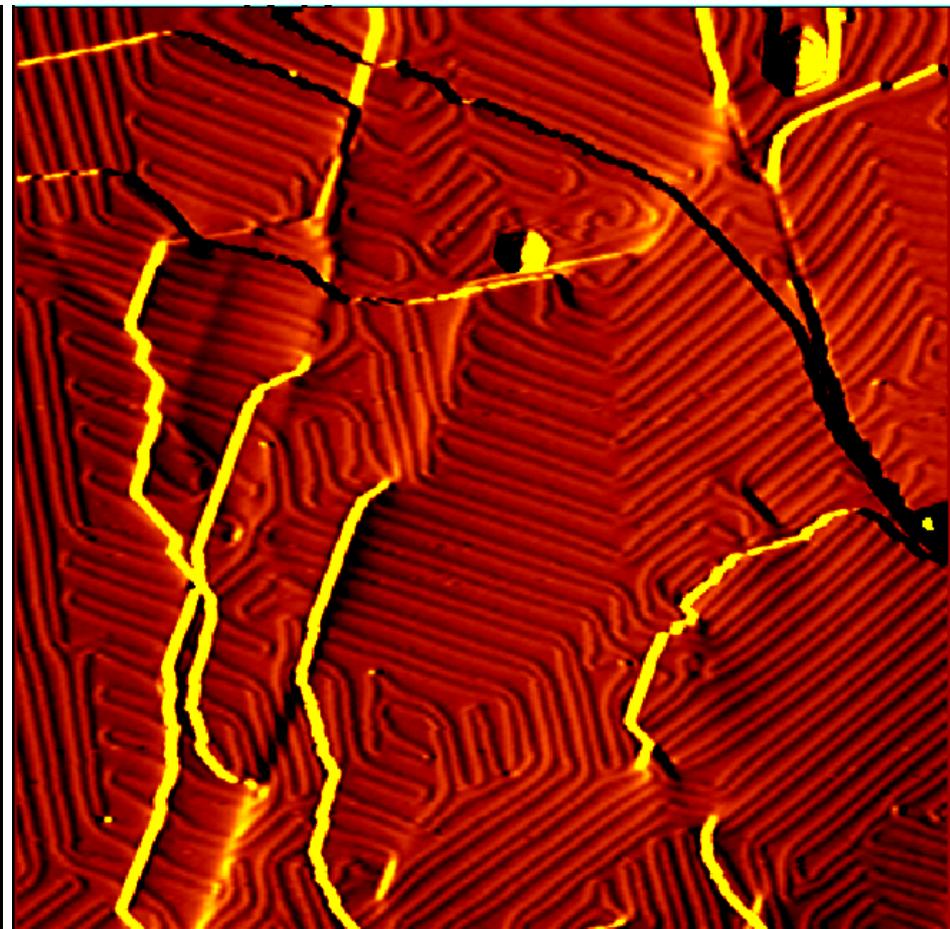
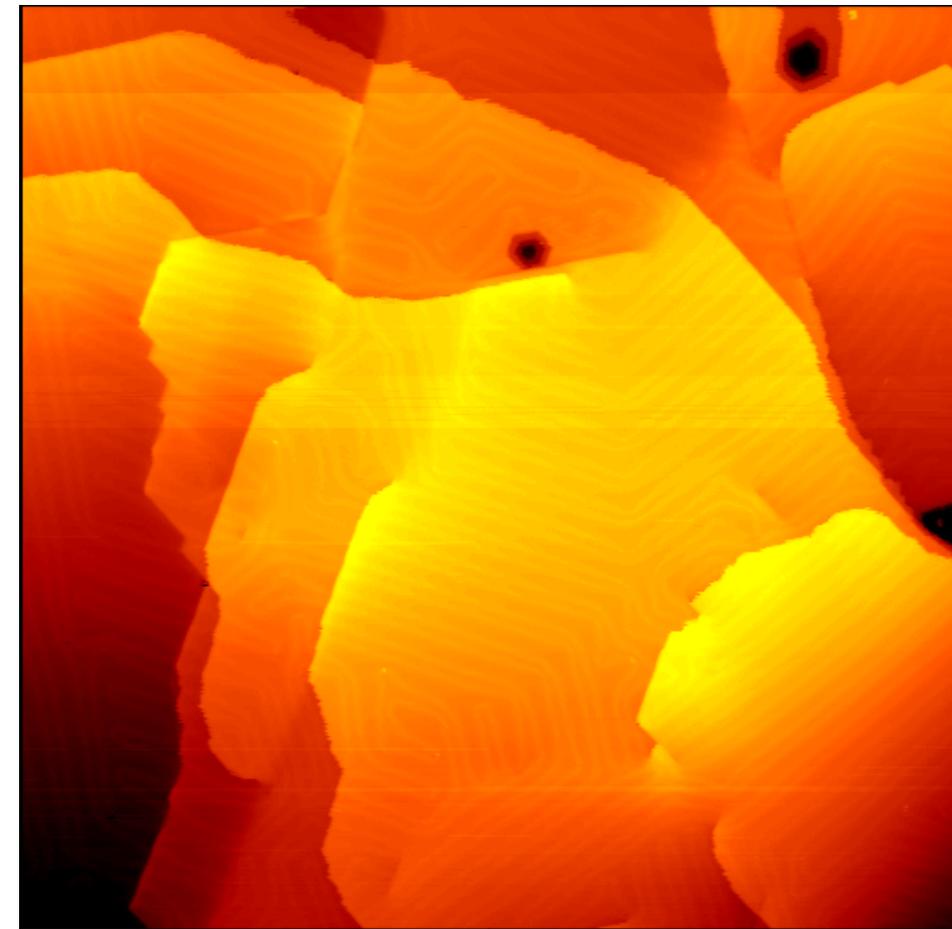


Reconstruction of the Au(111) surface

Height Image

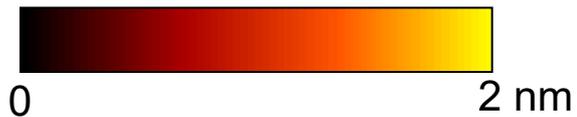
800 mV, 280 pA, 294 K

Derivative Image



0

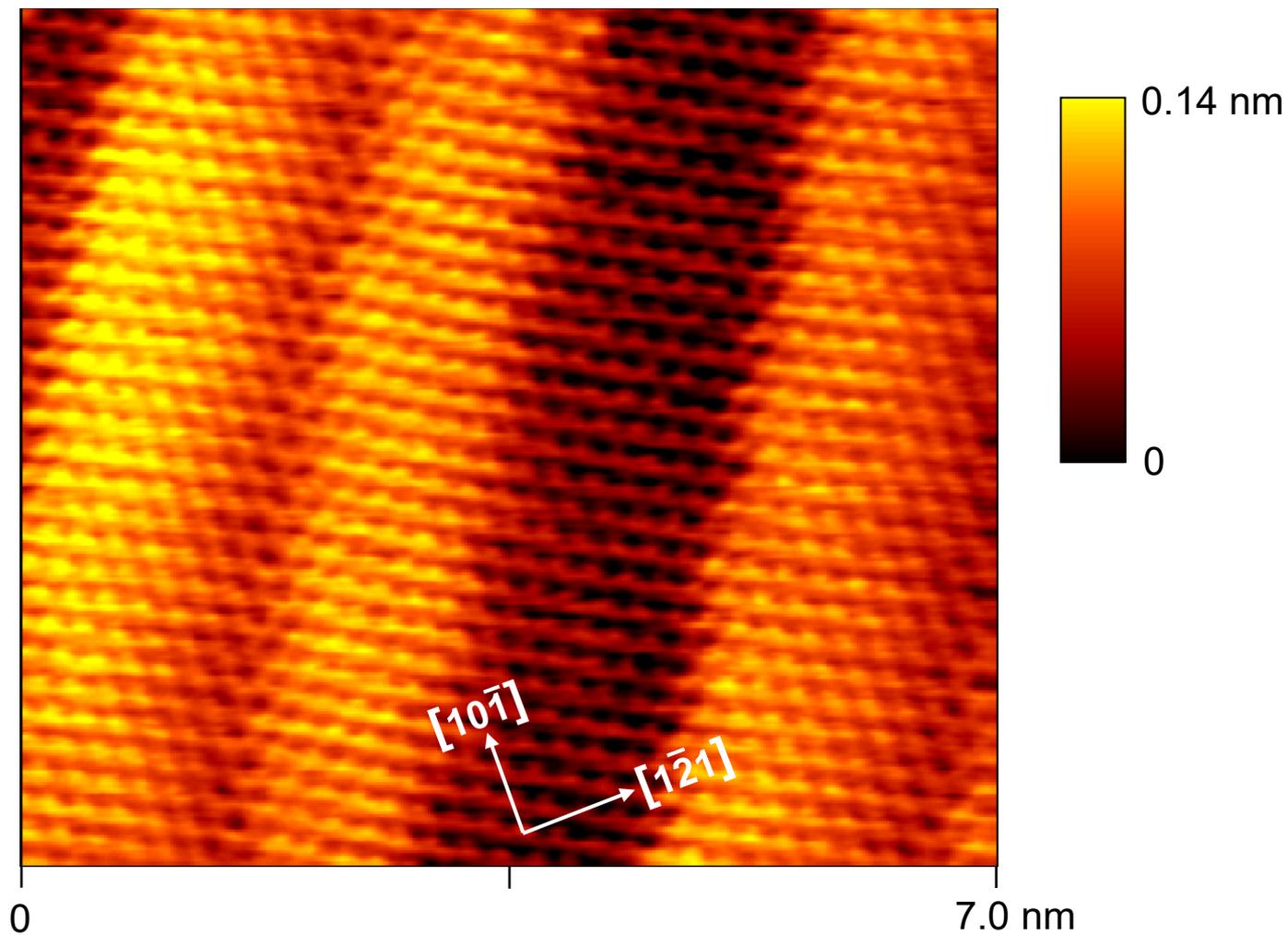
153 nm



Au-mica.219

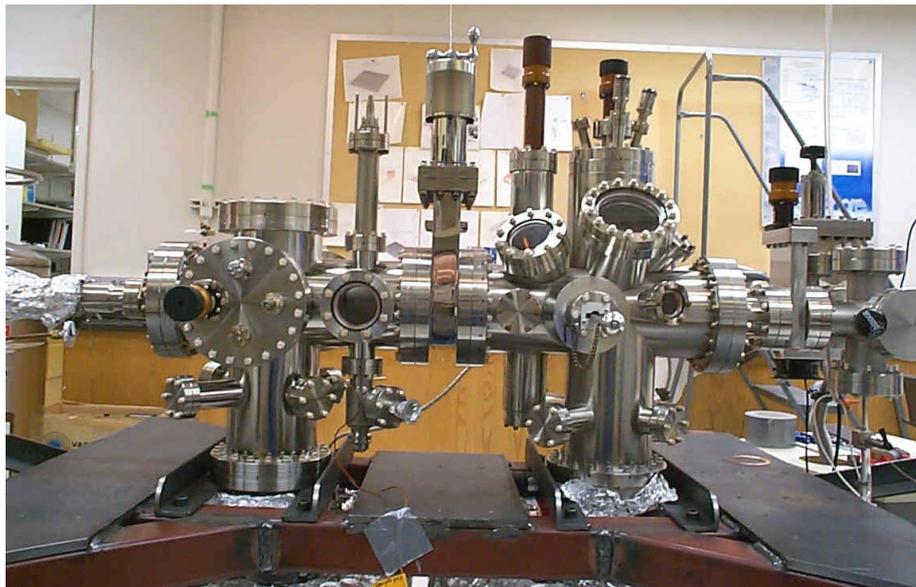
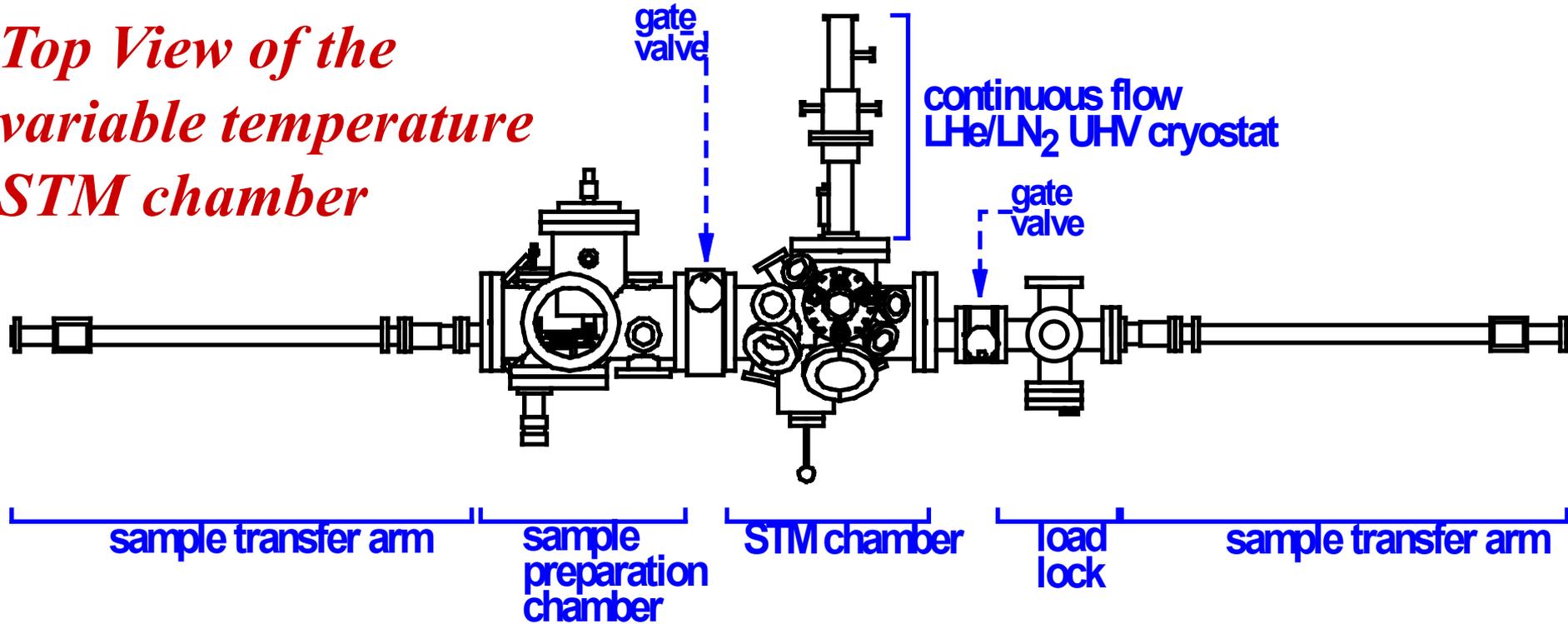
131

Atomic Resolution on Au(111)/Mica

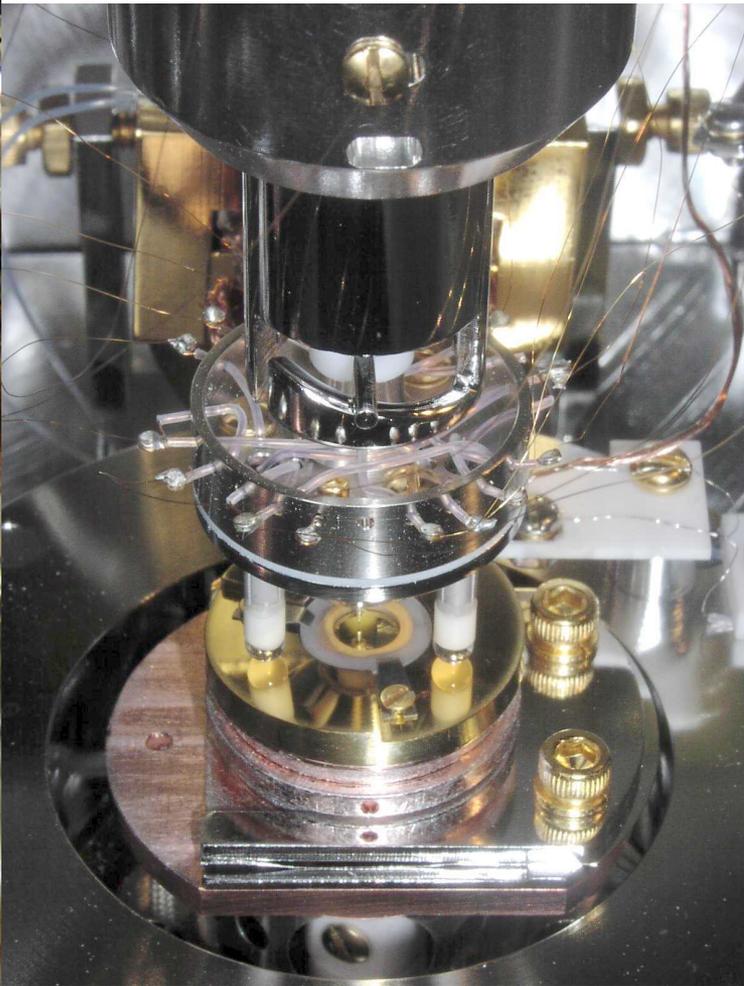
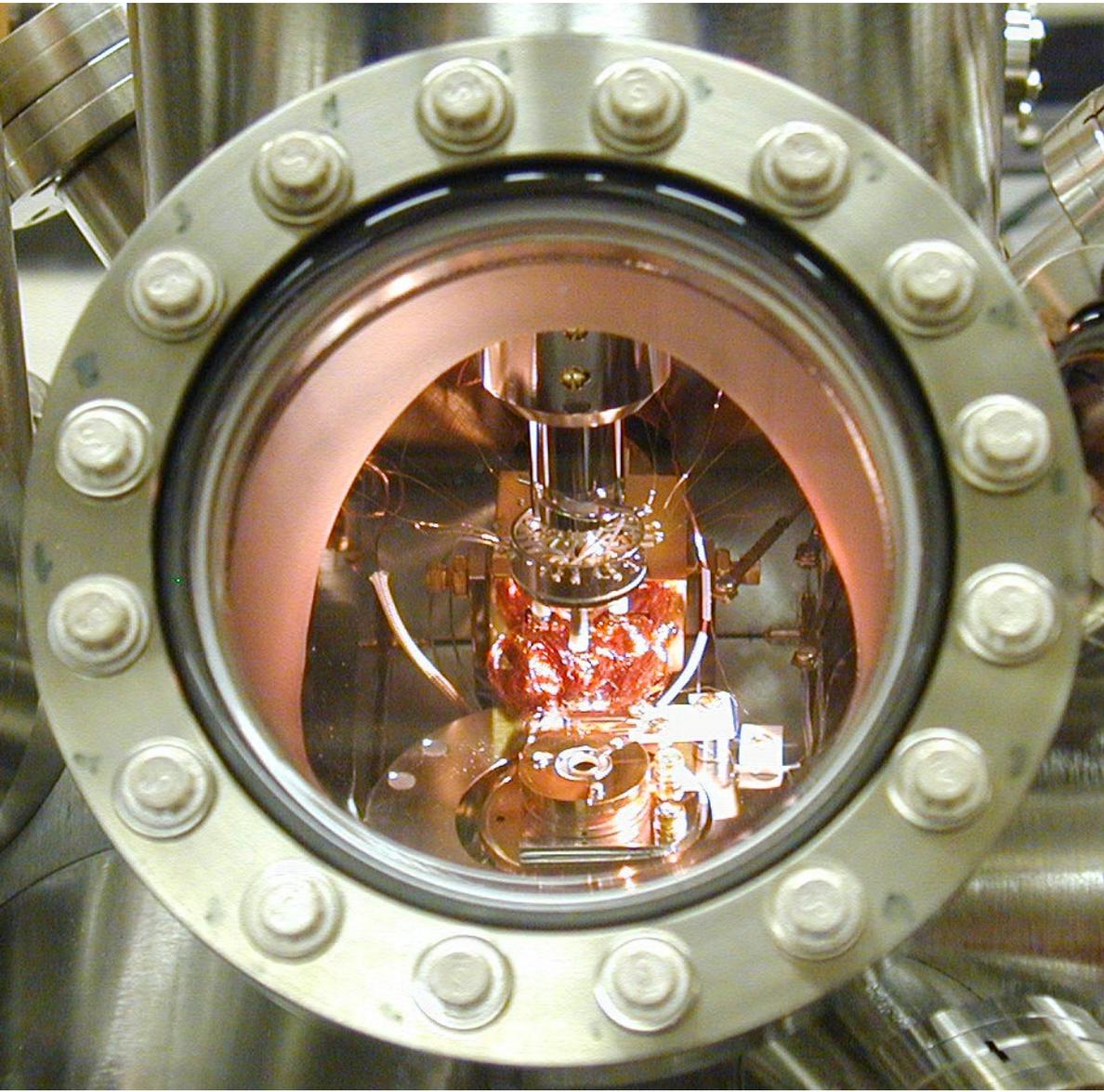


25 mV, 2 nA, 294 K

*Top View of the
variable temperature
STM chamber*



Side View



Organometallics

- **Why do we care?**

Intensely colored

(Dyes, photochemistry, non-linear optics)

Extraordinary thermal and chemical stability

(Deposited like inorganics, resist atmospheric attack and aging)

Ubiquitous in biology

(Understanding porphyrin-like structures is highly desirable)

Exciting electronic properties

(Both p-type and n-type semiconductors: *OLEDs, Transistors, Sensors*)

How do we do it?

Conventional spectroscopy, a few hundred billion at a time.

(XPS, UPS, UV-Vis, FT-IR, Raman, electrochemistry)

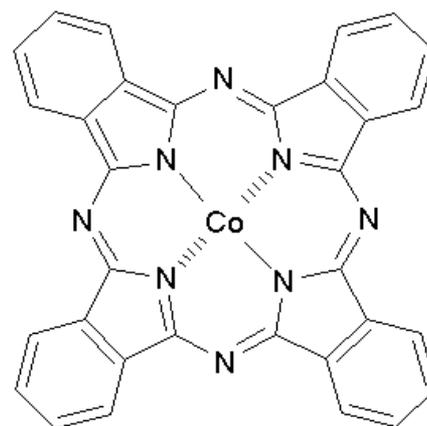
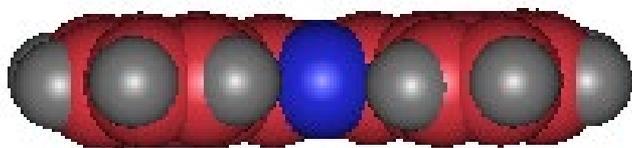
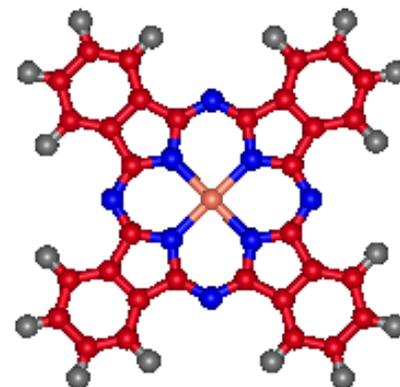
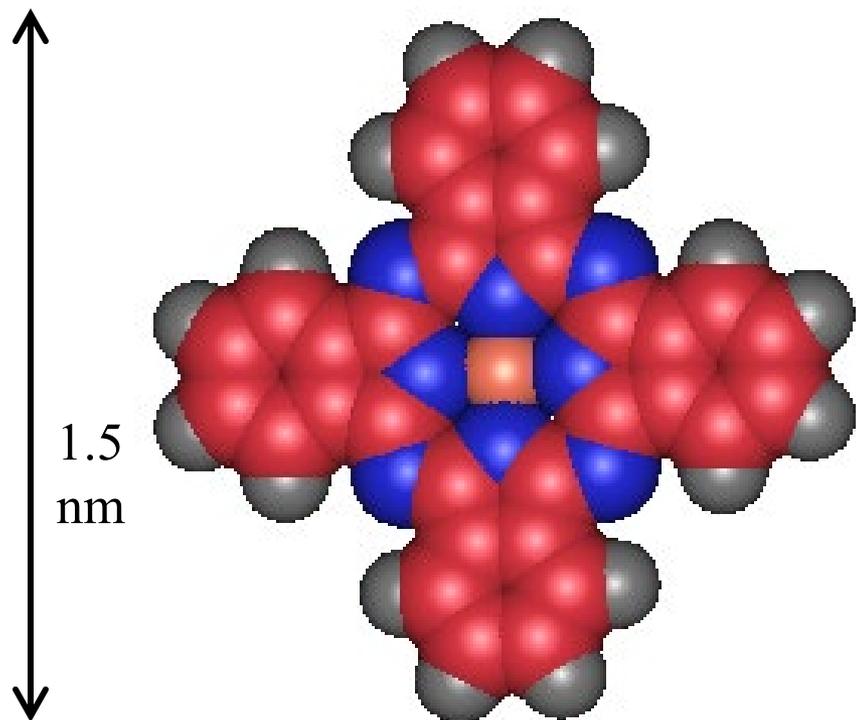
Tunneling spectroscopy and microscopy, *one molecule* at a time.

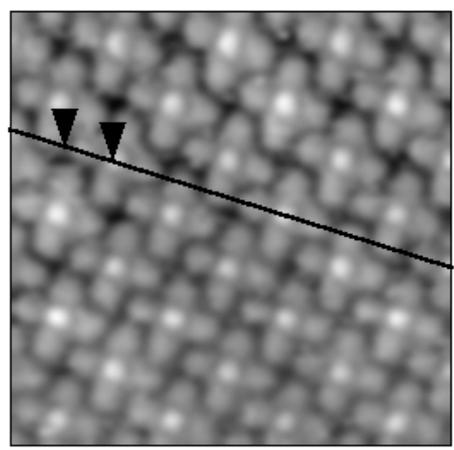
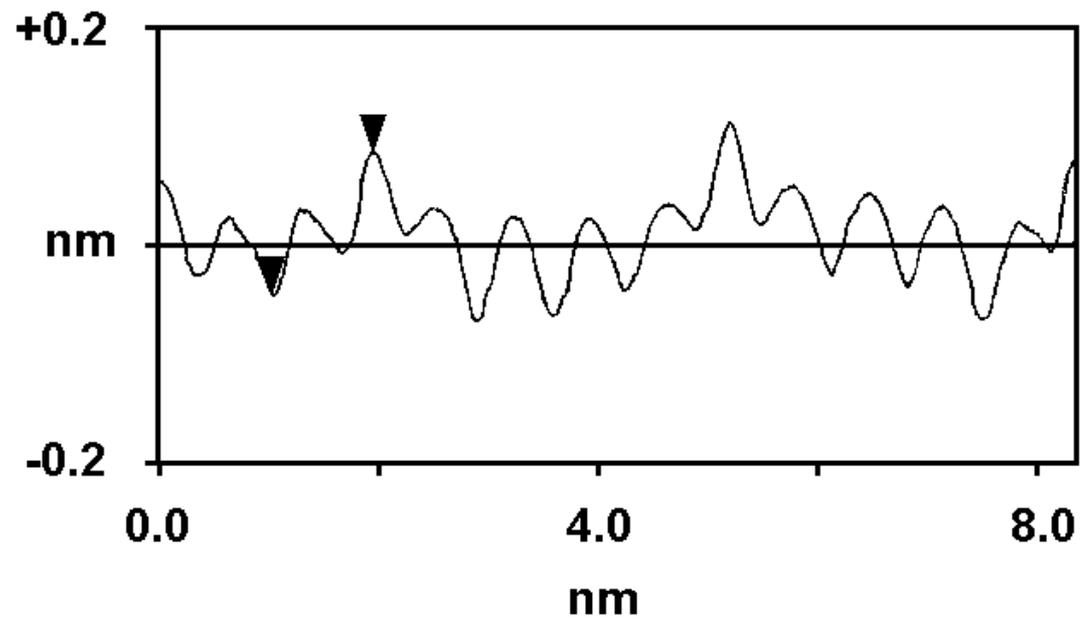
(Orbital mediated tunneling spectroscopy)

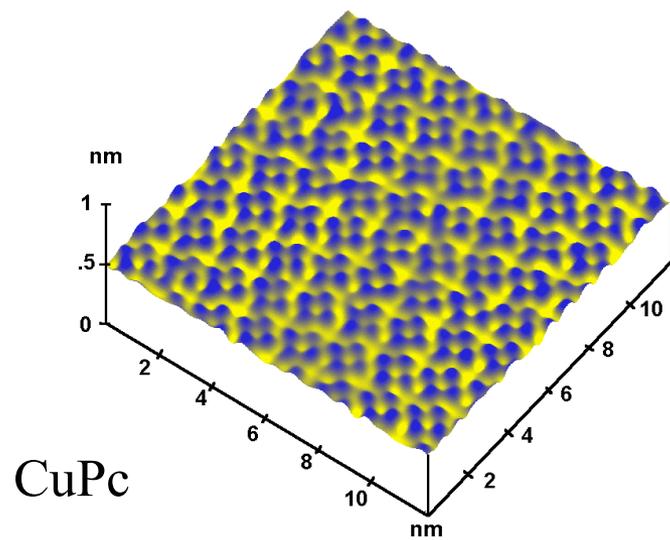
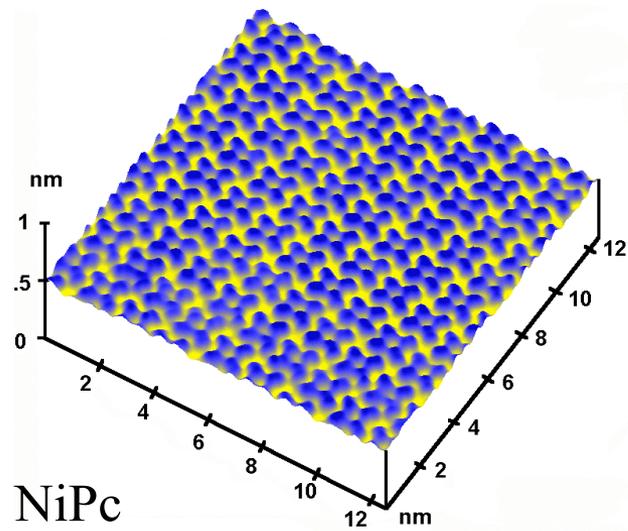
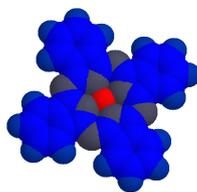
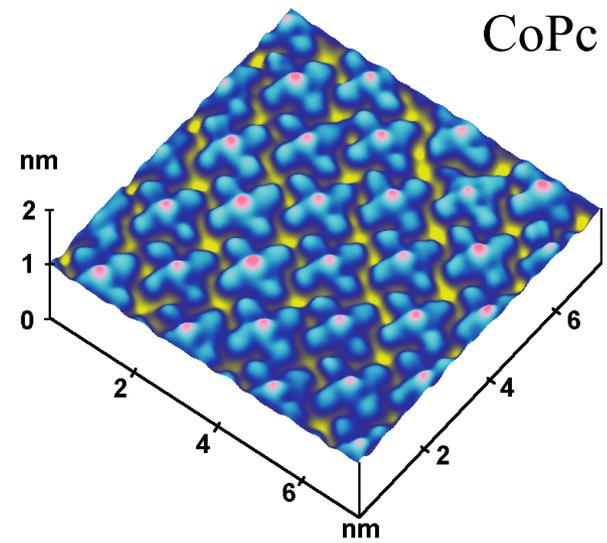
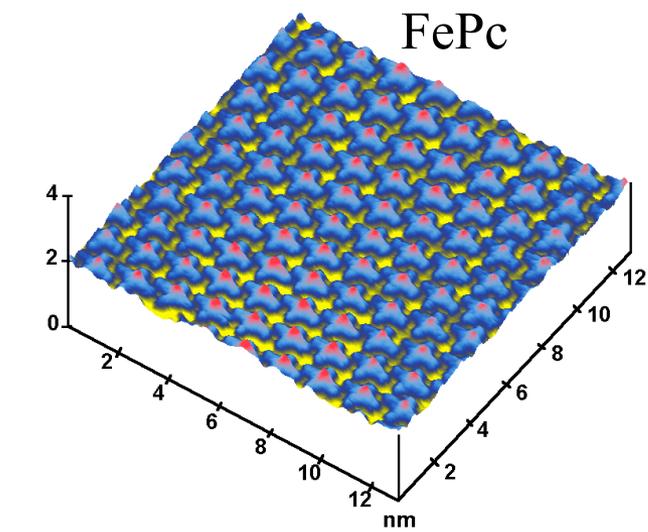
(Scanning tunneling microscopy)

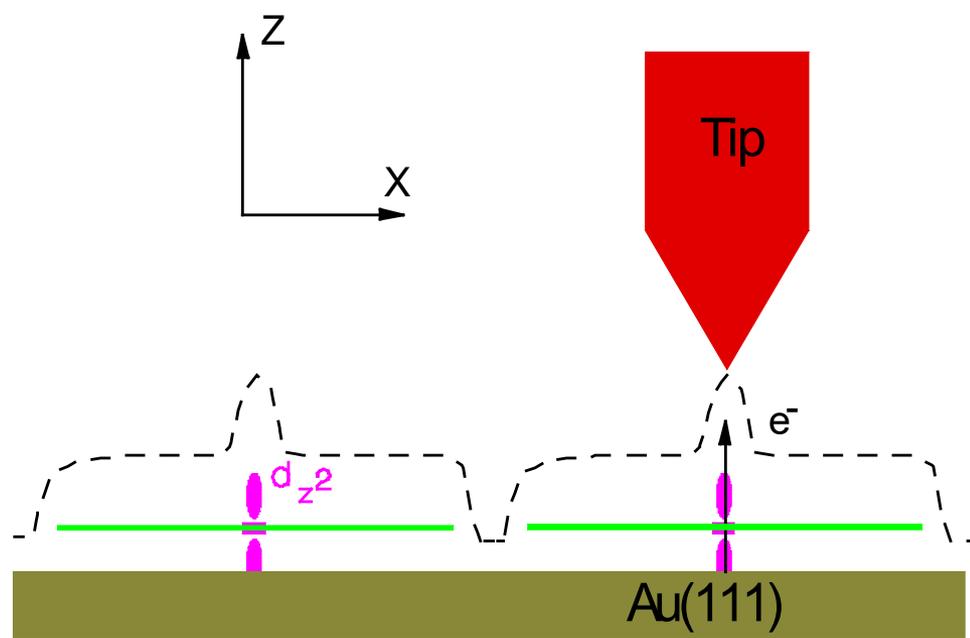
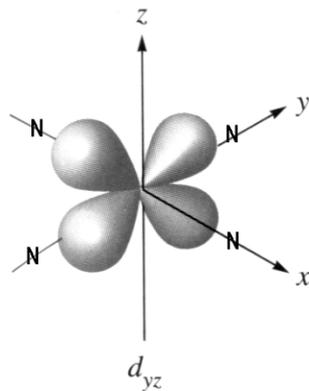
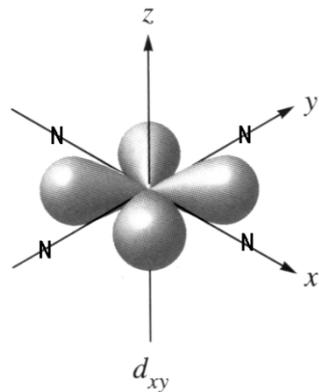
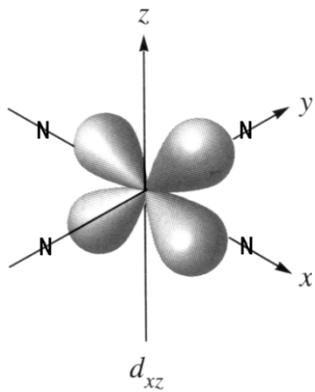
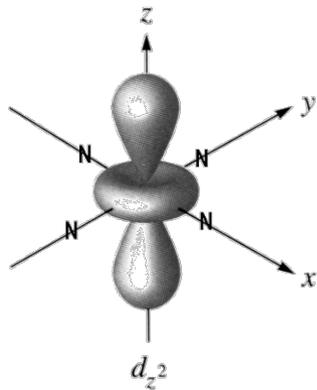
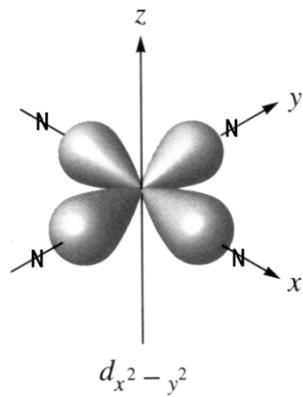
Make them by thermal deposition, self-assembly, LB methods

Top and Side Views of a Metal Phthalocyanine (MPc)

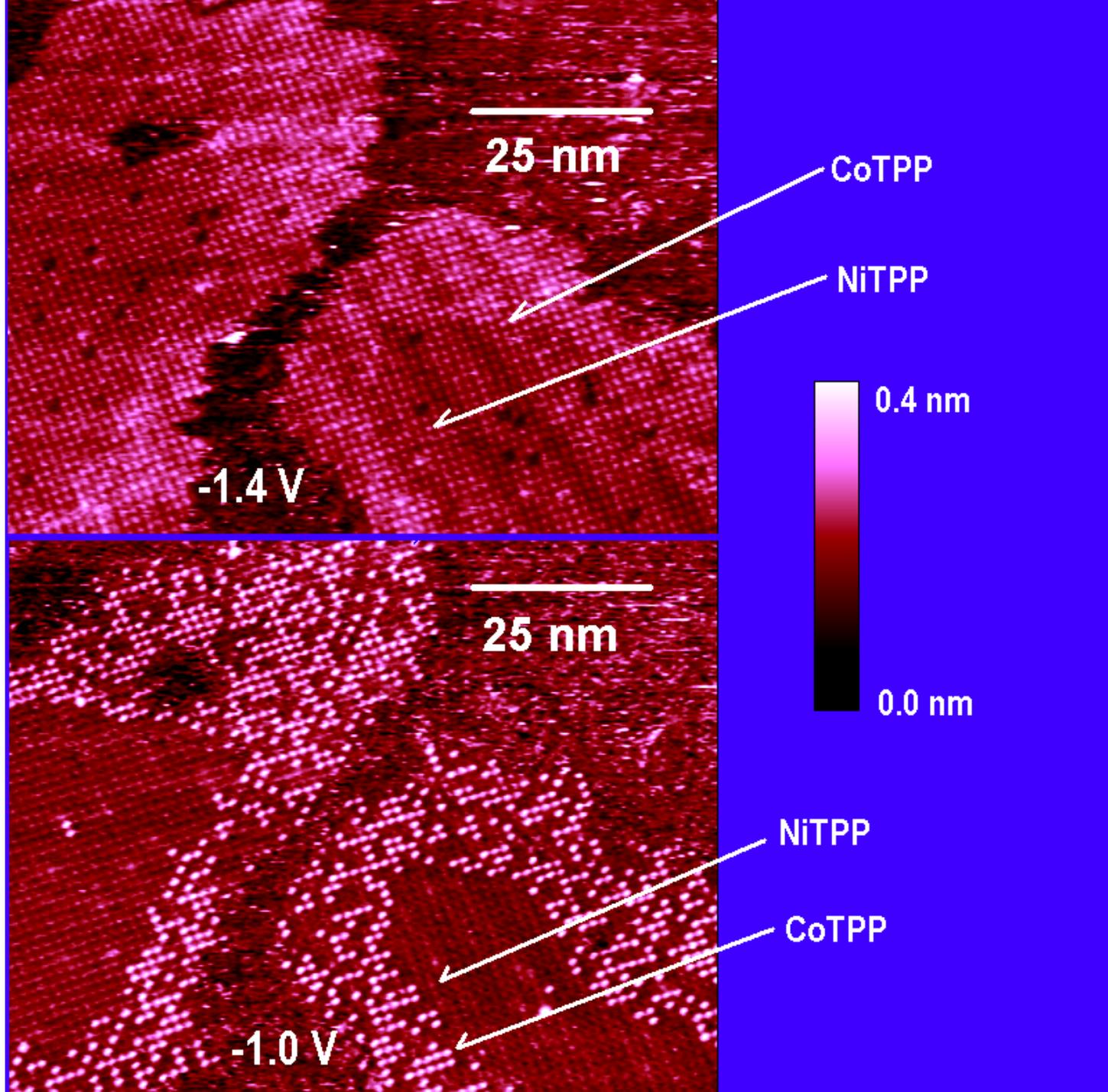


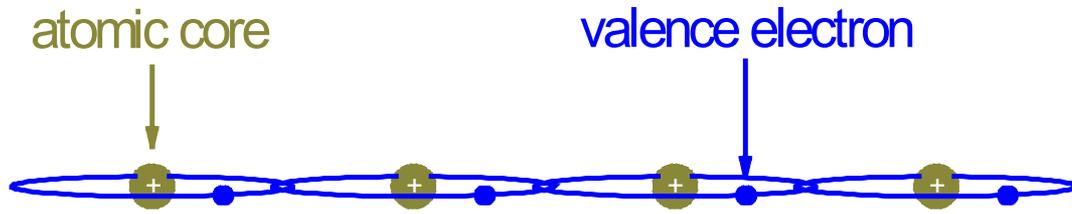




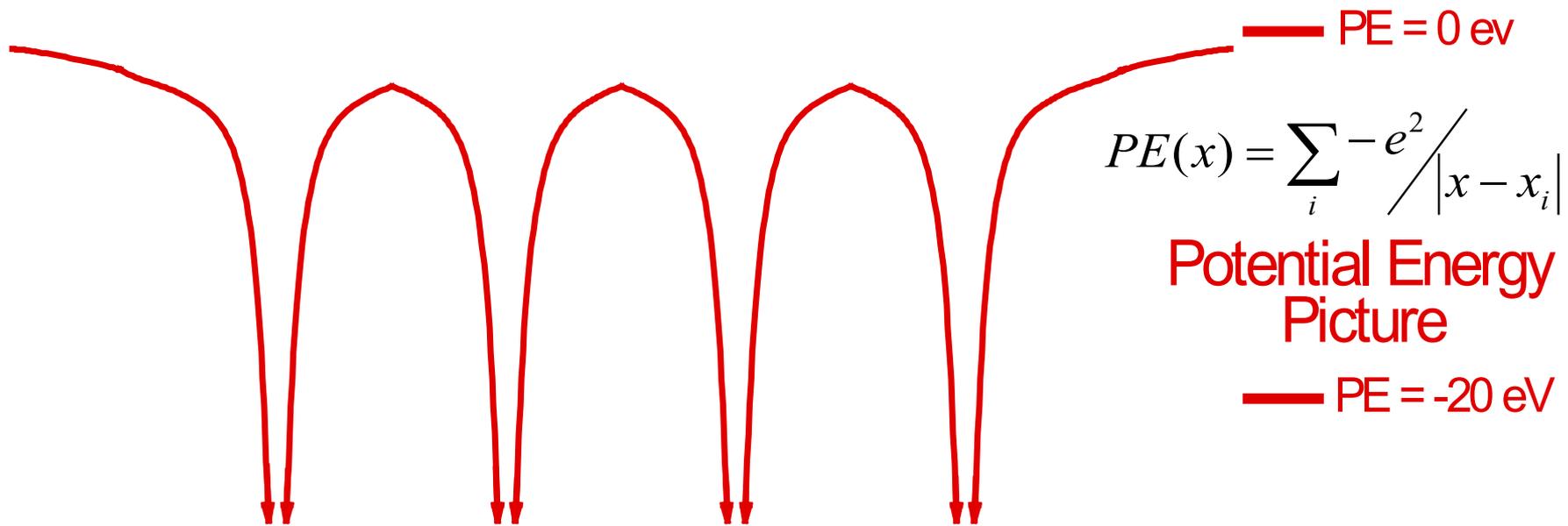


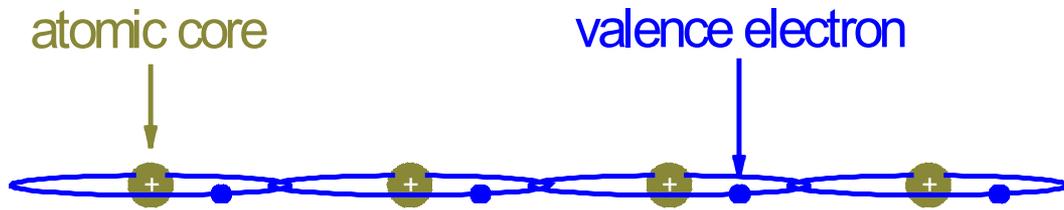
The occupancy of *metal* d orbitals defines the conductivity at the center of the molecule.



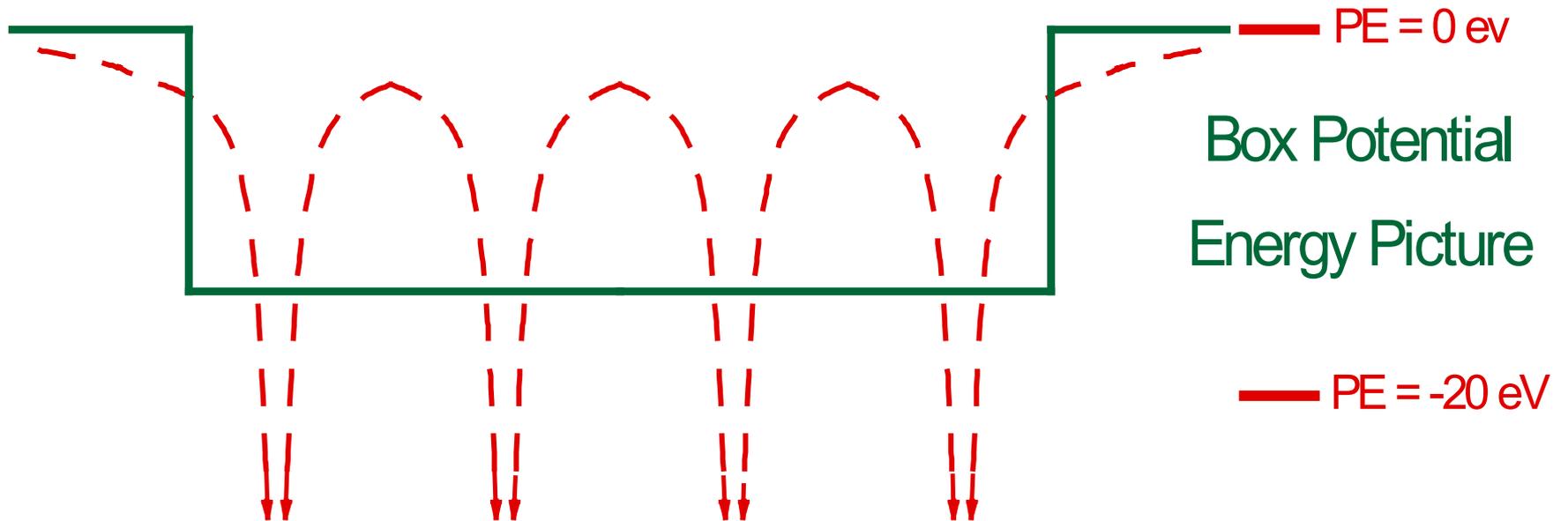


Spatial Picture





Spatial Picture



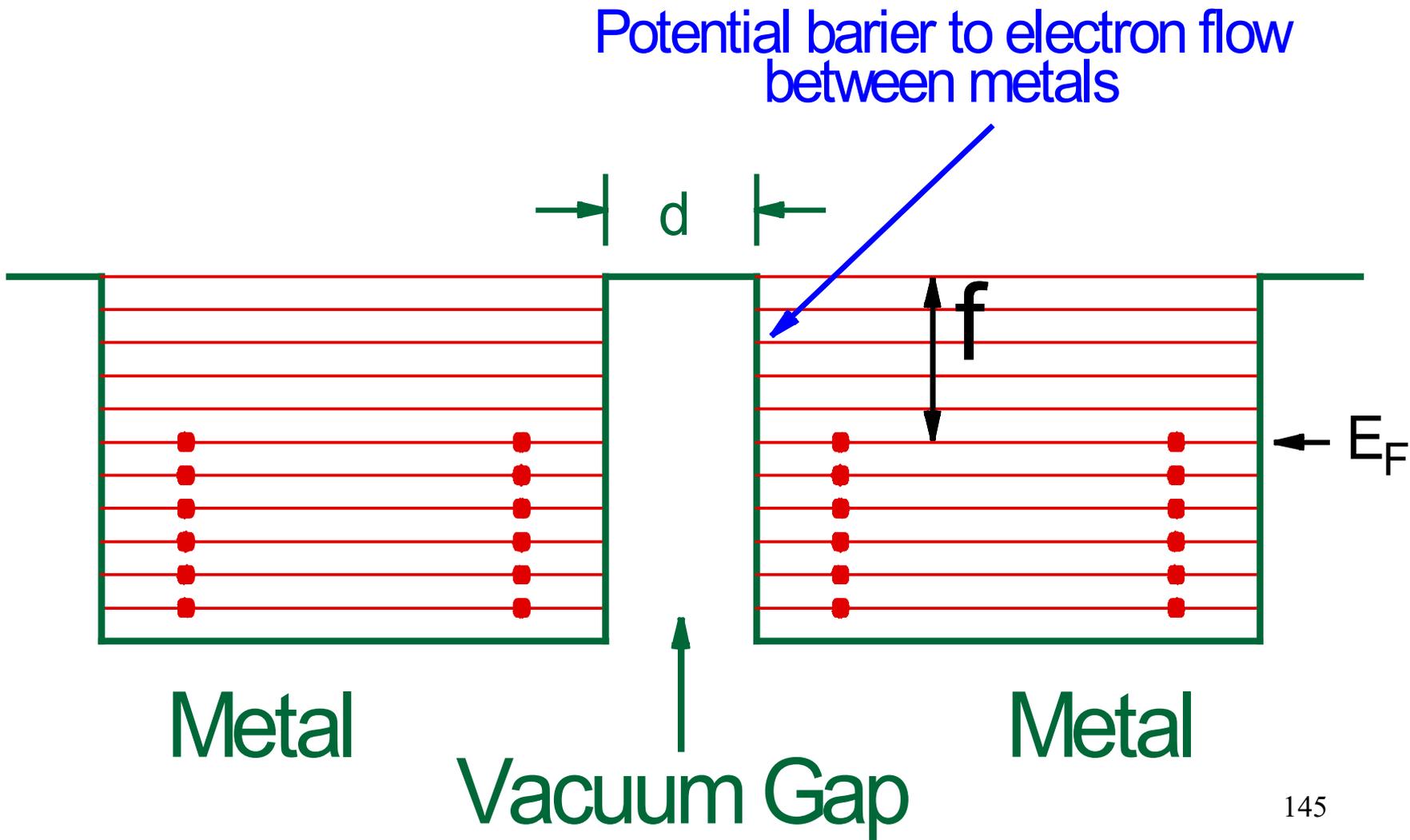
N nuclei with 1 valence electron each, require ...
N electrons in the box!

HOWEVER: Electrons are quantum mechanical beasts, so

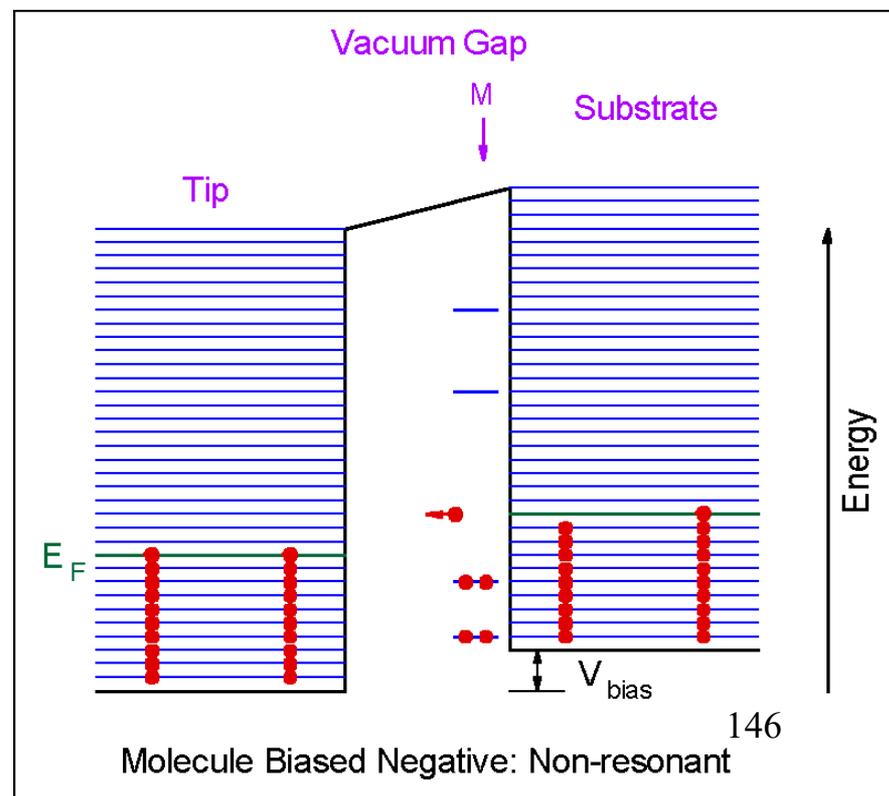
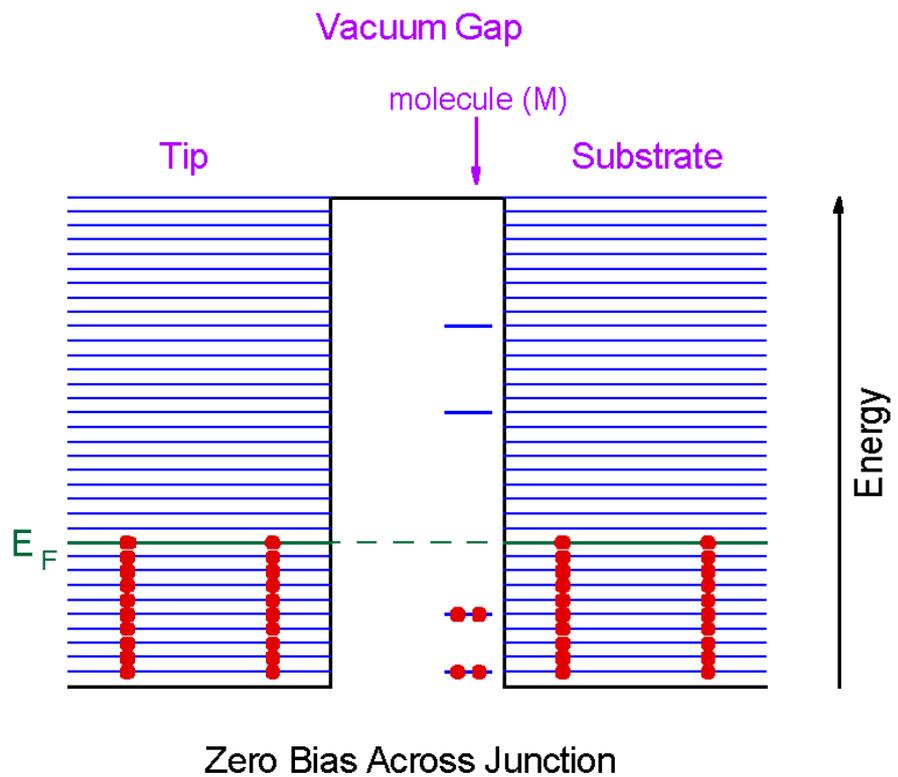
- 1) Only certain energies (states) are possible
- 2) At most, 2 electrons can be in each state

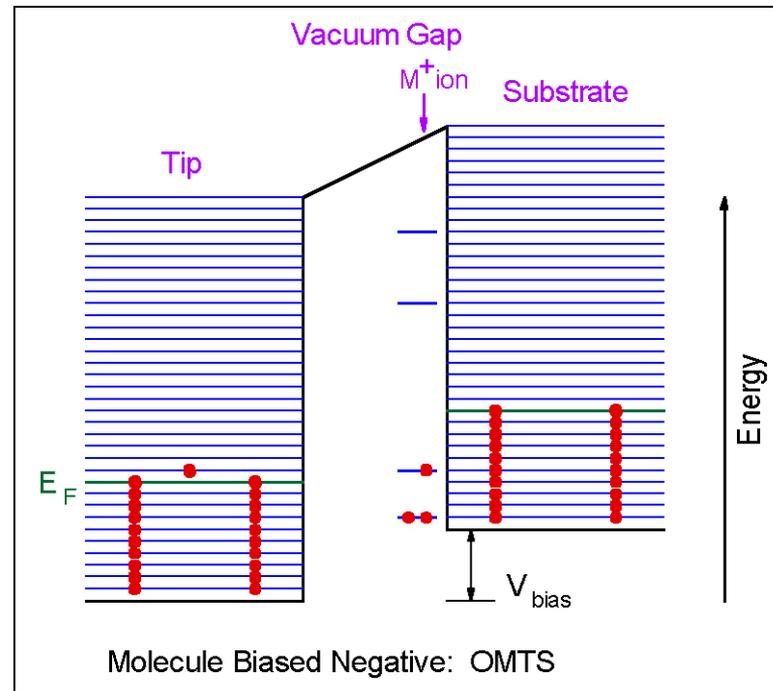
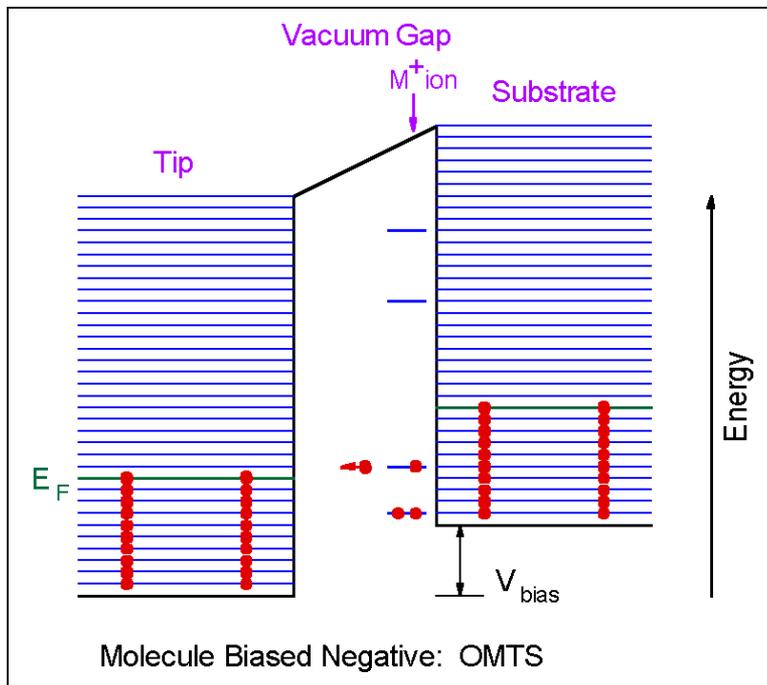


"Electrons in a Box" Energy Picture for a Metal

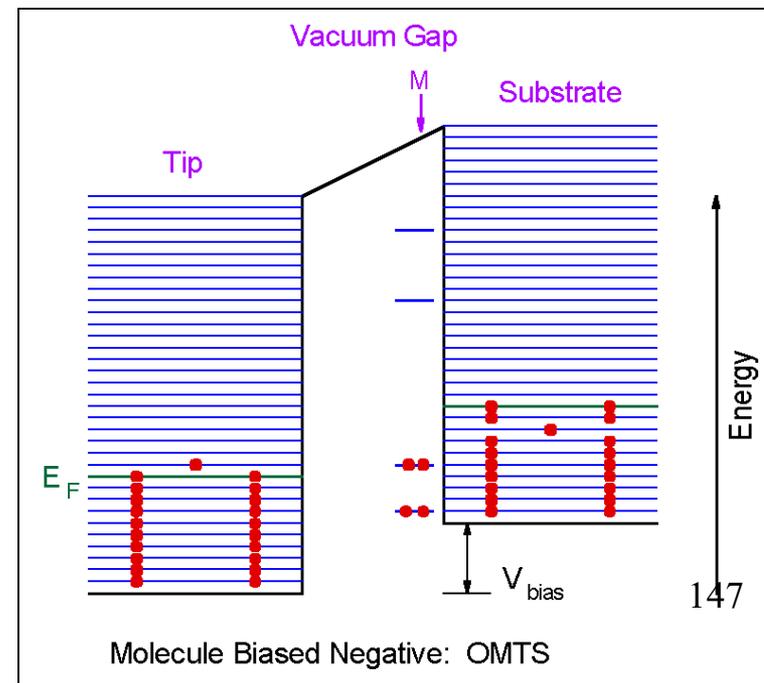
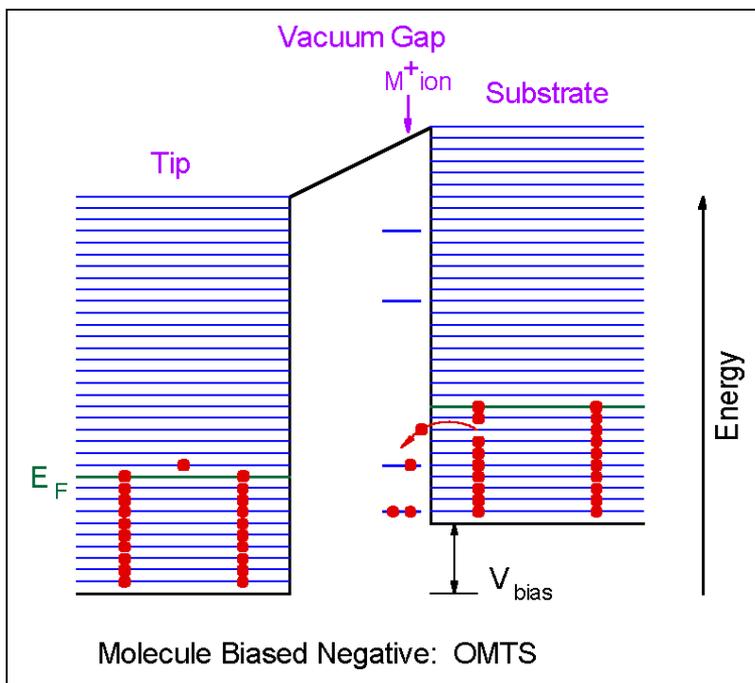


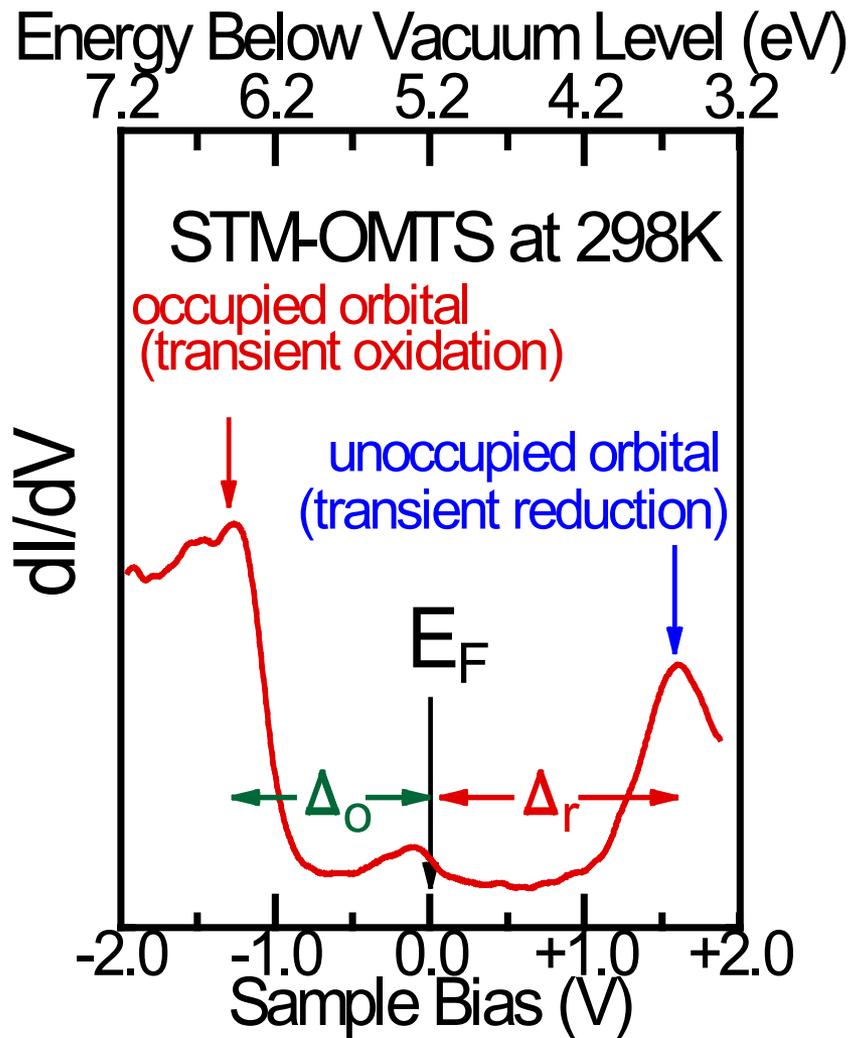
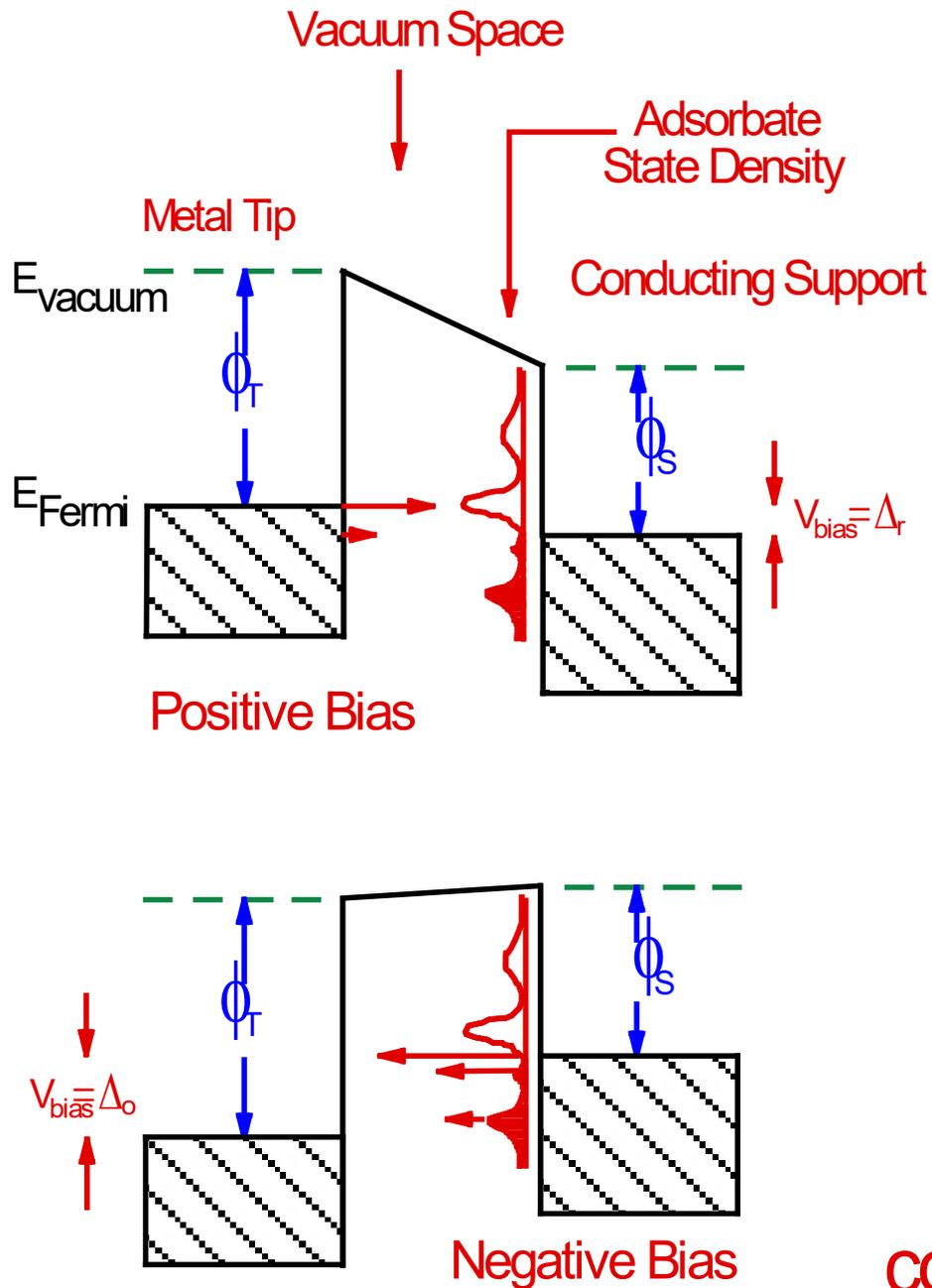
Non-resonant tunneling



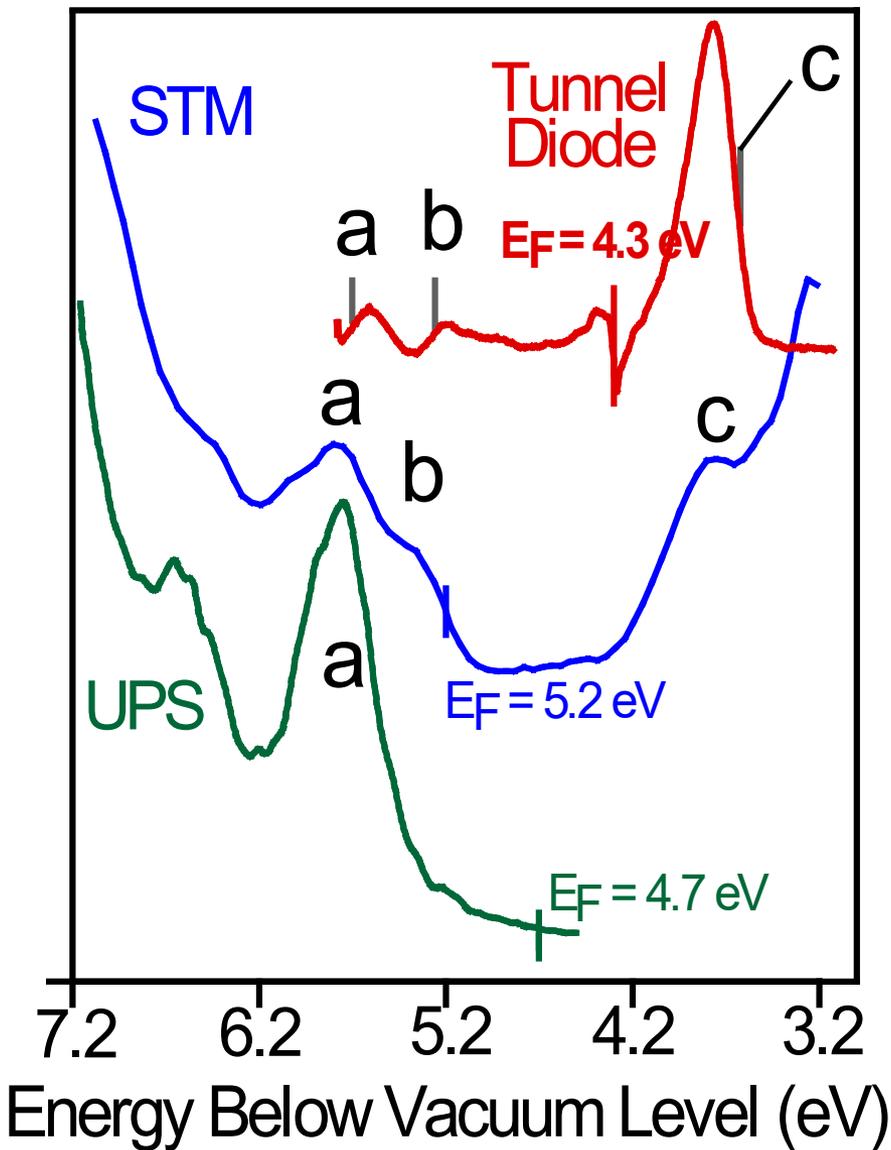


Transient Ion Production





cobalt(II) tetraphenylporphyrin



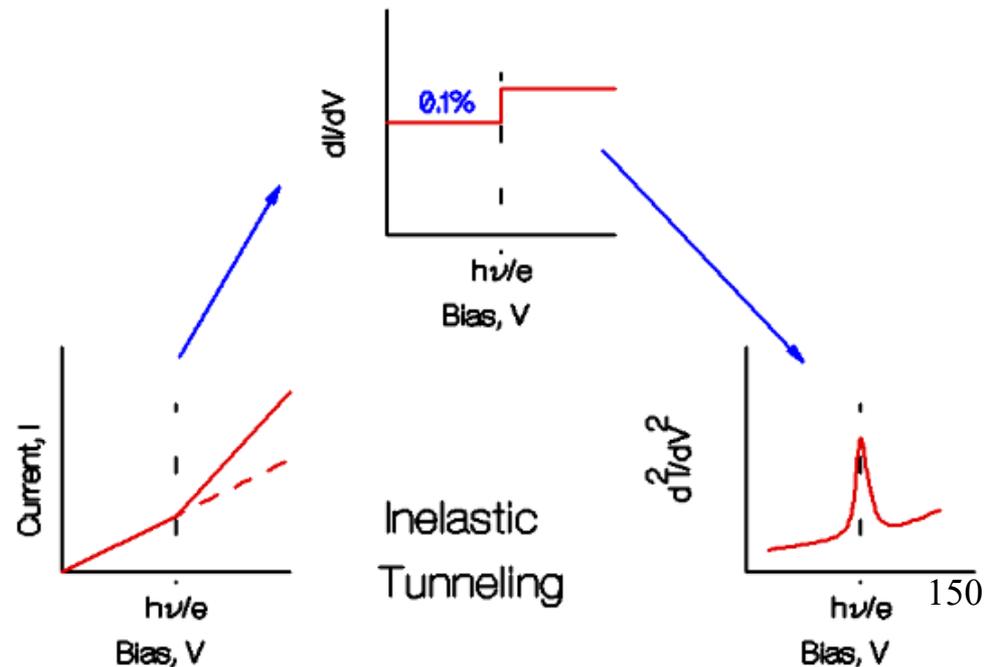
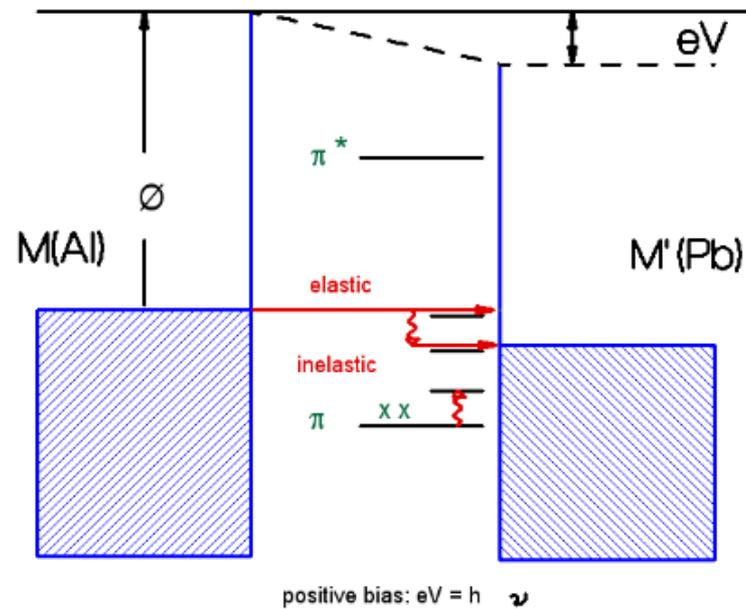
Assignments:

- a) $\text{Pc}^{-2} \Rightarrow \text{Pc}^{-1}$
(π ionization)
- b) $\text{Co}^{+2} \Rightarrow \text{Co}^{+3}$
(d_{z^2} ionization)
- c) $\text{Pc}^{-2} \Rightarrow \text{Pc}^{-3}$
(π^* affinity level)

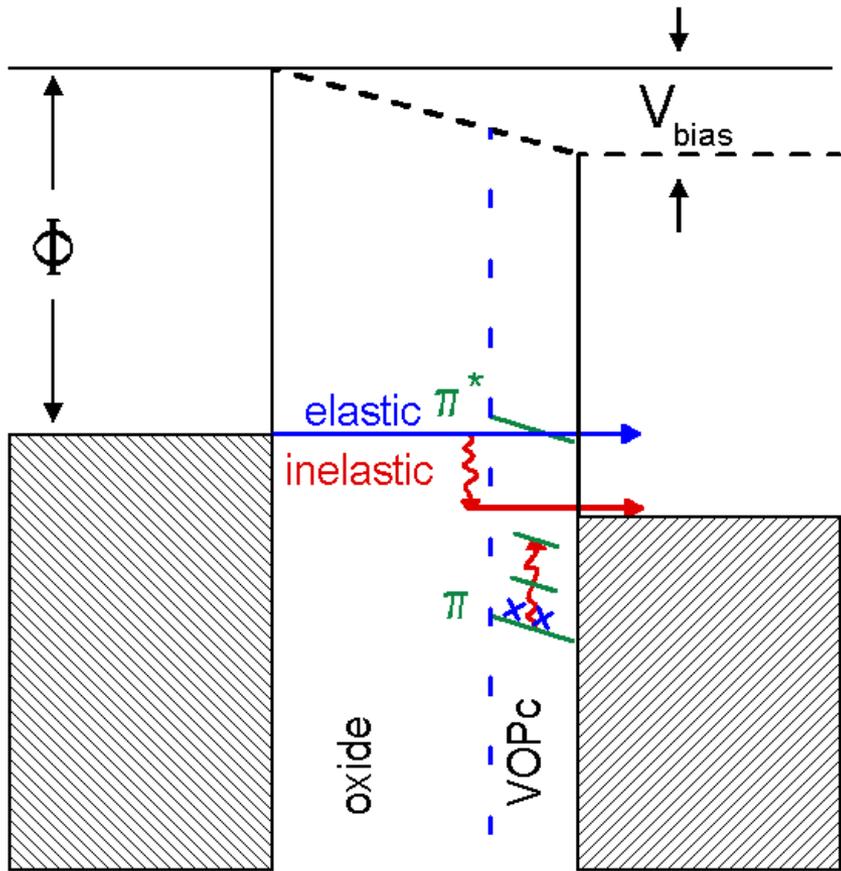
OMTS from 1 molecule
Occupied and unoccupied

UPS from 10^{10} molecules
Occupied only

There are both ELASTIC and INELASTIC tunneling processes that can lead to spectroscopic information

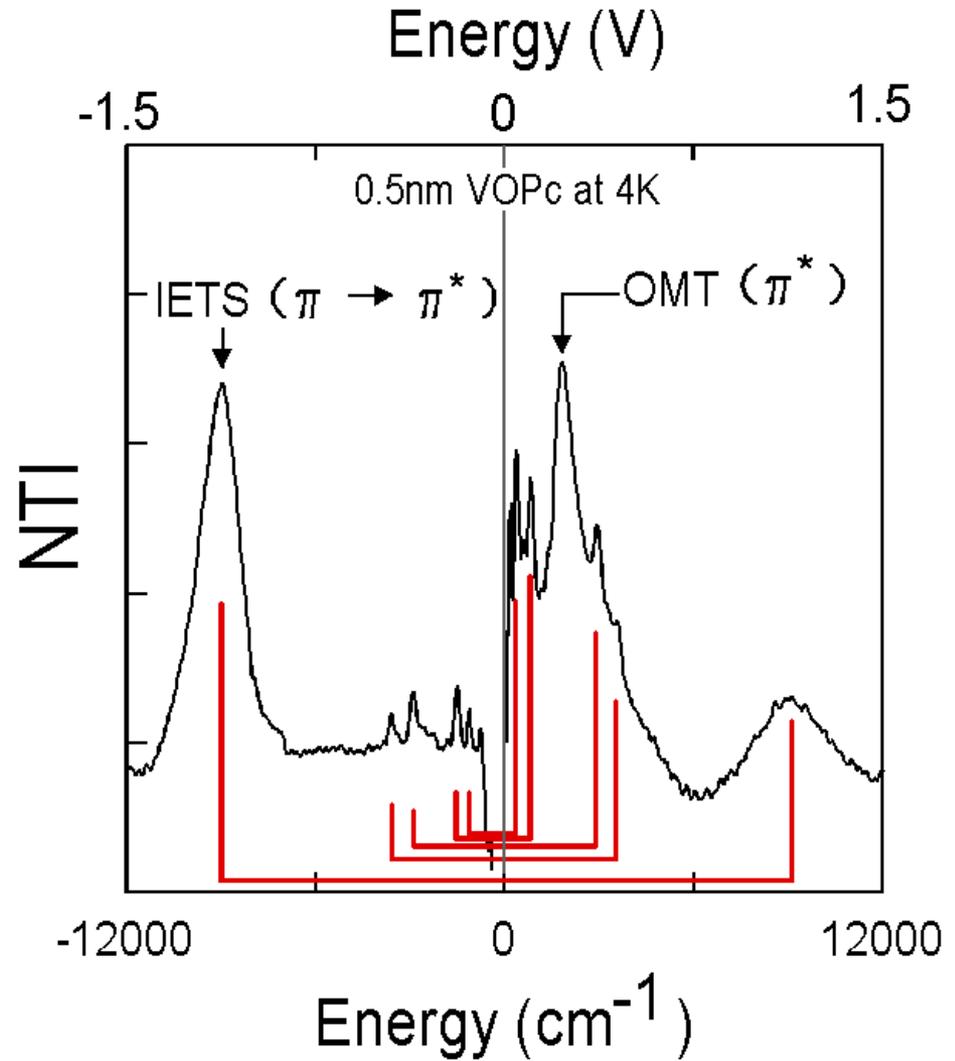


IETS transitions give peaks in $(d^2I/dV^2)/(dI/dV)$ while **OMTS** transitions give peaks in dI/dV



Model of tunneling process.

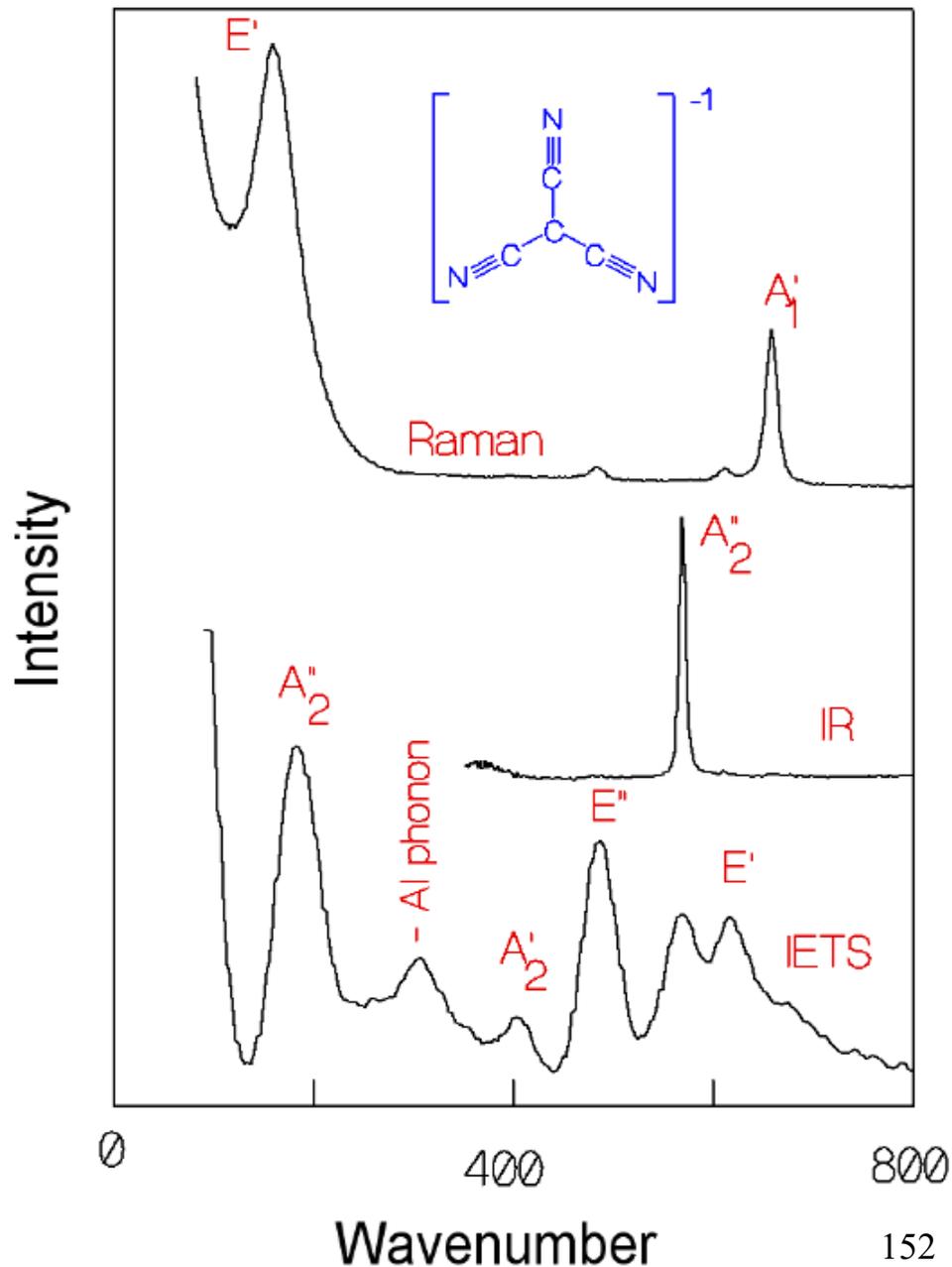
Vopc-iets.fcw



Tunneling spectrum of VOPc from a tunnel diode

Comparison of a **low** resolution vibrational IETS spectrum taken in a tunnel diode at 4K with conventional IR and Raman spectra.

You can easily resolve bands that are 50 cm^{-1} (6 meV) apart, such as the pair near 600 cm^{-1} .

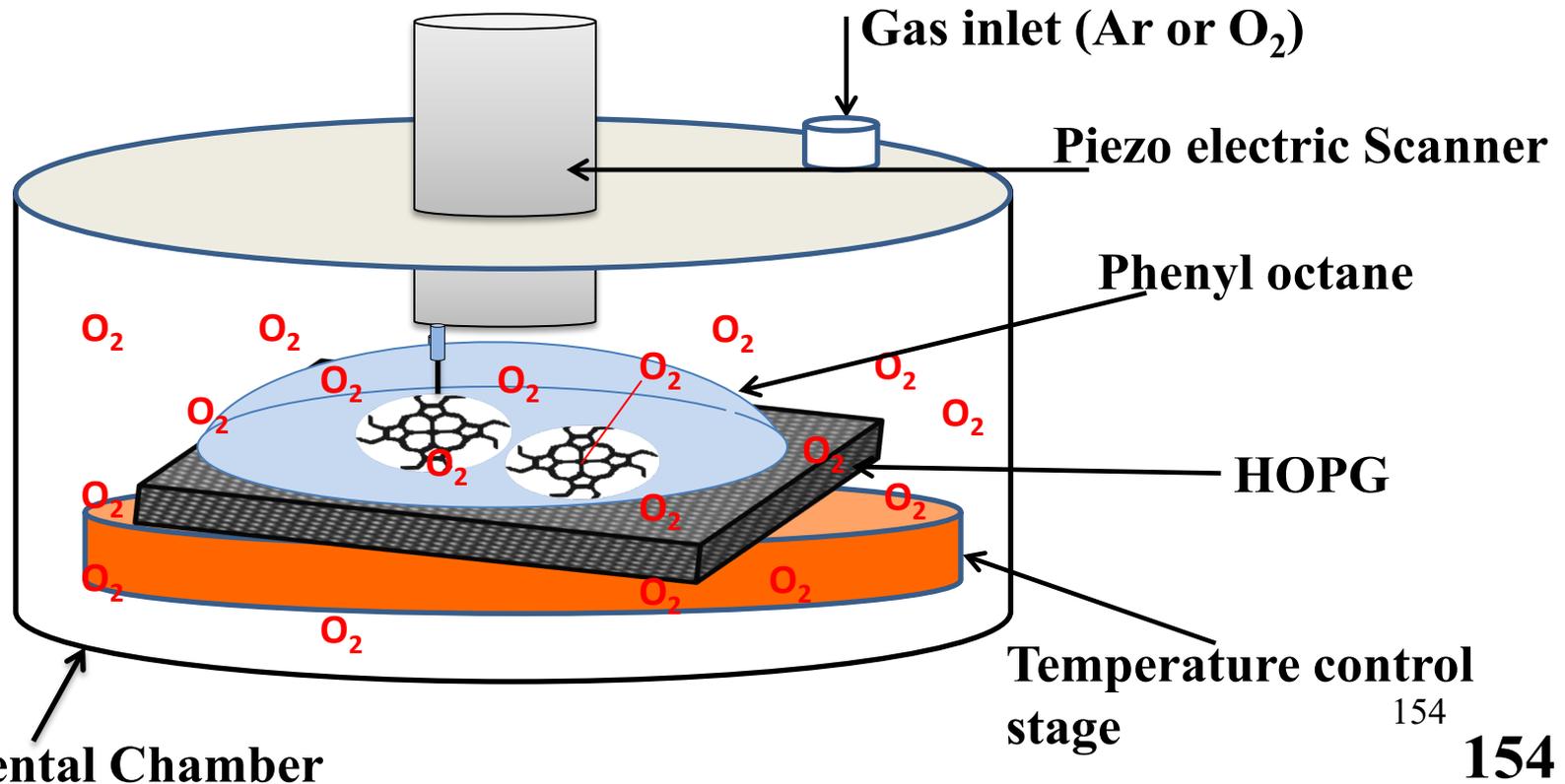


Kinetics and Thermodynamics at Surfaces



Experimental Setup

- Freshly peeled HOPG is used.
- $\text{Pt}_{0.8}\text{Ir}_{0.2}$ tip is used.
- CoOEP is deposited on HOPG.
- Images are taken under a drop of phenyl octane.
- Sample is annealed @ 100°C under Ar before scanning.
- O_2 partial pressure is maintained for 3 hours at a desired temperature.

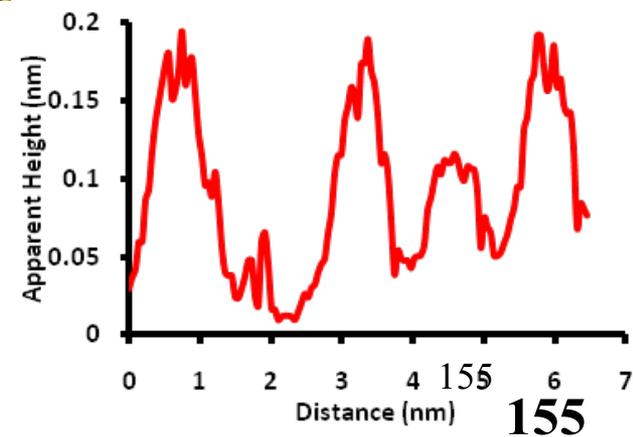
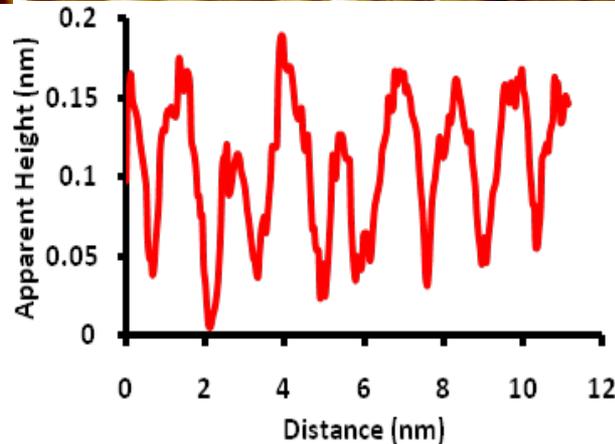
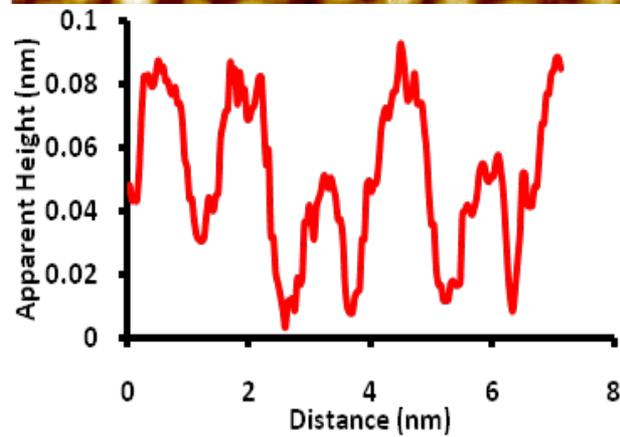
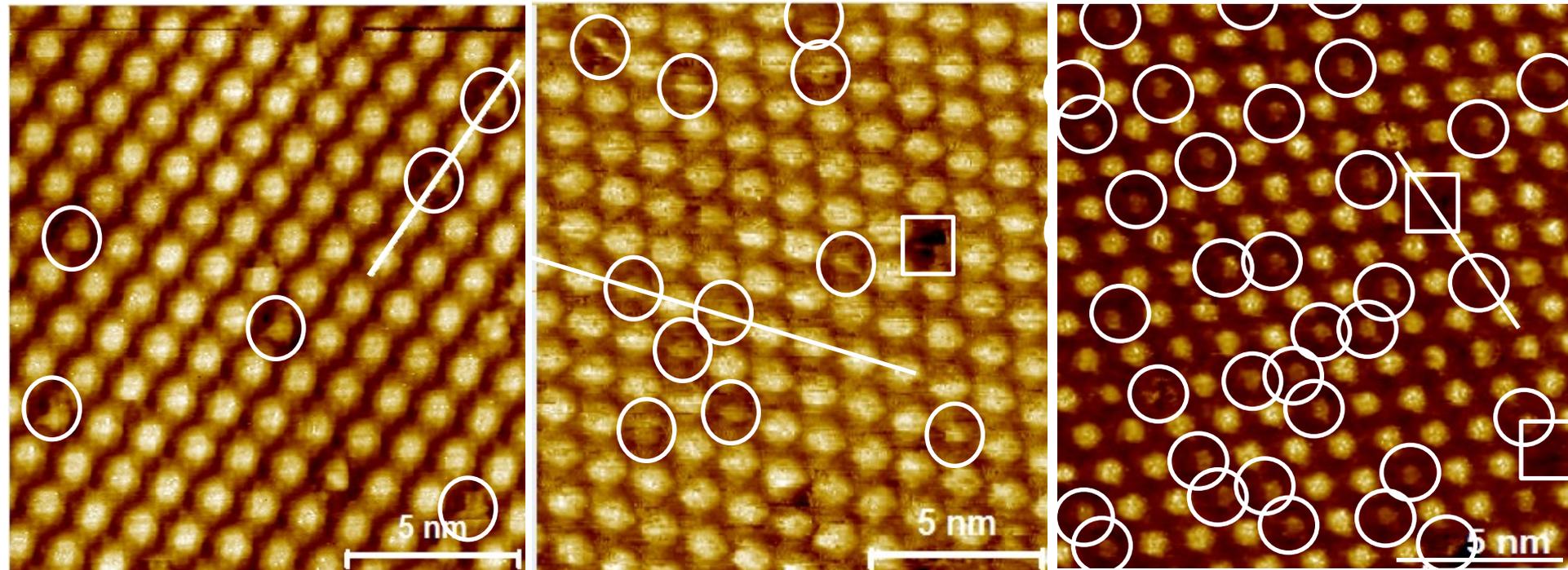


Oxygenation of CoOEP as a function of P_{O_2}

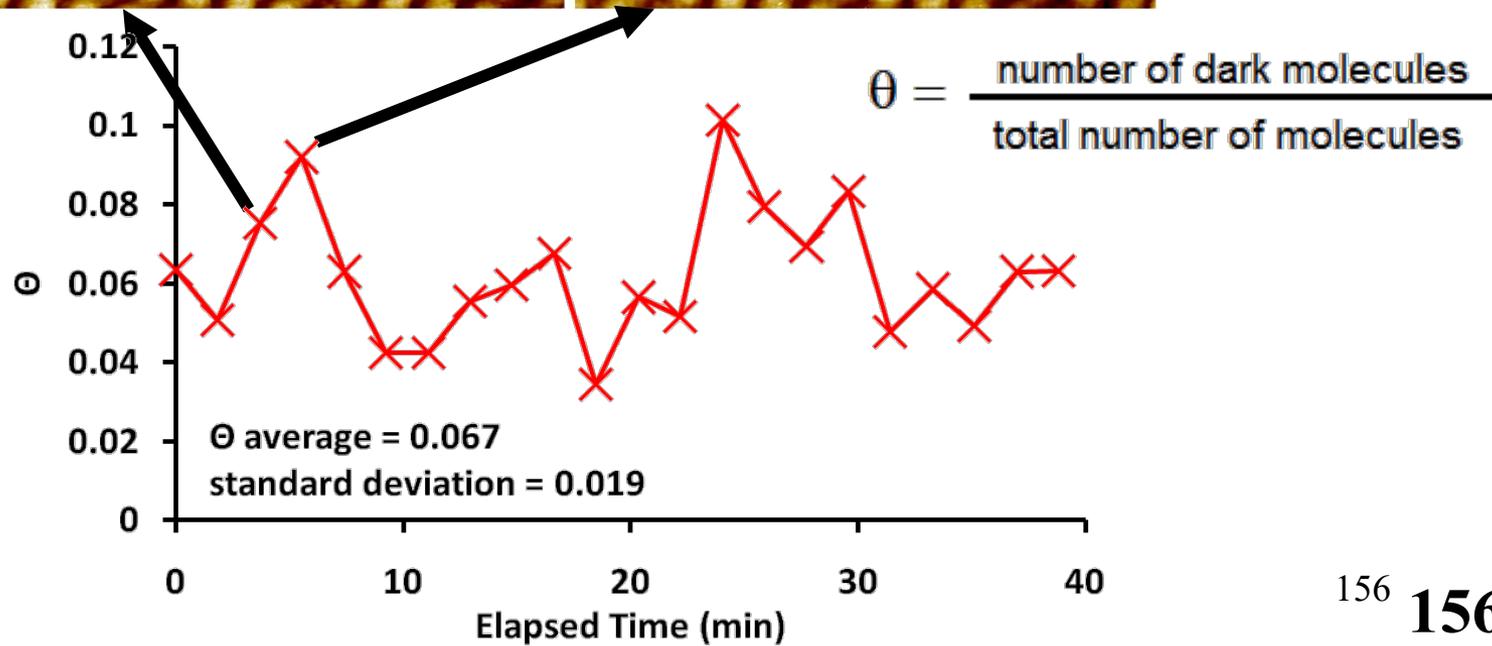
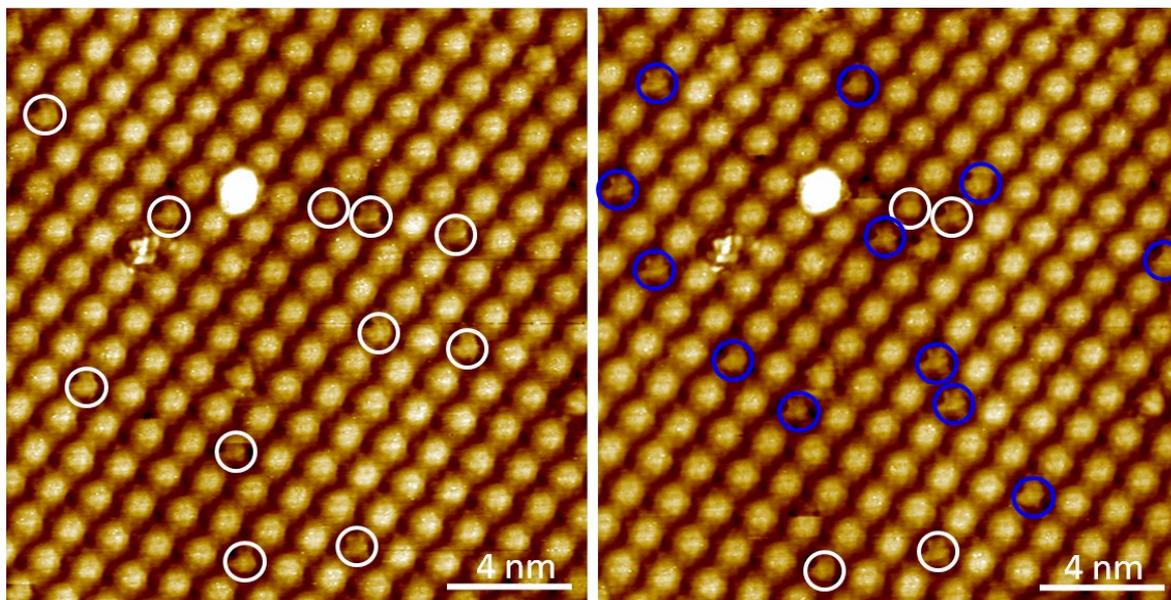
25% O₂/75% Ar

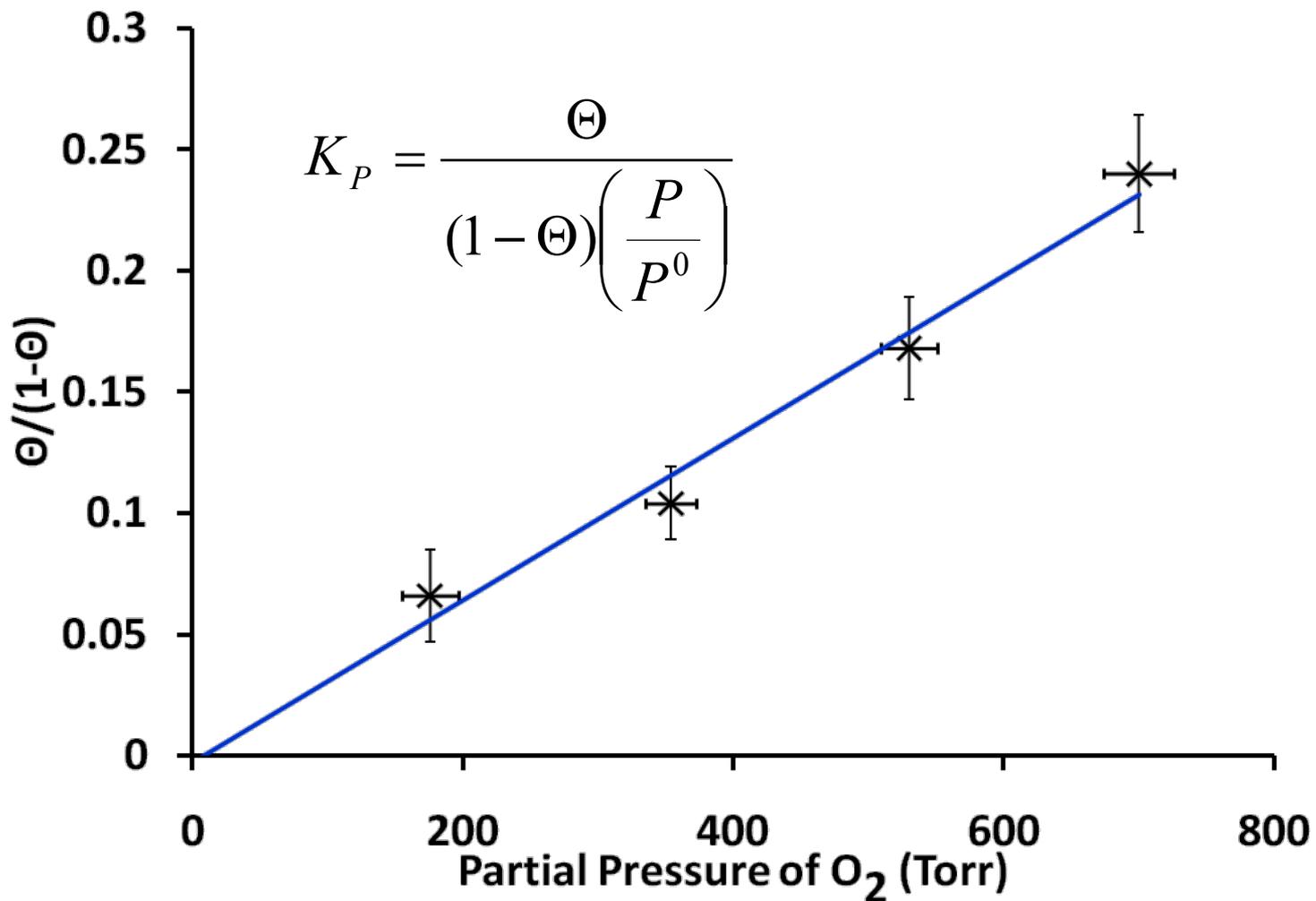
50% O₂/50% Ar

100% O₂



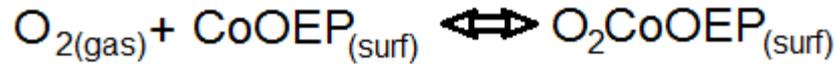
Oxygenation @ 25°C and $P_{O_2} = 176$ Torr with time





The Langmuir isotherm is followed consistent with reversible adsorption of one O₂ molecule per CoOEP site.

How to Calculate ΔG , ΔH and ΔS



$$\Delta G^\circ = -RT \ln(K)$$

$$\left(\frac{\partial \Delta G^\circ}{\partial T} \right)_P = -\Delta S^\circ$$

$$\Delta G^\circ = \Delta H^\circ - T\Delta S^\circ$$

